

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Singleton, James K.	2. Court or Organization U.S.District Court, Alaska	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge- Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 222 West Seventh Avenue, No.41 Anchorage, Alaska 99513-7524		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	State of Alaska, Judicial Retirement commenced 01/01/94
2. 2013	Executive Life Ins.Co. annuity from State payable age 60
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Singleton, James K.

Date of Report

05/08/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	State of Alaska Judicial Retirement	\$114,051.00
2. 2013	Alaska Supplemental Annuity Plan	\$15,343.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Anchorage School District Teacher Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting
Singleton, James K.

Date of Report
05/08/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Singleton, James K.

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05/08/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Executive Life Insurance State Retirement Plan		None	M	U					
2. Transamerica Inc. Retirement annuity		None	L	T					
3. First Nat'l Bank AK Checking Acct. main branch		None	K	T					
4. Davis New York Venture FD CL Y	B	Dividend	K	T					
5. American Cap Inc Bldr FD CL F2	A	Dividend	K	T					
6. Cohen & Steers Select Infrastructure Fund Inc.	B	Dividend	K	T					
7. Gabelli Dividend & Income Trust	A	Dividend	J	T					
8. Merrill Lynch Bank (cash)	A	Interest	J	T					
9. Blackrock Natural Resources Trust Instl	A	Dividend			Sold	07/22/13	J		
10. Blackrock Global Allocation FD Inc Instl	A	Dividend	K	T	Sold (part)	07/22/13	J		
11. Invesco Vlu Mun Income	B	Dividend	K	T					
12. Bank of America Corp.	A	Dividend	J	T					
13. Wal-Mart Stores Inc.	A	Dividend	J	T					
14. Pimco Real Return FD CL P	A	Dividend	J	T	Sold (part)	03/21/13	J	A	
15. Templeton Gbl Bond FD Adv CL	A	Dividend	K	T					
16. FIA Card Services NA RASP	A	Interest	J	T					
17. AT & T Inc.	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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18. Blackrock Eqty Dividend Fund Instl	A	Dividend	K	T					
19. Coca Cola COM	A	Dividend	J	T					
20. Delafield Fund	A	Dividend	J	T					
21. Fairholme Fund	A	Dividend	J	T					
22. Loomis Sayles Strategic Inc. FD CL Y	B	Dividend	K	T					
23. Eli Lilly & Co.	A	Dividend	J	T					
24. Microsoft Corp.	A	Dividend	J	T					
25. Blackrock Multi Asset Income	A	Dividend	J	T	Buy	07/22/13	J		
26. Pimco Dynamic Income FD	A	Dividend	J	T	Buy	03/21/13	J		
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Singleton, James K.

Date of Report

05/08/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Singleton, James K.

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05/08/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James K. Singleton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544