

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) William , Cassady E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 07/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 113 Saint Joseph Street Rm. 306 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Legislative Committee	Federal Magistrate Judges Association
2.	Executor	Estate #1
3.	Manager	Cassady Farms, LLC
4.	Manager	Southbury Condo, LLC
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

William , Cassidy E.

Date of Report

07/05/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Magistrate Judges
Association

09/18/13 - 09/20/13

Washington, DC

Committee Meeting

Transportation, lodging and food

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

William , Cassady E.

Date of Report

07/05/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

William , Cassidy E.

Date of Report

07/05/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Account)	A	Interest	L	T					
2. Franklin/Templeton World Fund CL A (TEMWX) IRA	D	Dividend	M	T					
3. Vanguard Mutual Funds IRA									
4. - Asset Allocation Fund	A	Dividend	K	T					
5. - STAR Fund	B	Dividend	L	T					
6. Franklin Income Fund CL A IRA (FKINX)	D	Dividend	M	T					
7. Rental Property - Jefferson Co., B'ham, AL (Southbury, LLC)	D	Rent	L	W					
8. Butler Co, AL land (Apr. 4/14/10) (Cassady Farms, LLC)		None	N	Q					
9. House & lot, Greenville, AL (Apr. 4/4/10) (Cassady Farms, LLC)	D	Rent	K	Q					
10. Common Stock, Town & Country National Bank	E	Int./Div.	M	U					
11. Participating Units - Cassady Farms, LLC		None	N	T					
12. Participating Units - Southbury Condo, LLC		None	L	T					
13. Brokerage Account #1									
14. - Bank Deposit Sweep Account	A	Interest	J	T					
15. -Franklin Tax Free TR Fed Inter Term Advisor CL (FITZX)	A	Dividend	K	T	Buy (add'l)	10/03/13	J		
16. -Nuveen Mun Trust Inter Duration Mun Bd Fd CL (NUVBX)	A	Dividend	K	T	Buy (add'l)	10/03/13	J		
17. -T Rowe Prie Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Dividend	K	T	Sold (part)	10/2/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

William , Cassidy E.

Date of Report

07/05/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -T Rowe Price Tax Free Shrt (PRSF)	A	Dividend	K	T	Sold (part)	10/02/13	J	A	
19. -Thornburg Invt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Dividend	K	T	Sold (part)	10/02/13	J	A	
20. -Cohen & Steers Rlty Shs (CSRSX)	A	Dividend	J	T	Buy (add'l)	10/03/13	J		
21. -Dreyfus Appreciation Fd (DGAGX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
22. -Europacific Growth Fd FI (AEGFX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
23. -Goldman Sachs Tr (FTXXX)	A	Dividend	J	T	Sold (part)	07/12/13	J	A	
24.			J	T	Buy (add'l)	10/03/13	J		
25.			J	T	Sold (part)	10/11/13	J	A	
26. -MFS Ser Trust III CL A (MMHYX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
27. -Nuveen All-American Mun BD FD Instl SHS CL (FAARX)	A	Dividend			Sold	10/02/13	J	A	
28. -Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T					
29. -Templeton Income TR GLB BD Advisor (TGBAX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
30. -Eagle Mid Cap Stock Fund (HMCJX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
31. -DWS Tax Free (SZMIX)	A	Dividend	J	T	Buy	10/02/13	J		
32.					Buy (add'l)	10/03/13	J		
33. -Fidelity ADV EMERG MKTS (FMKIX)	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
34. -GULF POWER NOTES 5-18-16 (GUA)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

William , Cassidy E.

Date of Report

07/05/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -GUGGENHEIM ZACKS INCM ADVAN STRAT PT 2 YR SR 3 REIN (CTZICX)	A	Dividend	K	T					
36. -FIRST TRUST PORTFOLIOS MUNI INCOME CLOSED END SERIES 53 REI	A	Dividend	K	T					
37. -FIRST TRUST PORTFOLIOS STRATEGIC DIV SELECT SER 9	A	Int./Div.	K	T					See Note 2, Part VIII.
38. -First Trust Portfolios Tactical Inc Series 21	A	Int./Div.	K	T					See Note 2, Part VIII.
39. -First Trust Portfolios Interest Rate Hedge Series 71	A	Int./Div.	K	T					See Note 2, Part VIII.
40. Calamos Strategic Total Return Fd. (CSQ)	A	Int./Div.	J	T					See Note 1, Part VIII.
41. Liberty All-Star Equity Fund (USA)	A	Int./Div.	J	T					See Note 1, Part VIII.
42. Transamerica Advisors Life Ins. Co.	D	Distribution	J	T					
43. The Ohio National Life Ins. Co. Annuity	B	Distribution	L	T					
44. Pacific Life Annuity	E	Distribution	K	T					
45. Estate #1									
46. -Building/ land in Wilcox Co., Camden AL (Approx. 4/14/10)					Sold	03/11/13	M	F	
47. -Franklin Alabama Tax-Free Income Fund- CL C (FALEX)									See Note 2, Part VIII.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

William , Cassady E.

Date of Report

07/05/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part VII. Investments

The Closed End Mutual Funds, Part VII, lines 40-41, were inadvertently left off the 2012 Report. They were purchased on June 13, 2012. Their value at the time of purchase was J.

Note 2 - Part VII. Investments.

The Equity Trusts listed in lines Part VII, lines 37-39 were inadvertently left off the 2012 Report. They were all purchased on July 1, 2012. Their value at the time of purchase was K.

Note 3 - Part II. Investments.

The Mutual Fund previously owned by Estate #1 and listed in Part VII, line 47 was distributed to [REDACTED] on December 31, 2012 and that distribution was not shown on the 2012 Disclosure.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

William , Cassidy E.

Date of Report

07/05/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cassidy E. William**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544