

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kennedy, David S.	2. Court or Organization Bankruptcy Court	3. Date of Report 03/24/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 200 Jefferson Avenue #950 Memphis, TN 38103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct-Professor of Law	University of Memphis Cecil C. Humphreys School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/13-5/13	Adjunct-Professor at Univ. of Memphis School of Law	\$1,350.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Trust Bank	A	Int./Div.	J	T					
2. PacLife Annuity registered as Qualified IRA		None	L	T					
3. Prime Fund Daily Money Class	A	Dividend	J	T					
4.					Sold (part)	07/01/13	J	A	
5.					Sold (part)	08/23/13	J	A	
6.					Buy (add'l)	08/26/13	J		
7.					Buy (add'l)	09/03/13	J		
8.					Buy (add'l)	09/06/13	J		
9.					Sold (part)	09/24/13	J	A	
10. Fidelity Cash Reserves	A	Dividend	K	T					
11.					Buy (add'l)	08/22/13	J		
12.					Buy (add'l)	08/26/13	J		
13.					Sold (part)	08/27/13	J	A	
14.					Buy (add'l)	08/28/13	J		
15.					Buy (add'l)	09/03/13	J		
16.					Sold (part)	09/06/13	J	A	
17.					Buy (add'l)	10/31/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Allianz RCM GLocal Small Cap Class C		None			Sold	08/23/13	K	C	
19. First Eagle Overseas	A	Dividend	J	T	Sold (part)	09/06/13	J	A	
20. Calvert Income	A	Dividend			Sold	08/27/13	J	D	
21. Lincoln New Directions 6 Fixed Annuity	D	Int./Div.	L	T					
22. American Income Fund of America - Class A	A	Dividend	J	T					
23. American Growth Fund of America Class A	A	Dividend	J	T					
24. Lincoln Choice Plus Assurance (B share) IRA		None	K	T					
25. Pimco Real Return Class C	A	Dividend			Sold	08/26/13	J	C	
26. MFS Municipal Income Class C	A	Dividend	J	T					
27. Templeton Developmeing Markets Class C	A	Dividend	J	T					
28. American Balanced Class A	A	Dividend	J	T					
29. Invesco High Yield Fund Class A	A	Dividend	J	T					
30. Managers Pimco Bond Fund	A	Dividend			Sold	08/22/13	J	A	
31. MFS Value Class C	A	Dividend	J	T	Sold (part)	08/26/13	J		
32. Templeton Foreign Class C	A	Dividend	J	T					
33. T. Rowe Price Tax Free Short Duration Inter	A	Dividend	J	T	Buy	08/23/13	J		
34. Delaware Real Estate Investment Trust	A	Dividend	J	T	Buy	08/27/13	J		

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35. Calvert Short Duration Income CL C	A	Dividend	J	T	Buy	08/27/13	J		
36. Allianz Large Cap Growth CL C	A	Dividend	J	T	Buy	08/23/13	K		
37. Premier Select IRA BDA (See Note in Section VIII)	C	Distribution	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. No. 37- This IRA has been existence since since 2004. It was funded with monies [REDACTED] The individual mutual funds that make up this IRA have been reported since the inception of this IRA, but through inadvertance the IRA itself was never reported. The first distribution from this IRA was taken in September 2013 which is reported as this line item. The IRA is made up of the Allianzgi Large Cap Growth Class C fund; the Delaware Real Estate Investment Trust Class C fund; First Eagle Overseas Class C fund; the Templement Foreign Class C fund; the Calvert Short Duration Income Class C fund; the Invesco High Yield Fund A; and Fidelity Cash Reserves Money Market Fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David S. Kennedy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544