

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 228 WALNUT STREET HARRISBURG, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. DIRECTOR	AUBURN GOLF CAR CO. INC.
2. DIRECTOR	BUNKER HILL GOLF COURSE (CORP)
3. DIRECTOR	FAIRVIEW GOLF COURSE INC.
4. DIRECTOR	HIDDEN VALLEY GOLF COURSE INC.
5. DIRECTOR	PAT MAR CO. INC.
6. DIRECTOR	PHOENIX CONTRACTING CO. (CORP)
7. DIRECTOR	WEDGEWOOD GOLF COURSE INC.
8. DIRECTOR	WILLOW HOLLOW GOLF COURSE INC.
9. TRUSTEE - [REDACTED] TRUST	TRUST 1
10. TRUSTEE - [REDACTED] TRUST	TRUST 2
11. ADJUNCT LAW PROFESSOR	PENNSYLVANIA STATE UNIVERSITY

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 2002

THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED
PENSION

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	WILLIAM & MARY LAW SCHOOL	2/15 - 2/17	WILLIAMSBURG, VA	LECTURE	HOTEL
2.	UNIVERSITY OF MICHIGAN	11/1 - 11/2	ANN ARBOR, MI	LECTURE	FLIGHT, MILEAGE, HOTEL, AND MEALS
3.	UNIVERSITY OF CINCINNATI	12/3 - 12/4	CINCINNATI, OH	LECTURE	FLIGHT, MILEAGE, HOTEL, AND MEALS
4.					
5.					

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	TRUST	CASH	\$14,000.00
2.	TRUST	CASH	\$14,000.00
3.		CASH	\$13,000.00
4.		CASH	\$14,000.00
5.		CASH	\$14,000.00

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BUNKER HILL GOLF COURSE INC. - [REDACTED]	A	Distribution	O	W					
2. WILLOW HOLLOW GOLF COURSE [REDACTED]	A	Distribution	N	W					
3. WEDGEWOOD GOLF COURSE INC.- [REDACTED]	A	Distribution	O	W					
4. HIDDEN VALLEY GOLF COURSE INC.- [REDACTED]	A	Distribution	M	W					
5. FAIRVIEW GOLF COURSE INC.- [REDACTED]	A	Distribution	N	W					
6. AUBURN GOLF CAR CO. INC. [REDACTED] [REDACTED]	A	Distribution	K	W					
7. PARKWAY ANTHRACITE INC.- [REDACTED]		None	J	W					
8. PAT MAR CO. INC. [REDACTED] [REDACTED]	A	Distribution	M	W					
9. NATIONWIDE-ANNUITY	A	Interest	J	T					
10. UNION BANK & TRUST CO.- CHECKING		None	K	T					
11. QUALITY VENDING INC-50% INTEREST	C	Distribution	L	W					
12. LOAN RECEIVABLE-PHOENIX CONTRACTING	C	Interest	M	T					
13. PENN NATIONAL GAMING - COMMON STOCK		None			Sold	02/25/13	J	C	
14. CHEVRON - CVX COMMON STOCK- IRA	B	Dividend	J	T	Sold (part)	06/19/13	J	C	
15. XOM COMMON STOCK-IRA	A	Dividend			Sold	06/19/13	J	C	
16. GE COMMON STOCK-IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	A	
17. HON COMMON STOCK-IRA	A	Dividend			Sold	06/19/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. KMB COMMON STOCK-IRA	A	Dividend			Sold	06/19/13	J	C	
19. MRK COMMON STOCK-IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	B	
20. MMM COMMON STOCK-IRA	A	Dividend			Sold	06/19/13	J	C	
21. PEP COMMON STOCK-IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	C	
22. PFE COMMON STOCK-IRA	A	Dividend	J	T	Sold (part)	06/19/13	K	D	
23. SIRIUS SATELLITE RADIO - COMMON STOCK		None	K	T	Sold (part)	07/12/13	J	B	
24. FIDELITY BLUE CHIP VALUE FUND - MUTUAL FUND - FBCVX		None			Sold	07/25/13	J	A	
25. FIDELITY REAL ESTATE INCOME FUND - MUTUAL FUND - FRIFX	A	Dividend	J	T					
26. FIDELITY INVESTMENT - M/M FUND	A	Dividend	J	T					
27. AFLAC INC - COMMON STOCK - IRA	A	Dividend			Sold	06/19/13	J	B	
28. HOME DEPOT INC - COMMON STOCK - IRA	A	Dividend			Sold	06/19/13	K	D	
29. VERIZON - COMMON STOCK - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	C	
30. FNB DAILY FUND - IRA	A	Dividend			Redeemed	06/19/13	L		
31. APPLE COMPUTER - COMMON STOCK	A	Dividend			Sold	03/01/13	J	D	
32. FEDERATED TOTAL RETURN BD MUTUAL FUND - IRA - FTRBX	A	Dividend			Sold	06/19/13	K		
