

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boggs, Danny J.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 6/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 220 Gene Snyder United States Courthouse 601 West Broadway Street Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Counselor	Louis D. Brandeis American Inn of Court
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Baltimore Law School	1/22/2014	Baltimore, MD	Law School Forum	Transportation, 1 days' food (no lodging) (stayed in [REDACTED] apartment)
2. University of Pennsylvania Law School Federalist Society	4/24/2014	Philadelphia, PA	Speaker at Law School	Transportation, 1 days' food (no lodging) (stayed in [REDACTED] apartment)
3. Federalist Society	7/17/2014	Washington, DC	University of Chicago Law Alumni Talk	Transportation, 1 days' food (no lodging) (stayed in [REDACTED] apartment)
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Loan Corp., Wilkes Barre, PA	Student Loans	M
2.	JP Morgan Chase, Louisville, KY	Mortgage on rental house in Bowling Green, KY	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/2 interest in rental house in Bowling Green, KY	B	Rent	L	Q					
2. JP Morgan Chase Bank, Lou, KY (checking/savings account)	A	Interest	L	T					
3. Carriage house [REDACTED] Louisville, KY	D	Rent	M	Q					
4. IRA Account - JP Morgan Chase Bank, Louisville, KY SPDRs	B	Int./Div.	M	T					
5. Israel Bonds	A	Interest	J	T					
6. JP Morgan Chase IRA Account, Louisville, KY	C	Int./Div.	M	T					
7. Penn Mutual Whole Life Policies	A	Dividend	K	T					
8. Met Life Whole Life Policy	A	Dividend	J	T					
9. Pimco Strategic Global Fund	A	Dividend	J	T	Sold	07/22/14	J	A	
10. TVA bonds (4.5%)	A	Interest	J	T	Redeemed	01/07/14	J	A	
11. Freddie Notes/Freddie Mac (3.2-4.65%)	B	Interest	J	T	Redeemed	06/16/14	J	A	
12. Long Term CD: GE Money Bank, Salt Lake City	A	Interest	J	T	Sold	07/22/14	J	A	
13. Long Term CD: Goldman Sachs, New York	A	Interest	J	T	Sold	07/22/14	J	A	
14. Wells Fargo Bank, CD	A	Interest	J	T	Redeemed	03/17/14	J	A	
15. Western Asset High Income Fund	A	Dividend	J	T	Sold	07/22/14	J	A	
16. Wells Fargo 4% Note	A	Interest	J	T	Sold	07/22/14	J	A	
17. Vanguard Admiral Fla Long Term Tax exempt fund	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nuveen Municipal Tax Exempt fund	A	Dividend	J	T					
19. Internotes of GE Capital Corp (4.35%)	A	Interest	J	T	Sold	07/22/14	J	A	
20. Owensboro Electric Light and Power Bonds 0 %-2016	A	Interest	J	T	Buy	07/22/14	J		
21. Boyd County School District Bonds 3.25%-2028	A	Interest	J	T	Buy	07/22/14	J		
22. Fleming County School District Bonds 2%-2022	A	Interest	J	T	Buy	07/23/14	J		
23. Union,KY Fire Protection District Bonds 2.25%-2024	A	Interest	J	T	Buy	07/23/14	J		
24. GE Money Bank CD (X)	A	Interest	J	T	Sold	07/22/14	J	A	
25. Laurel County School District Bonds	A	Interest	J	T	Buy	09/26/14	J		
26. Owensboro KY Series B Bonds	A	Interest	J	T	Buy	09/23/14	J		
27. KY Interlocal School Transportation 2.625%	A	Interest	J	T	Buy	09/04/14	J		
28. KY Interlocal School Transportation 2.25% Bond	A	Interest	J	T	Buy	09/04/14	J		
29. 5/3d Bank chcking account & CD (Y)	A		J						
30.									
31.									
32.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item VII. 1. The appraisal on this house in Bowling Green, KY was done in 2013.

Item VII. 3. Carriage house is part of a [REDACTED] property [REDACTED] is otherwise exempt from disclosure. I am reporting this asset value as a proportionate share of the value of the whole property, as we received income from renting the carriage house. The appraisal was done in 2011.

Item VII. 4. JP Morgan Chase Bank IRA consists of shares of Standard & Poor Deposit Receipt and money market funds.

Item VII. 6. JP Morgan Chase Bank IRA consists of shares of Standard & Poor Deposit Receipts, Israel Bonds, and money market funds.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Boggs, Danny J.

Date of Report

6/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Danny J. Boggs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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