

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goeke, Joseph R.	2. Court or Organization United States Tax Court	3. Date of Report 03/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge (Active Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 400 Second Street, N.W. Room 410 Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

03/25/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

03/25/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

03/25/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FNMA	A	Interest	K	T					
2. Johnson and Johnson stock	A	Dividend	J	T	Buy	1/2/13	J		
3. Google Stock		None	J	T	Buy	1/2/13	J		
4. American Campus Communities	A	Dividend	J	T	Buy	1/02/13	J		
5. Western Bank of PR - CD	A	Interest	K	T					
6. NY NY Bonds	C	Interest	L	T					
7. Chevy Chase Bank (now Capital One)	A	Interest	K	T					
8. Paychex Inc	A	Dividend	J	T	Buy	1/2/13	J		
9. Citibank Accounts	A	Interest	K	T					
10. Proctor & Gamble - common stock	E	Dividend	N	T	Buy (add'l)	10/18/13	N		
11. Nestle SA Shares	D	Dividend	J	T					
12. Franklin Fed Tax-Free Income Fund	E	Interest	N	T					
13. Royal Dutch Shell PLC	A	Dividend	J	T					
14. Microsoft Corp	A	Dividend	J	T					
15. College Illinois Tuition Plan	C	Interest			Redeemed	9/12/13	J	C	
16. Euro Pac Growth Fund	E	Dividend	K	T					
17. AT&T Inc. - senior notes	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. FICO - Bonds	B	Interest	L	T					
19. Resolution FDG Corp. - Fed Bonds	A	Interest	J	T					
20. U.S. Treasury - Bonds	B	Interest	K	T					
21. Vanguard Consumer fund	A	Int./Div.	J	T	Buy	1/2/13	J		
22. Metlife - Bonds	B	Interest	K	T					
23. Capital One Bank - CD	A	Interest	K	T					
24. Bank of Nova Scotia stock	A	Dividend	K	T	Buy	07/12/13	K		
25. Barclay Bank PLC - Bonds	A	Interest	K	T					
26. Fed Natl Mtge Assn - Bonds	A	Interest	K	T					
27. Cohen & Stearns Intl - Bonds	A	Interest	J	T					
28. Darby Bank - CD	A	Interest	K	T					
29. Goldman Sachs - CD	A	Interest	K	T					
30. Great Hall - Investment Funds	A	Interest	K	T					
31. Harris PFD Capital Corp. - Pref stks	B	Interest	K	T					
32. Morgan Stanley - CD	A	Interest			Redeemed	10/15/13	J	A	
33. Prudential PLC - Bonds	B	Interest	K	T					
34. Southwestern Bank	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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35. Bank of America - Bonds	A	Interest	L	T					
36. General Electric - Bonds	B	Interest	M	T					
37. Provident Bank - Accounts	C	Interest	K	T					
38. Transportation Alliance - CD	B	Interest	L	T					
39. Growth Fund of America	A	Dividend	J	T					
40. Pioneer Cullen - Value Fund	A	Dividend	J	T					
41. DWS Conservative - Allocation Fund	C	Dividend	M	T					
42. Goldman Sachs - Bonds	C	Interest			Redeemed	10/15/13	K	A	
43. GMAC - Bonds	C	Interest	L	T					
44. New Jersey Economic Dev Auth-Bonds	A	Interest	K	T	Buy	10/22/13	K		
45. Eagle Mid - Capital Fund	A	Dividend	J	T					
46. HSBC Holdings PLC - Pref	A	Distribution	L	T					
47. Prime Money Market fundRBC Reserve fund	A	Dividend	K	T	Buy	10/22/13	K		
48. Pioneer Cullen - Value Fund C	A	Dividend	K	T					
49. Chain Bridge Bank - Stock		None	K	T	Buy (add'l)	3/15/13	J		
50. National Grid PLC	A	Dividend	J	T					
51. Citigroup - Shares	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52. BB&T - Account	A	Interest	J	T					
53. Palm Beach County Fla Bonds	A	Interest	L	T					
54. USB Capital - Bonds	A	Interest	J	T					
55. CMA - Tax Exempt Fund	A	Interest	J	T					
56. Calamos - Und	A	Dividend	J	T					
57. Treasury - Level Plus Fund	B	Interest	M	T					
58. Merrill Lynch - Bank Deposit Prog.	A	Interest	J	T					
59. Verizon New England - Bonds	A	Interest	J	T					
60. Flagherty & Crumrine - Bonds	A	Interest	J	T					
61. Fidelity - Income Fund	A	Interest	K	T					
62. Merrill Lynch - CMA Fund	A	Interest	J	T					