33. TARGET CORP - COMMON STOCK - IRA	A	Dividend			Sold	06/19/13	J	B	
34. WELLS FARGO & CO - COMMON STOCK - IRA - WFC	A	Dividend	J	T	Sold (part)	06/19/13	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. UNITED PARCEL SERVICE CL B - COMMON STOCK - IRA	A	Dividend	K	T	Sold (part)	06/19/13	J	A	
36. APPLIED MATERIALS - COMMON STOCK - IRA	A	Dividend			Sold	06/19/13	J		
37. INTEL CORP - COMMON STOCK - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	A	
38. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	B	
39. DODGE & COX STOCK FUND - MUTUAL FUND - IRA	A	Dividend			Sold	06/19/13	L	D	
40. FIDELITY FUND (FFIDX) - MUTUAL FUND	A	Dividend	J	T					
41. CITIGROUP - IRA	A	Dividend			Sold	06/19/13	J		
42. FEDERATED STRATEGIC VALUE FUND - IRA	A	Dividend			Sold	06/19/13	K	C	
43. OAKMARK INT'L - IRA		None			Sold	06/19/13	J		
44. HARBOR INT'L FUND - IRA		None			Sold	06/19/13	K		
45. S&P MID CAP 400 - MDY	A	Dividend	J	T					
46. SUSQUEHANNA BANK (SUSQ)	A	Dividend	J	T					
47. AMERICAN CENTURY MID CAP VALUE - ACMVX	A	Dividend	J	T					
48. BRIDGEWAY LARGE CAP GROWTH - BRLGX	A	Dividend	J	T					
49. FIDELITY BALANCED FBALX	A	Dividend	J	T					
50. VANGUARD US VALUE VUVLX	A	Dividend	J	T					
51. WALT DISNEY CO	A	Dividend	J	T					

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52. PHOENIX CONTRACTING - [REDACTED]		None	J	W					
53. GENERAL MOTORS CO - GM		None	J	T					
54. FIDELITY CONTRA FUND - FCNTX	A	Dividend	J	T					
55. HEARTLAND VALUE PLUS - HRVIX	A	Dividend	J	T					
56. TCW EMERGING MARKETS INCOME - TGIX	A	Dividend	J	T					
57. FEDERATED ARMS FUND - FEUGX - IRA	A	Dividend			Sold	06/19/13	K		
58. FEDERATED INST HIGH YIELD BD - FIHBX - IRA	B	Dividend			Sold	06/19/13	J		
59. VANGUARD SHORT-TERM BOND INDEX - VBSSX - IRA	A	Dividend			Sold	06/19/13	K		
60. VANGUARD INTER-TERM INV GRADE - VFIDX - IRA	A	Dividend			Sold	06/19/13	K		
61. VANGUARD SHORT-TERM INV GRADE - VFSUX - IRA	B	Dividend			Sold	06/19/13	L		
62. BARON SMALL CAP FUND - BSFIX - IRA		None			Sold	06/19/13	K	D	
63. COHEN & STEERS REALTY SHARES - CSRSX - IRA	A	Dividend			Sold	06/19/13	J	A	
64. FEDERATED INTERCONTINENTAL FD - ICFIX - IRA		None			Sold	06/19/13	K		
65. GOLDMAN SACHS M/C VALUE INST - GSMCX - IRA		None			Sold	06/19/13	K	B	
66. PERKINS SMALL CAP VALUE - JSCOX - IRA		None			Sold	06/19/13	J	B	
67. PIMCO COMMODITY TOTAL RETURN - PCRIX - IRA	A	Dividend			Sold	06/19/13	J		
68. T ROWE PRICE MID CAP GWTH - RPMGX - IRA		None			Sold	06/19/13	K	D	

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69. T ROWE PRICE EMERGING MKT ST - PRMSX - IRA		None			Sold	06/19/13	K	D	
70. AT&T INC - T - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	B	
71. ANALOG DEVICES - ADI - IRA	A	Dividend			Sold	06/19/13	J	B	
72. AUTOMATIC DATA PROCESSING - ADP - IRA	A	Dividend			Sold	06/19/13	J	C	
73. INTERNATIONAL BUSINESS MCHS - IBM - IRA	A	Dividend			Sold	06/19/13	J	C	
74. PAYCHEX INC - PAYX - IRA	A	Dividend			Sold	06/19/13	J	B	
75. QUALCOMM INC - QCOM - IRA	A	Dividend			Sold	06/19/13	J	B	
76. VISA INC - V - IRA	A	Dividend			Sold	06/19/13	K	D	
77. GENUINE PARTS CO - GPC - IRA	A	Dividend			Sold	06/19/13	J	B	
78. MCDONALDS CORP - MCD - IRA	A	Dividend			Sold	06/19/13	J	C	
79. H J HEINZ CO - HNZ - IRA	A	Dividend			Sold	06/10/13	J	C	
80. PROCTER & GAMBLE CO - PG - IRA	A	Dividend			Sold	06/19/13	J	B	
81. WALMART STORES INC - WMT - IRA	A	Dividend			Sold	06/19/13	J	C	
82. CHESAPEAKE ENERGY CORP - CHK - IRA	A	Dividend			Sold	06/19/13	J	A	
