

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DAWSONJR., HOWARD A.	2. Court or Organization U.S. TAX COURT	3. Date of Report 05/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. TAX COURT 400 SECOND ST., N.W. WASHINGTON, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stocks are common shares unless otherwise specified									
2. Bank of America-checking	A	Interest	L	T					
3. Bank of America-M.Mkt.	A	Interest	K	T					
4. Coca Cola Inc.	A	Dividend	K	T					
5. Kraft Foods Inc.	A	Dividend	K	T	Buy (add'l)	05/03/13	J		
6. Pembina Pipeline	C	Dividend	L	T					
7. Telcom Corp. NZ	A	Dividend	J	T					
8. Keycorp	A	Dividend	J	T					
9. Duke Energy	B	Dividend	K	T					
10. Reynolds American	A	Dividend	J	T					
11. Reynolds American	A	Dividend	J	T					
12. Southern Co.	B	Dividend	K	T					
13. DNP Select Income Fund	B	Dividend	K	T					
14. Aberdeen Asia Income Fund	A	Dividend	J	T					
15. Provident Energy Trust	A	Dividend	J	T					
16. MD Health & EDL Bond	A	Interest	J	T	Buy	10/25/13	J		
17. Philip Morris Intl. Co.	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. T. Rowe Price MD Bond Fund	D	Interest	M	T					
19. Atlantic Power Corp.	B	Dividend			Sold	12/26/13	J		
20. Dreyfus T.E. Bond Fund	B	Interest	K	T					
21. MD Trans. Auth. Bond	A	Interest	J	T					
22. MFS Inter. Inc. Trust	A	Dividend	J	T					
23. No. Eur. Oil Rlty Trust	B	Royalty	J	T					
24. Intel Corp.	A	Dividend	J	T	Buy	07/05/13	J		
25. Washington Gas (WGL)	A	Dividend	K	T					
26. Just Energy Group Inc.	A	Dividend	K	T	Buy	01/08/13	J		
27. Eli Lilly Co.	A	Dividend	J	T					
28. Pepsico	A	Dividend	J	T					
29. N.B. Mun. Securities Trust	B	Interest	K	T					
30. Vodafone Group PLC	A	Dividend	J	T	Buy (add'l)	05/02/13	J		
31. Altria Inc.	B	Dividend	K	T					
32. Abbott Labs	A	Dividend	J	T					
33. Enbridge, Inc.	B	Dividend	K	T					
34. Johnson & Johnson Co.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Wachovia Pfd.	A	Dividend	J	T					
36. Exxon-Mobil	B	Dividend	K	T					
37. Encana Corp.	A	Dividend	J	T					
38. Bristol-Myers-Squibb	A	Dividend	K	T					
39. Telecom de Sao Paulo	B	Dividend	K	T					
40. Fidelity Md. Spartan Bond Fund	B	Interest	M	T					
41. Templeton Emer. Mkt. Income Fund	A	Dividend	J	T					
42. Verizon Inc.	C	Dividend	K	T	Buy (add'l)	07/05/13	J		
43. AT&T Inc.	B	Dividend	K	T					
44. H.J. Heinz Co.	A	Dividend			Sold	06/10/13	J	D	
45. DTF Tax Free Income	C	Dividend	K	T					
46. Dow Chemical	A	Dividend	K	T					
47. Procter & Gamble	A	Dividend	K	T	Buy (add'l)	05/24/13	J		
48. Mondelez Intl. Inc.	A	Dividend			Sold	05/24/13	J	D	
49. Australia & NZ Bank	A	Dividend	K	T	Buy (add'l)	02/22/13	J		
50. Annaly Capital	A	Dividend	J	T					
51. RMA Tax Free Fund (UBS)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Raytheon Corp.	A	Dividend	K	T					
53. Felcor Suite Hotels Pfd.	A	Dividend	J	T					
54. Telstra Corp.	B	Dividend	K	T	Buy (add'l)	02/22/13	J		
55. Wal-Mart Stores	B	Dividend	K	T					
56. Microsoft.	A	Dividend	K	T					
57. Transcanada Corp.	A	Dividend	J	T					
58. ACC Income Fund	A	Dividend	J	T					
59. United Utilities	A	Dividend	J	T					
60. ABBVIE Inc.	A	Dividend	J	T	Buy	01/08/13	J		
61. Pfizer, Inc.	A	Dividend	J	T					
62. SPDR Index S&P Intr. (ETF)	A	Dividend	J	T	Buy	02/22/13	J		
63. Glaxo Smith Kline PLC	A	Dividend	J	T	Buy	02/22/13	J		
64. Royal Dutch Pet. Co.	B	Dividend	K	T					
65. Penn West Energy Trust	A	Dividend	J	T					
66. Royal Bank of Canada	A	Dividend	J	T	Buy	05/02/13	J		
67. National Grid PLC	B	Dividend	K	T	Buy (add'l)	05/03/13	J		
68. Astra Zeneca	B	Dividend	K	T					

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69. Lorillard, Inc.	A	Dividend	J	T					
70. Pimco Corp. Op. Fund	B	Dividend	K	T					
71. ING Group (Debt)	A	Interest	J	T					
72. AT&T Inc.	B	Dividend	K	T					
73. Genuine Parts	A	Dividend	K	T					
74. Pitney Bowes	A	Dividend	J	T					
75. DuPont	A	Dividend	J	T					
76. Wells Fargo	A	Dividend	K	T					
77. Clorox	A	Dividend	K	T					
78. Kinder Morgan Inc.	A	Dividend	J	S	Buy	05/02/13	J		
79. AGL Resources	A	Dividend	J	T					
80. Piedmont Nat. Gas	B	Dividend	K	T					
81. Canon Inc.	A	Dividend	J	T					
82. Diageo PLC	B	Dividend	K	T					
83. Sanofi	A	Dividend	J	T	Buy (add'l)	01/25/13	J		
84. Nuveen MD Muni Bond Fund	A	Interest	K	T					
85. American MD T. Exempt Fund	A	Interest	K	T					

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86. Southern Copper Co.	A	Dividend	J	T	Buy	07/03/13	J		
87. Verizon	B	Dividend	K	T					
88. Windstream Corp.	B	Dividend	K	T					
89. Nestle	A	Dividend	J	T					
90. Bank of Nova Scotia	A	Dividend	J	T					
91. Franklin MD Tax Free Fund	A	Dividend	K	T	Buy	05/03/13	K		
92. France Telecom (now Orange)	A	Dividend	J	T					
93. Kimberly Clark	A	Dividend	J	T					
94. Total SA	A	Dividend	J	T	Buy	07/05/13	J		
95. Yanzhou Coal Mining	A	Dividend	J	T					
96. Cenovus Energy Corp.	A	Dividend	J	T					
97. i Shares MSCI Brazil	A	Dividend	J	T					
98. Capital One Bank - Checking	A	Interest	L	T					
99. Novartis	A	Dividend	J	T					
100. Frontier Comm. Inc.	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **HOWARD A. DAWSONJR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544