

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grewal, Paul S.	2. Court or Organization District Court- Northern District of California	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Robert F. Peckham Federal Building 280 S. 1st Street San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2009	Howrey LLP Partnership Agreement with former law firm, no control
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Rutter Group CLE-- teaching	\$2,250.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Symantec Corporation -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Chengchi University	1/14/2012	Taipei, Taiwan	Mock Trial	Transportation, lodging and food
2. Santa Clara County Trial Lawyers Association	5/3/2012	San Jose	Award	Food
3. George Washington University Law School	5/8/2012	Washington	CLE--teach	Transportation, lodging and food
4. IIT-Chicago Kent College of law	9/13/2012	Chicago	CLE--teach	Transportation, lodging and food
5. Conference on Preservation Excellence	9/27/2012	Portland	CLE--teach	Transportation, lodging and food

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6.	Colorado Association of Litigation Support Professionals	10/5/2012	Denver	Speech	Transportation, lodging and food
7.	Rutter Group	11/14/2012	Los Angeles	CLE--teach	Transportation, lodging and food
8.	National Taiwan University, Peking University Law School	10/19/2012-10/29/2012	Beijing, China and Taipei, Taiwan	CLE--teach	Transportation, lodging and food
9.	George Washington University Law School	12/9/2012-12/14/2012	Ahmedabad and Delhi, India	CLE--teach	Transportation, lodging and food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Inn of Court	Membership (dues, like privileges)	\$400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Mortgage on rental property #1, San Jose, CA (Pt. VIII, line 9)	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #1									
2. - American Century Income & Growth	A	Int./Div.	K	T					
3. - TD Ameritrade (Money Market Account)	A	Int./Div.	K	T					
4. - T. Rowe Price New Asia	A	Int./Div.	K	T					
5. - ETrade									
6. - ETrade (Deposit Account)	A	Int./Div.	L	T					
7. - Symantec Corporation	A	Dividend	K	T	Buy (add'l)	2/15/12	J		
8. - ING Direct	B	Interest	M	T					
9. - Rental Property #1, San Jose, CA	E	Rent			Sold	11/27/12	O	A	S. Tiperneni, S. Cherukuri
10. First Tech Federal Credit Union (Deposit Accounts)	A	Interest	N	T					
11. 401(K) #1									
12. - Metropolitan West Total Return Fund		None	M	T					
13. - MFS Blended Research U.S. Core Equity		None	M	T					
14. - Vanguard Institutional Index Instl		None	K	T					
15. - Vanguard International Growth Adm		None	K	T					
16. - Vanguard Small Cap Index Instl		None	K	T					
17. - Dodge & Cox International Stock		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Vanguard MidCap Index Ins		None	K	T					
19. IRA #1									
20. - Fidelity Freedom 2035		None	N	T					
21. - Fidelity Total Mkt Index Investor Class		None	K	T					
22. Fidelity Scholarshare CA Portfolio 2024		None	K	T					
23. T. Rowe Portfolio 2018		None	M	T					
24. Hewlett-Packard Company	A	Dividend	K	T					
25. IRA #2									
26. - Old Mutual Strategic Small Company Fund CI Z		None	J	T					
27. Agilent Technologies (See VIII)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. INVESTMENTS and TRUSTS

26. My shares in Agilent Technologies resulted from a spin-off of my shares in Hewlett Packard Company (line 23). I previously neglected to account for these items separately.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul S. Grewal

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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