

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tydingco-Gatewood, Frances M.	2. Court or Organization District Court of Guam	3. Date of Report 08/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2011 to 12/31/2011
5b. ☒ Amended Report		
7. Chambers or Office Address District Court of Guam Fourth Floor, United States Courthouse 520 West Soledad Avenue Hagatna, Guam 96910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1984	Government of Guam Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Self-employed Periodontist

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch Money Market Fund Bank US	A	Interest	J	T				A	
2. Alcatel Lucent (IRA)	A	Dividend			Sold	02/16/11	J	A	
3. Comcast Corp. (IRA)	A	Dividend			Sold	02/16/11	J	A	
4. Cisco Systems Inc. (IRA)	A	Dividend			Sold	02/16/11	J	A	
5. Dell Inc. (IRA)	A	Dividend			Sold	02/16/11	J	A	
6. General Electric (IRA)	A	Dividend	J	T					
7. Intel Corp. (IRA)	A	Dividend			Sold	02/16/11	J	A	
8. Pfizer Inc. (IRA)	A	Dividend			Sold	02/16/11	J	A	
9. Sonic Blue (IRA)	A	Dividend			Sold	02/16/11	J	A	
10. Tyson Food Inc. (IRA)	A	Dividend	J	T					
11. US Bank Corp. (IRA)	A	Dividend	J	T					
12. Washington Mutual (IRA)	A	Dividend			Sold	02/16/11	J	A	
13. BlackRock Large Cap (IRA)	A	Dividend			Sold	04/26/11	J	A	
14. Van Kampen Value (IRA)	A	Dividend	J	T					
15. MFS Research Int. (IRA)	A	Dividend	J	T					
16. Marisco Growth Fund (IRA)	A	Dividend			Sold	04/26/11	J	A	
17. BlackRock Fundamental (IRA)	A	Dividend			Sold	04/26/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Putman Health Sciences Class B (IRA)	A	Dividend			Sold	02/11/11	J	A	
19. iShares MSCI Japan Index (IRA)	A	Dividend	J	T	Buy	04/15/11	J		
20. iShares TR Dow Jones U S (IRA)	A	Dividend	J	T	Buy	04/15/11	J		
21. iShares S&P 100 Index (IRA)	A	Dividend	J	T	Buy	04/15/11	J		
22. Black Rock Large Cap (IRA)	A	Dividend	J	T	Buy	04/15/11	J		
23. Van Kampen Value (IRA)	A	Dividend	J	T	Buy	04/15/11	J		
24. MFS Reseach Inc (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
25. Marsico Growth Fund (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
26. Franklin Templeton (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
27. BlackRock Total (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
28. BlackRock High Income (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
29. BlackRock Capital (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
30. BlackRock Basic (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
31. BlackRock Global (IRA)	A	Dividend	J	T	Buy	04/18/11	J		
32. CD Cit Bank (IRA)	A	Interest	J	T	Buy	08/23/11	J		
33. BlackRock Strategic (IRA)	A	Dividend	J	T	Buy	08/19/11	J		
34. Western Asset Income (IRA)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Putnam Absolute Return (IRA)	A	Dividend	J	T	Buy	02/16/11	J		
36. Pimco Unconstrained (IRA)	A	Dividend	J	T	Buy	02/16/11	J		
37. Pimco Total Return (IRA)	A	Dividend	K	T	Buy	02/16/11	K		
38. Small Cap BlackRock Global (IRA)	A	Dividend	J	T					
39. Allocation BlackRock Global (IRA)	A	Dividend	J	T					
40. BlackRock Bond (IRA)	A	Dividend			Sold	04/26/11	J	A	
41. General Electric Cap Corp (IRA)	A	Dividend	J	T					
42. Credit Suisse USA (IRA)	A	Dividend			Sold	08/16/11	J	A	
43. First Hawaiian Bank (IRA)		None	J	T					
44. Putnam Health Sciences Class A (IRA)	A	Dividend			Sold	02/11/11	J	A	
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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.									
87.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Frances M. Tydingco-Gatewood

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544