83. CONOCO PHILLIPS - COP - IRA	A	Dividend	K	T	Sold (part)	06/19/13	J	B	
84. OCCIDENTAL PETROLEUM - OXY - IRA	A	Dividend			Sold	06/19/13	J	B	
85. TIDEWATER INC - TDW - IRA	A	Dividend			Sold	06/19/13	J	A	

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86. CHUBB CORP - CB - IRA	A	Dividend			Sold	06/19/13	J	B	
87. FEDERATED INVS INC PA CLB - FII - IRA	A	Dividend			Sold	06/19/13	J	A	
88. NYSE EURONEXT - NYX - IRA	A	Dividend			Sold	06/19/13	J	A	
89. ABBOTT LABS - ABT - IRA	A	Dividend			Sold	06/19/13	J	B	
90. BRISTOL MYERS SQUIBB - BMY - IRA	A	Dividend			Sold	06/19/13	J	C	
91. JOHNSON AND JOHNSON - JNJ - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	C	
92. ELI LILLY & CO - LLY - IRA	A	Dividend	J	T	Sold (part)	06/19/13	J	C	
93. EMERSON ELECTRIC - EMR - IRA	A	Dividend	K	T	Sold (part)	06/19/13	J	A	
94. DUPONT EI DE NEMOURS - DD - IRA	A	Dividend	K	T	Sold (part)	06/19/13	J	A	
95. FREEPORT - MCMORAN - FCX - IRA	A	Dividend			Sold	06/19/13	J	A	
96. PPG INDUSTRIES INC - PPG - IRA	A	Dividend			Sold	06/19/13	J	A	
97. WINDSTREAM CORP - WIN - IRA	A	Dividend			Sold	06/19/13	J		
98. DOMINION RES INC VA - D - IRA	A	Dividend			Sold	06/19/13	J	B	
99. EXELON CORP - EXC - IRA	A	Dividend			Sold	06/19/13	J	A	
100. SOUTHERN CO - SO - IRA	A	Dividend			Sold	06/19/13	K	C	
101. ROYAL DUTCH SHELL - RDS - IRA	A	Dividend			Sold	06/19/13	J	A	
102. ACCENTURE PLC IRELAND - ACN - IRA	A	Dividend			Sold	06/19/13	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. VANGUARD INDEX FDS TOTAL STOCK - VTI	A	Dividend	J	T					
104. DUNKIN BRANDS GROUP INC - DNKN	A	Dividend			Sold	08/08/13	J	A	
105. BLACKROCK MUNIHOLDINGS QUALITY FD II - MUE	A	Dividend	J	T					
106. TARGET CORP - TGT	A	Dividend			Sold	12/23/13	J	A	
107. CITIGROUP FDG INC MED TERM SR (TRUST 1)	A	Interest			Sold	12/24/13	K	A	
108. MORGAN STANLEY DW DISC SRMTRIS (TRUST 1)	A	Interest	J	T					
109. SUNTRUST BANK ATLANTA CD INDEX ZERO (TRUST 1)	A	Interest	K	T					
110. SUNTRUST BANK ATLANTA CD (TRUST 1)	A	Interest	L	T					
111. WELLS FARGO & CO FIX FIT NT (TRUST 1)	A	Interest	J	T					
112. SUNTRUST MONEY MARKET A/C (TRUST 1)	A	Interest	K	T					
113. CITIGROUP FDG INC MED TERM SR (TRUST 2)	A	Interest			Redeemed	12/24/13	K		
114. SUNTRUST BK ATLANTA GA CD (TRUST 2)	A	Interest	K	T					
115. SUNTRUST MONEY MARKET A/C (TRUST 2)	A	Interest	K	T					
116. SUNTRUST MONEY MARKET A/C (TRUST 3)	B	Interest			Redeemed	04/12/13	K		
117. ZYNGA INC		None			Sold	08/05/13	J	A	
118. RCM TECH INC		None			Sold	09/12/13	J	A	
119. SLM CORP		None			Sold	01/28/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PIMCO INV GR BOND CL D	A	Dividend	J	T					
121. API EFFICIENT FRON CL C	A	Dividend	J	T					
122. AUXILIUM PHARM		None			Sold	08/08/13	J	A	
123. ADVANTAGE MM A/C - OPPENHEIMER	A	Interest	J	T					
124. AT&T INC - COMMON - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
125. ALTRIA GROUP INC - TRUST 1	A	Dividend			Sold	04/12/13	J	A	
126. BRISTOL MYERS SQUIBB - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
127. CAMPBELL SOUP - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
128. DUKE ENERGY CORP - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
129. EXELON CORP - TRUST 1	A	Dividend			Sold	04/12/13	J	A	
130. GENERAL MILLS INC - TRUST 1	A	Dividend			Sold	04/12/13	J	A	
131. JOHNSON & JOHNSON - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
132. KELLOGG COMPANY - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
