

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bough, Stephen R.	2. Court or Organization U.S. District Court - Western District of Missouri	3. Date of Report 4/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 400 E. 9th Street, Suite 7462 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Federal Bar Association - Western District of Missouri and District of Kansas
2. Board member	UMKC Law Foundation
3. Board member	Lawyers Encouraging Academic Performance
4. Advisory Board	Second Chance
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Blake Heath purchased the assets of The Law Offices of Stephen R. Bough with a written agreement for 25% of the contingent fee at the end of the litigation
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

4/23/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Blake Heath Trial Attorney LLC, pursuant to the written agreement referenced in Part II. The agreement is a fixed percentage of the contingent fee.	\$150,967.15
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Lewis Rice, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Georgia Law School	April 12 - 14, 2018	Athens, GA	Judge Moot Court, teach two classes	Hotel, rental car, air, food
2. American Bar Association	April 5 - 6, 2018	Phoenix, AZ	Speak at ABA Product Liability CLE	Hotel, taxi, air, food
3. Washington University School of Law	August 20 - 21, 2018	St. Louis, MO	Speak at Convocation	Hotel, taxi, air, food
4. Attorney's Information Exchange Group	April 17 - 18, 2018	Nashville, TN	Speak at conference on ESI	Hotel, taxi, air, food
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

4/23/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Kansas City Christian High School	Tuition Agreement	J
2.	Dept. of Educaiton - Direct Loan MOHELA	Student Loan	L
3.	Chase credit card	Credit Card	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

4/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 529 Plan - (H)									
2. - Invest Ed Balanced PRT A	C	Dividend	K	T					
3. - Ivy Limited-Term Bond E	A	Dividend	K	T					
4. Roth IRA - (H)									
5. - Ivy Fund - High Income A	B	Dividend	K	T					
6. - Ivy Fund - Small Cap Fund A	B	Dividend	J	T					
7. - Ivy Global Income Alloc A	A	Dividend			Sold	11/02/18	K		
8. - Ivy Global Real Estate A	A	Dividend	K	T					
9. - Ivy Managed Inter Opp A	B	Dividend	K	T					
10. - Ivy Mid Cap Income Opp A	A	Dividend	J	T					
11. - Ivy Limited-Term Bond A	B	Dividend	M	T					
12. -Ivy Asset Strategy A	A	Dividend	K	T	Buy	11/02/18	K		
13. Simple 401K - (H)									
14. - Ivy Fund Dividend Opp A	B	Dividend			Sold	02/23/18	K		
15. - Ivy Fund High Income A	B	Dividend	K	T					
16. - Ivy Global Income Alloc A	A	Dividend			Sold	11/02/18	K		
17. - Ivy Core Equity A	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000	F = \$100,001 - \$500,000 G = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000	L = \$50,001 - \$100,000 P1 = \$100,001 - \$250,000 P4 = More than \$500,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Bough, Stephen R.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Ivy Euro Opp A	A	Dividend			Sold	11/02/18	J		
19. - Ivy International Core Equity A	A	Dividend	J	T	Buy	11/02/18	J		
20. - Ivy Global Equity Income A	C	Dividend	K	T	Buy	02/23/18	K		
21. - Ivy Asset Strategy A	A	Dividend	K	T	Buy	11/02/18	K		
22. JTN TOD - ■■■ & ■■■ (H)									
23. - Ivy Core Equity A	C	Dividend	K	T	Sold (part)	10/23/18	J		
24. - Ivy Fund Small Cap A	B	Dividend	K	T	Sold (part)	07/02/18	J		
25. - Ivy Managed Intern Opp A	A	Dividend	J	T					
26. - Ivy Tax-Managed Equity A	A	Dividend			Sold	11/02/18	J		
27. - Ivy Apollo Multi-Asset Income A	A	Dividend	J	T	Sold (part)	12/12/18	J		
28. - Ivy Limited-Term Bond A	A	Dividend	J	T	Sold (part)	07/02/18	K		
29. - Ivy Large Cap Growth A	A	Dividend	J	T	Buy	11/02/18	J		
30. 529 Plan - ■■■ (H)									
31. - Ivy Limited-Term Bond E	A	Dividend	J	T	Sold (part)	07/30/18	K		
32. Exclusive K - ■■■ (H)									
33. - Ivy Fund Small Cap A	B	Dividend	K	T					
34. - Ivy Euro Opp A	A	Dividend			Sold	11/02/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Bough, Stephen R.

Date of Report

4/23/2019

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35. - Ivy Apollo Multi-Asset Income A	C	Dividend	L	T					
36. - Ivy Limited-Term A	A	Dividend	J	T					
37. - Ivy Science and Tech A	D	Dividend	K	T					
38. - Ivy International Core Equity A	A	Dividend	K	T	Buy	11/02/18	K		
39. Roth IRA - (H)									
40. - W & R Adv Continental Inc A	A	Dividend			Sold	02/23/18	J		
41. - W & R Adv High Income A	A	Dividend			Sold	02/23/18	J		
42. - W & R Advisors New Concepts A	A	Dividend			Sold	02/23/18	J		
43. - W & R Advisors Science & Tech A	A	Dividend			Sold	02/23/18	J		
44. - W & R Advisors Small Cap A	A	Dividend			Sold	02/23/18	J		
45. - Ivy Mid Cap Growth A	A	Dividend	J	T	Buy	02/23/18	J		
46. - Ivy Science and Technology A	A	Dividend	J	T	Buy	02/23/18	J		
47. - Ivy Small Cap Growth A	A	Dividend	J	T	Buy	02/23/18	J		
48. - Ivy Balanced A	A	Dividend	J	T	Buy	02/23/18	J		
49. - Ivy High Income A	A	Dividend	J	T	Buy	02/23/18	J		
50. UTMA - (H)									
51. - Ivy Limited-Term Bond A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

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52. Missouri Bank & Trust	A	Int./Div.	J	T					
53. Lewis Rice Profit Sharing BOK Financial TRP Retire 2035	A	Dividend	K	T					
54. Lewis Rice 401K BOK Financial TRP Retire 2035	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

4/23/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Bough, Stephen R.

Date of Report

4/23/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen R. Bough**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544