63. Jefferson Pilot - Ins. Policies	B	Dividend	L	T					
64. Berkshire - Life Ins. Policy	D	Dividend	L	T					
65. ABN AMRO - Cap Fund TR VII	A	Interest	J	T					
66. Citrus Bank	A	Interest	J	T					
67. Lehman Bros - Bonds	B	Interest	L	T					
68. Evergreen - Wells Fargo Asset Allocation Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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69. Oregon School Bonds	C	Interest	M	T					
70. Fed. Natl Mtge Assn - Bonds	A	Interest	K	T					
71. Citigroup - Capital Bonds	A	Interest	J	T					
72. BAC - Capital Trust Bonds	A	Interest	J	T					
73. General Mills stock	A	Dividend	K	T	Buy	4/12/13	K		
74. Columbia - Small Cap Value Fund	A	Dividend	J	T					
75. Sentinel - Small Co. Fund	A	Dividend	J	T					
76. Metlife - Insurance	A	Dividend	K	T					
77. LCNB - Stocks		None			Sold	1/3/13	J	A	
78. GNMA - Bonds	A	Interest	J	T					
79. Discover - Bonds - CD	A	Interest	K	T					
80. Washington State Economic Development Bonds	A	Interest	L	T					
81. Blacks Rock Global	A	Dividend	J	T					
82. Google - Stock	A	Dividend	J	T					
83. ML Bank USA	A	Interest	J	T					
84. Bank Of Nova Scotia	B	Dividend	L	T					
85. PIMCO - All Asset Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. Port St. Lucie Bonds	A	Interest	K	T					
87. Aegon NV Bonds	A	Interest	K	T					
88. McDonalds Corp.	A	Dividend	L	T					
89. Bank of Okla	A	Interest			Redeemed	5/20/13	L		
90. ING Group	A	Interest	K	T					
91. Eaton Vance Risk Managed Fund	A	Dividend	J	T					
92. JP Morgan Chase - CD	C	Interest	L	T					
93. Bank of Okla. - CD	C	Interest			Redeemed	5/20/13	L		
94. Power Shares Bank Amer. - Bonds	A	Interest	K	T					
95. HSBC Bank - CD	C	Interest	L	T					
96. IL Pension Boards - Bonds	C	Interest	L	T					
97. Suntrust Bank C/D	B	Interest	M	T	Buy	02/23/13	M		
98. H.J. Heinz stock	A	Dividend			Sold	2/27/13	K	C	
99. Conoco Phillips	A	Dividend	J	T					
100. exelon corp	A	Dividend	J	T	Sold (part)	1/3/13	K	B	
101. intel	A	Dividend	K	T					
102. Chevron	A	Dividend			Sold	4/29/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. uit trust	B	Dividend			Redeemed	5/15/13	L	D	
104. Royal bank of Scotland	A	Dividend	K	T					
105. S.A. SP ADR		None	J	T	Buy	4/20/13	J		
106. Vodafone Grop plc sp adr		None	J	T	Buy	4/20/13	J		
107. FIA	A	Dividend	J	T					
108. Putnam Capital Spectrum	C	Dividend	K	T					
109. DWS Ultra Short Duration	A	Dividend	J	T					
110. Kellogg	C	Dividend			Sold	4/29/13	K	C	
111. GE	A	Dividend			Sold	4/29/13	J		
112. kimberly clark	B	Dividend	K	T					
113. marathon oil	A	Dividend			Sold	8/07/13	J	A	
114. sims metal	A	Dividend			Sold	4/29/13	J		
115. MTA Mass rapid transit bonds	B	Interest	L	T					
116. Lansing Michigan bonds	A	Interest	J	T					
117. Fort Lauderdale bonds	B	Interest	L	T					
118. Anne Arundel co. bonds	B	Interest	K	T					
119. New orleans bonds	B	Interest	K	T					

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Page 11 of 13

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120. Metropolitan Transportation Bonds	B	Interest	K	T	Buy	10/15/13	K		
121. UIT Advisors Fund	A	Int./Div.	J	T	Buy	9/11/13	J		
122. Palm Beach County bonds	C	Interest	L	T	Buy	10/15/13	L		
123. Fort Lauderdale Pension bonds	B	Interest	K	T	Buy	10/15/13	K		
124. Anne Arundel County MD bonds	B	Interest	K	T	Buy	10/15/13	K		
125. Goldman Sachs C/D	A	Interest	K	T	Buy	9/18/13	K		
126. Smuckers stock	A	Dividend	J	T	Buy	10/15/13	J		
127. TAL Int Group Inc		None			Buy	10/15/13	J		
128. Tal Int Group Inc		None			Sold	12/04/13	J	B	
129. Hartford Floating Rate Fund	B	Int./Div.	K	T	Buy	6/04/13	K		
130. Shrewsbury mass bonds	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

03/25/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

03/25/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joseph R. Goeke

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544