133. KIMBERLY CLARK - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
134. ELI LILLY & CO - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
135. MCDONALDS CORP - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
136. MERCK & CO - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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JONES III, JOHN E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. PPL CORP - TRUST 1	A	Dividend			Sold	04/12/13	J	A	
138. PEPSICO INC - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
139. PFIZER - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
140. PHILIP MORRIS INT'L - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
141. PROCTER & GAMBLE CO - TRUST 1	A	Dividend			Sold	04/12/13	J	B	
142. SOUTHERN CO - TRUST 1	A	Dividend			Sold	07/17/13	J	A	
143. VERIZON COMMUNICATIONS - TRUST 1	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
144. AT&T INC - COMMON - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
145. ALTRIA GROUP INC - TRUST 2	A	Dividend			Sold	04/12/13	J	A	
146. BRISTOL MYERS SQUIBB - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
147. CAMPBELL SOUP - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
148. DUKE ENERGY CORP - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
149. EXELON CORP - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
150. GENERAL MILLS INC - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
151. JOHNSON & JOHNSON - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
152. KELLOGG COMPANY - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
153. KIMBERLY CLARK - TRUST 2	A	Dividend			Sold	04/12/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ELI LILLY & CO - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
155. MCDONALDS CORP - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
156. MERCK & CO - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
157. PPL CORP - TRUST 2	A	Dividend			Sold	04/12/13	J	A	
158. PEPSICO INC - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
159. PFIZER - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
160. PHILIP MORRIS INT'L - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
161. PROCTER & GAMBLE CO - TRUST 2	A	Dividend			Sold	04/12/13	J	B	
162. SOUTHERN CO - TRUST 2	A	Dividend			Sold	04/12/13	J	A	
163. VERIZON COMMUNICATIONS - TRUST 2	A	Dividend	J	T	Sold (part)	04/12/13	J	A	
164. SUN TRUST BK ATLANTA CD - TRUST 2	B	Interest	K	T					
165. MORGAN STANLEY DW DIS NOTE - TRUST 2	A	Interest	J	T					
166. WELLS FARGO & CO FIX FH NOTE - TRUST 2	A	Interest	J	T					
167. ABIOMED INC		None			Buy	03/07/13	J		
168. ABIOMED INC		None			Sold	08/08/13	J	A	
169. AMERICAN TOWER CORP	A	Dividend			Buy	05/13/13	J		
170. AMERICAN TOWER CORP	A	Dividend			Sold	07/30/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. DISCOVERY LABORATORIES INC		None			Buy	03/04/13	J		
172. DISCOVERY LABORATORIES INC		None			Sold	07/19/13	J	A	
173. INOVIO PHARMACEUTICALS INC		None			Buy	04/01/13	J		
174. INOVIO PHARMACEUTICALS INC		None			Sold	08/13/13	J	A	
175. AQUA AMERICA INC	A	Dividend	J	T	Buy	08/13/13	J		
176. CITIZENS & NORTHERN CP	A	Dividend	J	T	Buy	08/13/13	J		
177. COMCAST CORP	A	Dividend	J	T	Buy	08/13/13	J		
178. GLOBE SPECIALTY METALS	A	Dividend	J	T	Buy	08/13/13	J		
179. ICG GROUP INC		None	J	T	Buy	08/13/13	J		
180. NATIONAL OILWELL VARCO	A	Dividend	J	T	Buy	08/13/13	J		
181. POWERSHARES QQQ TR SER 1	A	Dividend	J	T	Buy	08/13/13	J		
182. STARBUCKS CORP	A	Dividend	J	T	Buy	08/13/13	J		
