

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHELL, RICHARD A.	2. Court or Organization U.S. DISTRICT COURT, EDETX	3. Date of Report 5/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. COURTHOUSE 7940 PRESTON ROAD PLANO, TEXAS 75024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Visiting Professor	SMU Dedman School of Law
2.	Director	Baylor Medical Center at McKinney, TX
3.	Trustee	Trust #1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	SMU Dedman School of Law - Teaching	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank	A	Interest	K	T					
2. Capital One Bank	B	Interest	N	T					
3. Community Trust Bank	C	Interest	N	T					
4. Legacy Texas Bank	A	Interest	J	T					
5. Ally Demand Notes	A	Interest	K	T					
6. Wells Fargo (X)	A	Interest	J	T					
7. Vanguard Total Stock Market	A	Dividend	K	T	Buy	01/23/13	K		
8. Vanguard Midcap	A	Dividend	K	T	Buy	01/23/13	K		
9. AA - common stock	A	Dividend	K	T	Buy	01/23/13	J		
10. BP - common stock	A	Dividend	K	T					
11. COP - common stock	A	Dividend	K	T	Buy	01/23/13	J		
12. PSX - common stock	A	Dividend	J	T					
13. COST - common stock	A	Dividend	J	T	Buy	01/23/13	J		
14. F - common stock	A	Dividend	K	T					
15. JNJ - common stock	A	Dividend	K	T	Buy	01/23/13	J		
16. JPM - common stock	B	Dividend	L	T					
17. WMT - common stock	B	Dividend	L	T	Buy	01/23/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Beech Grove IN Multi School Bldg - muni bond		None	J	T					
19. Brownsburg IN Bldg Corp - muni bond	A	Interest			Redeemed	08/01/13	J	A	
20. Eagle-Union Middle School Bldg Corp - muni bond		None	J	T					
21. Evansville - Vanderburgh IN School Bldg Corp - muni bond	A	Interest	K	T					
22. Fishers IN Redev Auth - muni bond	A	Interest			Redeemed	07/15/13	J		
23. Greater Jasper IN School Bldg Corp - muni bond		None	J	T					
24. IPS Multi-School Bldg Corp - muni bond	A	Interest	J	T					
25. Knox IN Middle School Bldg Corp - muni bond		None	J	T					
26. Knox IN Middle School Bldg Corp - muni bond		None	K	T					
27. Lake County IN Pub. Libr. Dist. - muni bond	A	Interest	J	T					
28. MCCSC IN School Bldg Corp. - muni bond		None	J	T					
29. Noblesville IN High School Bldg.Corp. - muni bond		None	K	T					
30. Portage TWP IN Multi School - muni bond	A	Interest	J	T					
31. South Madison IN Elem School Bldg Corp. - muni bond	A	Interest	J	T					
32. Valparaiso IN Swr.Rev. muni bond	A	Interest	J	T					
33. Zionsville IN Cmnty. School Bldg Corp. - muni bond		None	J	T					
34. Acreage - Ellis Co., TX		None	J	W					

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35. Fidelity IRA: Diversified International Fund	A	Dividend	J	T					
36. Fidelity IRA: Small Cap Stock Fund	A	Dividend	J	T					
37. Fidelity IRA: Capital Appreciation Fund	A	Dividend	K	T					
38. Fidelity IRA: Contrafund	A	Dividend	K	T					
39. Fidelity IRA: Ginnie Mae Fund	A	Dividend	J	T					
40. Fidelity IRA: Dividend Growth Fund	A	Dividend	J	T					
41. Fidelity IRA: Real Estate Income Fund	A	Dividend	J	T					
42. Fidelity IRA: Value Fund	A	Dividend	J	T					
43. Trust #1 - Legacy Texas Bank (X)	A	Interest	L	T					
44. Trust #1 - Legacy Texas Group, Inc. - common stock (X)	E	Dividend	M	U					
45. Acreage - Lake Co., IN (X)	A	Rent	M	W					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **RICHARD A. SCHELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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