

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vilardo, Lawrence J.	2. Court or Organization United States District Court Western District of New York	3. Date of Report 10/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Robert H. Jackson United States Courthouse 2 Niagara Square Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Connors & Vilardo, LLP
2.	Trustee	Canisius College
3.	Trustee	Irish Classical Theatre Company
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	Continue to pay for COBRA family Health Insurance to my former law firm, now known as Connors, LLP
2. 2015	401(k) Profit Sharing Plan with my former law firm was rolled over to an IRA.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Connors & Vilardo, LLP - Gross Income (10 months)	\$256,322.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Hon. Salvatore Martoche, former NYS Appellate Division Justice (friend)	Judicial Robe	\$435.48
2.	Terrence M. Connors, Esq., my former law partner (friend)	Judicial Robe	\$435.48
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Connors & Vilardo, LLP capital account		None			Redeemed	11/10/15	J	A	
2. Mass Mutual Financial Group - Universal Life Insurance I	D	Int./Div.	M	T					
3. John Hancock Whole Life	A	Dividend	K	T					
4. John Hancock Whole Life	A	Dividend	J	T					
5. Rental Property Town of Tonawanda, New York	D	Rent	M	R					
6. M&T Bank Account	A	Interest	L	T					
7. RBC Bank Account	A	Interest	J	T					
8. TD Ameritrade FDIC Insured Deposit Money Market Account	B	Interest	J	T					
9. Abbott Labs Stock	A	Dividend			Sold	12/14/15	J	B	
10. Abbvie Stock	A	Dividend			Sold	12/14/15	J	B	
11. Apache Energy Stock	A	Dividend			Sold	12/14/15	J	A	
12. Apple Computer Stock	A	Dividend			Sold	12/14/15	J	A	
13. Bank of NY Stock	A	Dividend			Sold	12/14/15	J	B	
14. Becton Dickinson Stock	A	Dividend			Sold	12/14/15	J	C	
15. Berkshire Hathaway B Stock		None			Sold	12/14/15	J	B	
16. Caterpillar Stock	A	Dividend			Sold	12/14/15	J	A	
17. ChevronTexaco Stock	A	Dividend			Sold	12/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$500,001 - \$25,000,000	
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18. Cisco Stock	A	Dividend			Sold	12/14/15	J	B	
19. Devon Energy Stock	A	Dividend			Sold	12/14/15	J	A	
20. Emerson Electric Stock	A	Dividend			Sold	12/14/15	J	A	
21. Ford Stock	A	Dividend			Sold	12/14/15	J	A	
22. Gilead Stock	A	Dividend			Sold	12/14/15	J	C	
23. Gold Corp Stock	A	Dividend			Sold	12/14/15	K	A	
24. Google/Alphabet Stock		None			Sold	12/14/15	J	C	
25. Google/Alphabet Stock		None			Sold	12/14/15	J	C	
26. HSBC Stock	A	Dividend			Sold	12/14/15	J	A	
27. Intel Stock	A	Dividend			Sold	12/14/15	J	A	
28. Lowe's Stock	A	Dividend			Donated				
29. Mallinckrodt Pub Ltd		None			Sold	12/14/15	J	A	
30. Medtronic Stock	A	Dividend			Sold	12/14/15	K	A	
31. Microsoft Stock	A	Dividend			Sold	12/14/15	J	C	
32. MMM Stock	A	Dividend			Sold	12/14/15	J	B	
33. Nike Stock	A	Dividend			Donated				
34. Novartis Stock	A	Dividend			Sold	12/14/15	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pepsico Stock	A	Dividend			Sold	12/14/15	J	C	
36. Procter & Gamble Stock	A	Dividend			Sold	12/14/15	J	A	
37. Silver Wheaton Stock	A	Dividend			Sold	12/14/15	K	A	
38. Schlumberger Stock	A	Dividend			Sold	12/14/15	J	A	
39. Teva Stock	A	Dividend			Sold	12/14/15	J	B	
40. Unilever Stock	A	Dividend			Sold	12/14/15	J	A	
41. Wells Fargo Stock	A	Dividend			Sold	12/14/15	J	B	
42. First Trust Mid-Cap Index	A	Dividend	J	T					
43. First Trust Small Cap Index	A	Dividend	J	T					
44. I Shares Corps Bond Index	B	Dividend	J	T					
45. I Shares Global Tech Index	A	Dividend			Sold	12/14/15	J	C	
46. I Shares Hi Yield Bonds	A	Dividend			Sold	12/14/15	J	A	
47. I Shares NY Muni Index	A	Dividend			Sold	12/14/15	K	A	
48. I Shares Preferred Stock Index	A	Dividend	J	T					
49. JPMorgan Chase MLP	A	Dividend			Sold	12/14/15	J	A	
50. Market Vectors Emerg Mkts Bonds	A	Dividend			Sold	12/14/15	J	A	
51. Powershares Internatl Corp Bond	A	Dividend			Sold	12/14/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. SPDR Nat Res Index	A	Dividend			Sold	12/14/15	J	A	
53. Vanguard Europe & Pacific	A	Dividend			Sold	12/14/15	K	B	
54. LSV Value Equity Fund	A	Dividend			Sold	12/14/15	J	B	
55. DWS Floating Rate Fund	A	Dividend			Sold	12/14/15	J	A	
56. Deutsche Floating Rate Fund	A	Dividend			Sold	12/14/15	J	A	
57. Diamond Hill Large Cap Fund	A	Dividend			Sold	12/14/15	J	A	
58. FPA New Income, Inc.	A	Dividend			Sold	12/14/15	J	A	
59. Harbor International Fund	A	Dividend			Sold	9/16/15	K	A	
60. T Rowe Price Institutional Large	A	Dividend			Sold	11/23/15	J	C	
61. I Shares TR Russell	A	Dividend			Sold	12/14/15	K	C	
62. MFS International	A	Dividend			Sold	12/14/15	J	A	
63. MSIF Small Company Growth	A	Dividend			Sold	12/14/15	J	A	
64. Wilmington Prime	A	Dividend			Sold	12/14/15	J	A	
65. Morgan Stanley Focus Growth Fund	A	Dividend			Sold	12/14/15	J	A	
66. MSIF Inc Growth Fund	A	Dividend			Sold	12/14/15	K	C	
67. Ridgworth Seix Floating Rate Fund	A	Dividend			Sold	12/14/15	J	A	
68. Scout Unconstrained Bond Fund	A	Dividend			Sold	2/6/15	J	A	

