

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strawbridge, David R.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 601 Market Street, Room 3030 Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director and Advisory Board Chair	Bethesda Project
2. Trustee	Trust #1 (see VIII - #3)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 8/27/93	Cozen O'Connor Deferred Compensation Plan (See VIII - #1)
2. 12/31/71	Cozen O'Connor Profit Sharing 401(k) Plan (See VIII - #2)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Self-Employed Artist

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wachovia Bank/Wells Fargo Bank (see VIII, #4)	A	Interest	J	T					
2. Vanguard PA Tx Exempt Money Market Fund	A	Dividend	J	T					
3. Vanguard Intermediate Term Treas Fund Adm Shares	D	Dividend	M	T	Sold (part)	01/03/12	J	A	
4. Vanguard Short Term Treas Fund Adm Shares	A	Dividend	L	T					
5. Vanguard Intermediate Term Tx Exempt Fund Inv Shares	A	Dividend	J	T					
6. Vanguard LT Tx Empt Adm Shares	C	Dividend	L	T					
7. Vanguard International Value Fund	B	Dividend	L	T					
8. Vanguard Tx Managed Capital Appreciation Fund Adm Shares	B	Dividend	L	T	Sold (part)	11/27/12	J	C	
9. Vanguard Tx Managed Growth and Income Fund Adm Shares	B	Dividend	L	T	Sold (part)	12/28/12	J	A	
10. Vanguard Total International Stock Index Fund Admiral	B	Dividend	K	T					
11. Vanguard Variable Annuity - Total Bond Market		None	M	T					
12. Vanguard Variable-Growth		None	K	T					
13. Fidelity Balanced Fund	B	Dividend	M	T					
14. Fidelity Government Income	B	Dividend	L	T					
15. Phoenix Annuity		None	K	T					
16. Boston Capital Tax Credit Fund III	A	Interest	J	T					
17. Boston Capital Tax Credit Fund IV	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #1									
19. Vanguard U.S. Growth Fund Investor Share	A	Dividend	K	T					
20. Vanguard Growth and Income Investor Shares	A	Dividend	K	T					
21.									
22. IRA #2									
23. Vanguard U.S. Growth Fund Investor Shares	A	Dividend	J	T					
24. Vanguard Growth and Income Adm Shares	B	Dividend	M	T					
25. Vanguard Prime Money Market Fund	A	Dividend	J	T					
26.									
27. Profit Sharing - 401(k) Plan									
28. Pimco Total Return Fund Administrative Shares	A	Dividend	O	T					
29. Dodge and Cox Balanced Fund	D	Dividend	M	T					
30. T. Rowe Price 2010 Retirement Fund	E	Dividend	P1	T					
31. American Funds Fundamental Inv. RS	E	Dividend	N	T					
32. T. Rowe Price - money market "misc. account"	A	Interest	J	T					
33.									
34. Trust #1 (See VIII, #3)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Wells Fargo	A	Interest	J	T					
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#1 - Partially funded plan to pay set sum per year for 10 years (to May, 2015).

#2 - No control as to 2/3 plan - control within limited group of funds for remaining 1/3 - See Part VII (lines 39-44).

#3 - Trust holds a life insurance policy [REDACTED] - See Part VII (lines 49-50).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David R. Strawbridge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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