183. WINDSTREAM HOLDINGS	A	Dividend	J	T	Buy	08/13/13	J		
184. BRIDGEWAY ULTRA SMALL CO MKT	A	Dividend	J	T	Buy	08/13/13	J		
185. FIDELITY SELECT BIOTECH	A	Dividend	J	T	Buy	08/13/13	J		
186. T ROWE PRICE BLUE CHIP GR		None	J	T	Buy	08/13/13	J		
187. PERMANENT PORTFOLIO	A	Dividend	J	T	Buy	08/13/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. VANGUARD BALANCED INDEX	A	Dividend	J	T	Buy	08/13/13	J		
189. ABBVIE INC - IRA	A	Dividend			Spinoff (from line 89)	01/01/13	J		
190. ABBVIE INC - IRA	A	Dividend			Sold	06/19/13	J	C	
191. ABBVIE INC - IRA	A	Dividend	K	T	Buy	06/19/13	K		
192. CMS ENERGY CORP - IRA	A	Dividend			Buy	06/19/13	J		
193. CMS ENERGY CORP - IRA	A	Dividend			Sold	12/16/13	J		
194. DARDEN RESTAURANTS - IRA	A	Dividend	J	T	Buy	06/19/13	J		
195. DARDEN RESTAURANTS - IRA	A	Dividend			Sold (part)	10/09/13	J		
196. EDISON INT'L - IRA	A	Dividend			Buy	07/31/13	J		
197. EDISON INT'L - IRA	A	Dividend			Sold	10/08/13	J		
198. GENERAL MILLS - IRA	A	Dividend			Buy	06/19/13	J		
199. GENERAL MILLS - IRA	A	Dividend			Sold	12/16/13	J		
200. ROYAL DUTCH SHELL ADR CL A - IRA	A	Dividend	J	T	Buy	06/19/13	J		
201. ROYAL DUTCH SHELL ADR CL A - IRA	A	Dividend			Sold (part)	08/28/13	J	A	
202. AMGEN - IRA	A	Dividend	K	T	Buy	06/19/13	J		
203. APPLE INC - IRA	A	Dividend	K	T	Buy	06/19/13	J		
204. ARTISAN PARTNERS ASSET MGMT - IRA	A	Dividend	J	T	Buy	08/28/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. BB&T - IRA	A	Dividend	K	T	Buy	06/19/13	J		
206. BLACKROCK INC - IRA	A	Dividend	K	T	Buy	09/23/13	J		
207. CENTERPOINT ENERGY INC - IRA	A	Dividend	J	T	Buy	07/17/13	J		
208. DOW CHEMICAL - IRA	A	Dividend	K	T	Buy	10/08/13	J		
209. DUKE ENERGY - IRA	A	Dividend	J	T	Buy	06/19/13	J		
210. FT UNIT 4274 INT RATE HEDGE - IRA	B	Dividend	L	T	Buy	06/19/13	L		
211. FIRST TR EXCHANGE - CONSUMER - IRA	A	Dividend	L	T	Buy	06/20/13	L		
212. FIRST TR EXCHANGE - CONSUMER - IRA	A	Dividend	K	T	Buy	06/20/13	K		
213. FIRST TR EXCHANGE - ENERGY - IRA	A	Dividend	K	T	Buy	06/20/13	K		
214. FIRST TR EXCHANGE - FINANCIAL - IRA	A	Dividend	K	T	Buy	06/20/13	K		
215. FIRST TR EXCHANGE - HLTHCARE - IRA	A	Dividend	K	T	Buy	06/20/13	K		
216. FIRST TR EXCHANGE - INDS PRDCT- IRA	A	Dividend	K	T	Buy	06/20/13	K		
217. FIRST TR EXCHANGE - MATERIALS - IRA	A	Dividend	K	T	Buy	06/20/13	K		
218. FIRST TR EXCHANGE - TECHNOLOGY - IRA	A	Dividend	K	T	Buy	06/20/13	K		
219. FIRST TR EXCHANGE - UTILITIES - IRA	B	Dividend	L	T	Buy	06/20/13	K		
220. JP MORGAN CHASE - IRA	A	Dividend	J	T	Buy	06/19/13	J		
221. KLA-TENCOR CORP - IRA	A	Dividend	J	T	Buy	07/17/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. MACY'S INC - IRA	A	Dividend	J	T	Buy	06/19/13	J		
223. MATTELL INC - IRA	A	Dividend	J	T	Buy	06/19/13	J		
224. NEW YORK COMMUNITY BANK - IRA	A	Dividend	J	T	Buy	08/28/13	J		
225. NEXTERA ENERGY INC - IRA	A	Dividend	J	T	Buy	06/19/13	J		
226. PHILIP MORRIS INT'L - IRA	A	Dividend	J	T	Buy	06/19/13	J		
227. SUNTRUST BK ATLANTA CD - IRA	A	Interest	L	T	Buy	06/24/13	L		
228. TOTAL SASPON ADR - IRA		None	K	T	Buy	12/16/13	K		
229. WILLIAMS COS INC - IRA	A	Dividend	K	T	Buy	06/19/13	J		
230. BANCO POPULAR DE PR CD	A	Interest	J	T	Buy	01/17/13	J		
231. LYONDELLBASELL INDUSTRIES - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
232. LYONDELLBASELL INDUSTRIES - TRUST 1	A	Dividend			Sold (part)	10/11/13	J	A	
233. CMS ENERGY CORP - TRUST 1	A	Dividend			Buy	04/12/13	J		
234. CMS ENERGY CORP - TRUST 1	A	Dividend			Sold	12/16/13	J		