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69. Sterling Capital Mid Value Fund	A	Dividend			Sold	7/31/15	J	A	
70. Wilmington Multi-Manager Alternative Fund	A	Dividend			Sold	12/14/15	J	A	
71. Wilmington Multi-Manager Real Asset Fund	A	Dividend			Sold	12/14/15	J	A	
72. Wilmington Short-Term Corporate Bond Fund	A	Dividend			Sold	12/14/15	J	A	
73. Wilmington Mid Cap Growth Fund Institutional Class	A	Dividend			Sold	7/31/15	L	D	
74. Wilmington Multi-Manager International Fund	A	Dividend			Sold	12/14/15	K	A	
75. Wilmington Broad Market Bond Fund	A	Dividend			Sold	12/14/15	K	A	
76. Fidelity Focused High Income	A	Dividend			Buy	01/15/15	J		
77.		None			Sold	12/01/15	J	A	
78. Ishares Trust Company Hedged MSCI Eurozone	A	Dividend			Buy	09/16/15	J		
79.		None			Sold	12/01/15	J	A	
80. Wilmington Large Cap Strategy	A	Dividend			Buy	01/15/15	K		
81.		None			Sold	12/01/15	K	A	
82. Wisdom Tree Japan Hedged Equity	A	Dividend			Buy	08/11/15	J		
83.		None			Sold	12/01/15	J	A	
84. Advisors Inner Circle LSV Value	A	Dividend			Buy	01/15/15	J		
85.		None			Sold	12/01/15	J	A	

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86. Covidien PLC	A	Dividend			Buy	01/15/15	J		
87.		None			Sold	12/01/15	J	A	
88. Vanguard Financials	A	Dividend			Buy	01/15/15	J		
89.		None			Sold	12/01/15	J	A	
90. Vanguard Healthcare	A	Dividend			Buy	01/15/15	J		
91.		None			Sold	12/01/15	J	A	
92. MFS International New Discovery	A	Dividend			Buy	01/15/15	J		
93.		None			Sold	12/01/15	J	A	
94. Ishares JPM US Emerging Markets Bond	A	Interest	K	T	Buy	12/14/15	K		
95. Ishares National AMT-Free Muni Bond	A	Interest	K	T	Buy	12/14/15	K		
96. Schwab US Large Cap	B	Dividend	M	T	Buy	12/14/15	M		
97. Vanguard Short Term Corporate Bond	A	Interest	K	T	Buy	12/14/15	K		
98. Vanguard Mortgage Backed Securities	A	Dividend	K	T	Buy	12/14/15	K		
99. Vanguard REIT Index	A	Dividend	J	T	Buy	12/14/15	J		
100. Vanguard Crsp US Mid Cap	A	Dividend	K	T	Buy	12/14/15	K		
101. Vanguard US Small Cap Index	A	Dividend	K	T	Buy	12/14/15	K		
102. Vanguard Life Strategy Mod. Growth		None			Sold	12/1/15	L	A	

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103. Vanguard Wellington		None			Sold	12/1/15	M	A	
104. American Funds Money Market		None			Sold	12/1/15	L	A	
105. American New World		None			Sold	12/1/15	L	A	
106. Vanguard European Stock Index		None			Sold	12/1/15	K	A	
107. Vanguard Large Cap Index		None			Sold	12/1/15	M	A	
108. Vanguard Windsor		None			Sold	12/1/15	N	A	
109. Vanguard Small-Cap Index Inv		None			Sold	12/1/15	N	A	
110. First Trust Large Cap Core Alphadex f/k/a First Trust Large Co Index		None	J	T					
111. First Trust Small Cap Core Alphadex f/k/a First Trust Small Cap Index		None	K	T					
112. Ishares IBOXX Investment Grade Bonds f/ k/a I Shares Corps Bond Index		None	M	T					
113. Ishares US Preferred Stock f/k/a I Shares Preferred Stock Index		None	K	T					
114. Vanguard Emerging Markets		None	K	T					
115. Exeter Resources		None			Redeemed	12/14/15	J	A	
116. First Trsut Large Cap Index		None	J	T					
117. First Trust Small Cap Index f/k/a First Trust Small Cap Index		None	K	T					
118. Ishares High Yield f/k/a I Shares Hi Yield Bonds		None	J	T					
119. Vanguard Emerging f/k/a Vanguard Emerging Markets		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Vilardo, Lawrence J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ishares Large Cap Index (X)		None	J	T					
121. Ishares Mid Cap Index (X)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts. 5. Rental Property purchased 03/31/2009, purchase price \$171,500.00.

VII. Investments and Trusts: Letter dated 9/9/2016, inquired about two assets that were listed on my nomination report but not on my 2015 Annual Report. My response is as follows:

Line 54. SPDR Biotech Index -- was donated to four charities in December, 2014.

Line 66. MFS Emerging Market -- was sold in 2014 and should not be listed on report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. Vilardo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544