

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dinsmore, Mark J.	2. Court or Organization U.S. District Court for the Southern District of Indiana	3. Date of Report 09/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court, Southern District of Indiana 257 United States Courthouse 46 East Ohio Street Indianapolis, Indiana 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

St. Vincent Hospital HCC, Inc. (Wages)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Indiana Members Credit Union	A	Interest	J	T					
2. American Funds EuroPacific Mutual Fund	A	Dividend	K	T					
3. Dodge & Cox Stock Mutual Fund	A	Dividend	K	T					
4. Transamerica Partners Institutional High Yield Bond Fund	A	Dividend	J	T					
5. Transamerica Partners Institutional Mid Value Fund	A	Dividend	J	T					
6. Vanguard Institutional Index Fund	A	Dividend	K	T					
7. Vanguard Small Cap Index Fund	A	Dividend	K	T					
8. Credit Suisse 5.4% Bond Maturing 1/14/2020	B	Interest	K	T					
9. Goldman Sachs Group, Inc. 5.375% Bond Maturing 3/15/2020	B	Interest	K	T					
10. General Electric Cap. Corp. 5.65% Bond Maturing 3/15/2022	B	Interest	K	T					
11. Thornburg Investment Income Builder Mutual Fund	B	Dividend	J	T	Sold (part)	05/30/17	K		
12.					Sold (part)	09/18/17	K		
13. Janus Balanced Mutual Fund	A	Dividend	J	T					
14. DFA International Small Company Fund	A	Dividend	J	T					
15. Principal LargeCap Growth Fund	A	Dividend	K	T					
16. JP Morgan Equity Income Fund	B	Dividend	M	T					
17. Bank of America, NA	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PowerShares QQQ	B	Dividend	M	T	Sold (part)	05/30/17	K		
19. John Hancock Funds Disciplined Value Fund	A	Dividend			Sold	05/30/17	L		
20. Baird Aggregate Bond Fund	A	Dividend	L	T					
21. Vanguard Prime Money Market Fund	A	Dividend	J	T					
22. DFA U.S. Targeted Value Fund	A	Dividend	J	T	Sold (part)	05/30/17	K		
23. Hartford Small Cap Growth Fund	A	Dividend	J	T					
24. American Funds The Income Fund of America	B	Dividend	K	T					
25. John Hancock Funds Disciplined Value Mid Cap Fund	A	Dividend	K	T	Sold (part)	05/30/17	K		
26. PowerShares High Yield Equity Dividend Achievers Portfolio	A	Dividend			Sold	05/30/17	K		
27. Janus Henderson Enterprise Fund Class N	A	Dividend	J	T					
28. ClearBridge Large Cap Growth Fund I	A	Dividend	M	T					
29. Vanguard S&P 500 ETF Fund	B	Dividend	M	T					
30. Vanguard Russell 1000 Value ETF	B	Dividend	M	T	Buy	05/30/17	L		
31. Ivy Emerging Markets Equity I	A	Dividend	K	T	Buy	05/30/17	K		
32. John Hancock International Growth Fund	A	Dividend	K	T	Buy	05/30/17	K		
33. Virtus KAR Small-Cap Growth Fund	A	Dividend	K	T	Buy	05/30/17	K		
34. Fidelity Inflation-Protected Bond Index Fund	A	Dividend	K	T	Buy	05/30/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Causeway Emerging Markets Fund	A	Dividend	J	T	Buy	05/30/17	J		
36. Vanguard Real Estate Index Fund	A	Dividend	J	T	Buy	05/30/17	J		
37. Oakmark International Fund	A	Dividend	K	T	Buy	09/18/17	K		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark J. Dinsmore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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