235. DARDEN RESTAURANTS - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
236. DARDEN RESTAURANTS - TRUST 1	A	Dividend			Sold (part)	10/08/13	J		
237. EDISON INTERNATIONAL - TRUST 1	A	Dividend			Buy	04/12/13	J		
238. EDISON INTERNATIONAL - TRUST 1	A	Dividend			Sold	10/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. ROYAL DUTCH SHELL ADR EA - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
240. ROYAL DUTCH SHELL ADR EA - TRUST I	A	Dividend			Sold (part)	08/29/13	J	A	
241. SEAGATE TECHNOLOGY PLC - TRUST I		None	J	T	Buy	04/12/13	J		
242. ABBVIE INC - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
243. AMGEN INC - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
244. APPLE INC - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
245. ARTISAN PARTNERS ASSET MGT- TRUST I	A	Dividend	J	T	Buy	08/29/13	J		
246. BB&T CORP - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
247. BLACKROCK INC - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
248. CENTERPOINT ENERGY INC - TRUST I	A	Dividend	J	T	Buy	07/17/13	J		
249. CHEVRON CORP - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
250. CONOCO PHILLIPS - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
251. DOW CHEMICAL CO - TRUST I	A	Dividend	J	T	Buy	10/08/13	J		
252. DUPONT EI DEMOURS - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
253. EMERSON ELECTRIC - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
254. GENERAL ELECTRIC CO - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		
255. INTEL CORP - TRUST I	A	Dividend	J	T	Buy	04/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. JP MORGAN CHASE - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
257. KLA-TENCOR CORP - TRUST 1	A	Dividend	J	T	Buy	07/17/13	J		
258. MACYS INC - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
259. MATTEL INC - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
260. MICROSOFT CORP - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
261. NEW YORK COMM BANK - TRUST 1	A	Dividend	J	T	Buy	08/29/13	J		
262. NEXTERA ENERGY INC - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
263. TOTAL SASPON ADR EA - TRUST 1	A	Dividend	J	T	Buy	12/16/13	J		
264. UNITED PARCEL SVC INC - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
265. WELLS FARGO & CO - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
266. WILLIAMS COS INC - TRUST 1	A	Dividend	J	T	Buy	04/12/13	J		
267. ABBVIE INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
268. ABBVIE INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
269. LYONDELLBASELL IND - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
270. LYONDELLBASELL IND - TRUST 2	A	Dividend			Sold (part)	10/11/13	J	A	
271. AMGEN INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
272. AMGEN INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. APPLE INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
274. APPLE INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
275. ARTISAN PARTNERS ASSET - TRUST 2	A	Dividend	J	T	Buy	08/29/13	J		
276. ARTISAN PARTNERS ASSET - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
277. BB&T - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
278. BB&T - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
279. BLACKROCK INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
280. BLACKROCK INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
281. CMS ENERGY CORP - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
