

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FEUERSTEIN, SANDRA J.	2. Court or Organization U.S. DISTRICT COURT - E.D.N.Y.	3. Date of Report 04/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. DISTRICT COURT 1014 FEDERAL PLAZA CENTRAL ISLIP, N.Y. 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. BOARD MEMBER	ADELPHI UNIVERSITY BREAST CANCER HOT LINE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	NYS VESTED PENSION PLAN - MONTHLY PAYMENT AMOUNTS VARY	\$78,389.39
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	SELF-EMPLOYED ATTORNEY, GENERAL PARTNER - REAL ESTATE MANAGEMENT
2. 2011	NYS PENSION PLAN
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #2, Jersey City, NJ (1982 \$55,000)	D	Rent			Sold	02/11/11	M	G	
2. Rental Property #4, Jersey City, NJ (1984 \$84,500)	D	Rent	L	R					
3. Rental Property #5, Jersey City, NJ (1983 \$66,500)	D	Rent	L	R					
4. Nassau County Federal Credit Union (NFCU) (M)	A	Interest			Closed	12/16/11	L		transfer to Line 5
5. Bethpage Federal Credit Union (M)	A	Interest	L	T					
6. Fidelity (M,B)	B	Interest	O	T					
7. HSBC (M)	A	Interest	K	T					
8. Citi Bank (M)	A	Interest	L	T					
9. Capital One (M)	A	Interest	K	T					
10. T.D. Ameritrade (B,M)	C	Interest	O	T					
11. Inteli Check (IDN) (S)		None	M	T					
12. Vanguard (B)	A	Interest	N	T					Account Opened 3/15/2011
13. U.B.S. (B)	A	Interest	J	T					Account Opened 10/17/2011
14. Rite Aid RAD (S)		None	K	T					
15. VDE (F)	A	Dividend	K	T					
16. VIG (F)	A	Dividend	K	T					
17. ASA (F)	A	Dividend			Sold	03/08/11	K	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AMLP (F)	C	Dividend	K	T					
19. MTA N.Y. 96A 5% MATURE 11/15/13 (BD)	A	Interest	K	T	Buy	10/17/11	K		
20. MTA N.Y. 96A 5.25% MATURE 11/15/24 (BD)	A	Interest	L	T	Buy	10/17/11	L		
21. ECON (F)	A	Dividend	K	T	Buy	03/08/11	K		
22. IVE (F)	B	Dividend	L	T	Buy	03/08/11	L		
23. Quantum Fuel QTWW (S)		None	J	T					
24.					Buy	04/13/11	J		
25. CPSH (S)		None	M	T					
26.					Buy	02/04/11	J		
27.					Buy	02/04/11	J		
28.					Buy	04/01/11	J		
29.									
30.									
31.									
32.									
33.									
34.									

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35.									
36.									
37.									
38.									
39.									
40.									
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Key to VII

M = Money Market/Checking, FDIC Ins. Deposit

S = Stock, Common

F = Mutual Fund, Exchange Traded Fund (ETF), (ETN) Closed End Fund

B = Brokerage

Int = InterestDivided

Fmr = Formerly

BD = Bonds

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ SANDRA J. FEUERSTEIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544