

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parsons, Marcia P.	2. Court or Organization USBC Eastern District of Tennessee	3. Date of Report 04/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 200 West Depot Street Suite 321 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Tusculum College--teaching
2. 2013	Appalachian School of Law--teaching
3. 2013	Walters State Community College--teaching
4. 2013	Wolters Kluwer Law & Business Publishers--book royalties

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tennessee Bar Association	04/19/13 to 4/21/13	Gatlinburg, TN	Educational seminar	Transportation, meals, room
2. National Conference of Bankruptcy Judges	04/08/13 to 4/10/13	Phoenix, AZ	Mid-year meeting	Transportation, meals, room
3. National Conference of Bankruptcy Judges	10/29/13 to 11/01/13	Atlanta, GA	Annual meeting	Transportation, meals, room
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital Bank Checking	A	Interest	L	T					
2. TN Energy Acqu. Rev. Bond	B	Interest	K	T					
3. U.S. Savings Bonds	A	Int./Div.	M	T					
4. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon bonds)	A	Interest	J	T					
5. IRA #2 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon bonds)	A	Interest	J	T					
6. IRA #2 (UBS Cus: Fed Hom Ln Ntg Corp Zero Coupon bonds)	A	Interest	K	T					
7. IRA #2 (UBS Cus: Fed Natl Mtg Ass Med Term Zeros)	A	Interest	K	T					
8. IRA #2 (UBS Cus: Bank USA Deposit Account)	A	Interest	J	T					
9. IRA #1 (UBS Cus: Fin'ing Corp CPN FICO Zero Coupon Bonds)	A	Interest	K	T					
10. IRA #1 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon Bonds)	A	Interest	L	T					
11. IRA #1 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	K	T					
12. IRA #1 (UBS Cus: Fin'ing Corp Strip Zero Coupon Bonds)	A	Interest	K	T					
13. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Med Trm Zero Coup Bonds)	A	Interest	J	T					
14. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Zero Coupon Bonds)	A	Interest	L	T					
15. IRA #1 (UBS Cus: Resol Funding Corp Zero Coupon Bonds)	A	Interest	J	T					
16. IRA #1 (UBS Cus: TN Vally Zero Coupon Bonds)	A	Interest	K	T					
17. IRA #1 (UBS Cus: Bank USA Deposit Account)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #1 (UBS Cus: Jackson National Annuity)	A	Int./Div.	L	T					
19. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
20. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	K	T					
21. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
22. IRA #1 (UBS Cus: Ohio Nat'l Annuity)	A	Interest	K	T					
23. ING Variable Annuity #1	A	Interest	L	T					
24. ING Variable Annuity #2	A	Interest	K	T					
25. Hartford Fixed Annuity	A	Int./Div.	K	T					
26. ING Annuity #3	A	Interest	L	T					
27. Tennessee State Retirement Account	A	Int./Div.	M	T					
28. Great West IRA	A	Int./Div.	M	T					
29. New York Life Annuity	A	Interest	L	T					
30. TIAA-CREF Retirement Annuity (ASL)	A	Int./Div.	M	T					
31. UBS Bank USA Deposit Account	A	Interest	K	T					
32. ING Electric Orange Checking Account	A	Interest	M	T					
33. AMEX Personal Savings Account	A	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Parsons, Marcia P.

Date of Report

04/28/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marcia P. Parsons**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544