282. CMS ENERGY CORP - TRUST 2	A	Dividend			Sold (part)	12/10/13	J		
283. CENTERPOINT ENERGY - TRUST 2	A	Dividend	J	T	Buy	07/07/13	J		
284. CENTERPOINT ENERGY - TRUST 2	A	Dividend			Sold (part)	12/10/13	J		
285. CHEVRON CORP - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
286. CHEVRON CORP - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
287. CONOCO PHILLIPS - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
288. CONOCO PHILLIPS - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
289. DARDEN RESTAURANTS - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. DARDEN RESTAURANTS - TRUST 2	A	Dividend			Sold (part)	10/08/13	J		
291. DOW CHEMICAL - TRUST 2	A	Dividend	J	T	Buy	10/08/13	J		
292. DOW CHEMICAL - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
293. DUPONT EI DE NEMOURS - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
294. DUPONT EI DE NEMOURS - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
295. EMERSON ELECTRIC CO - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
296. EMERSON ELECTRIC CO - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
297. EDISON INT'L - TRUST 2	A	Dividend			Buy	04/12/13	J		
298. EDISON INT'L - TRUST 2	A	Dividend			Sold	10/08/13	J		
299. GENERAL ELECTRIC CO - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
300. GENERAL ELECTRIC CO - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
301. INTEL CORP - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
302. INTEL CORP - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
303. JP MORGAN CHASE - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
304. JP MORGAN CHASE - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
305. KLA-TENCOR CORP - TRUST 2	A	Dividend	J	T	Buy	07/17/13	J		
306. KLA-TENCOR CORP - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. MACY'S INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
308. MACY'S INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
309. MATTEL INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
310. MATTEL INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
311. MICROSOFT - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
312. MICROSOFT - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
313. NEW YORK COMM BK - TRUST 2	A	Dividend	J	T	Buy	08/29/13	J		
314. NEW YORK COMM BK - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
315. NEXTERA ENERGY INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
316. NEXTERA ENERGY INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
317. ROYAL DUTCH SHELL ADR - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
318. ROYAL DUTCH SHELL ADR - TRUST 2	A	Dividend			Sold (part)	08/29/13	J	A	
319. UNITED PARCEL SVC INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
320. UNITED PARCEL SVC INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
321. WELLS FARGO & CO - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		
322. WELLS FARGO & CO - TRUST 2	A	Dividend			Sold (part)	12/10/13	J	A	
323. WILLIAMS COS INC - TRUST 2	A	Dividend	J	T	Buy	04/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. WILLIAMS COS INC - TRUST 2	A	Dividend			Sold (part)	12/10/13	J		
325. RIVERVIEW BANK - SAVINGS ACCT	A	Interest	J	T	Open	05/31/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/07/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/07/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JOHN E. JONES III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544