

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Ruth B.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Supreme Court of the United States One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust Article Fourth U/W Martin D. Ginsburg
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/12/13	Tulane Law School, Paris, France - Summer Seminars July 8-14, 2013	\$17,308.30
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard Law School	February 3-5, 2013	Cambridge, MA	Participant/Honoree in program recognizing 20th Anniv. of my appointment to Sup.Ct.	transportation, lodging, food
2. Thomas Jefferson School of Law	February 7-9, 2013	San Diego, CA	Participant in Women in the Law Conference	transportation, lodging, food
3. New York City Bar Association	February 13-14, 2013	New York, NY	Participant in Ruth Bader Ginsburg Lecture on Women in the Law	transportation, lodging, food
4. DePaul University	May 10, 2013	Chicago, IL	Participant in Centennial Celebration	transportation, lodging, food

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5.	University of Chicago Law School	May 11-12, 2013	Chicago, IL	Participant in conversational program	transportation, lodging, food
6.	Tulane Law School	July 7-15, 2013	Paris, France	Panelist	transportation, lodging, food
7.	American Bar Association World Justice Forum	July 10, 2013	The Hague, Netherlands	Speaker	transportation, lodging, food
8.	The Chautauqua Institution	July 27-30, 2013	Chautauqua, NY	Lecturer	transportation, lodging, food
9.	The Constitution Center	September 6-7, 2013	Philadelphia, PA	Participant in conversational program	transportation, lodging, food
10.	Lawyers Club	September 8-9, 2013	Chicago, IL	Speaker at luncheon	transportation, lodging, food
11.	University of California Berkeley School of Law	September 15-17, 2013	Berkeley, CA	Lecturer & class visits	transportation, lodging, food
12.	Stanford Law School	September 17-19, 2013	Stanford, CA	Lecturer & class visits	transportation, lodging, food
13.	Canada Supreme Court & U.S. Supreme Court	September 23-25, 2013	Ottawa, Canada	Participant in Legal Exchange program	transportation, lodging, food
14.	Harvard Law School	October 23-24, 2013	Cambridge, MA	Participant in Ames Final Moot Court Competition	transportation, lodging, food
15.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Checking account-Morgan Guaranty Trust Co., NYC		None	K	T					
2. Checking account PNC Bank, DC	A	Int./Div.	J	T					
3. TIAA CREF Retirement Accounts	A	Int./Div.	P1	T	Distributed (part)	12/01/13	L	F	
4. TIAA CREF Mutual Funds	E	Dividend	O	T					
5. Fried Frank Pension (commenced on death of [REDACTED])	G	Distribution	P1	T					
6. JP Morgan Intermediate Tax Free Income Fund	A	Interest	N	T	Sold (part)	06/27/13	N	D	
7.					Sold (part)	11/01/13	N	D	
8. JP Morgan Total Return Select Fund	D	Dividend	J	T	Sold (part)	02/01/13	M	A	
9.					Sold (part)	11/04/13	J	A	
10. JP Morgan Short Duration Bond Fund	C	Int./Div.	J	T	Sold (part)	02/01/13	N	A	
11.					Sold (part)	11/04/13	N	A	
12. JP Morgan Equity Income	D	Dividend	N	T	Buy (add'l)	01/31/13	L		
13. JP Morgan Market Expansion	C	Dividend	M	T					
14. JP Morgan Emerging Markets	A	Dividend			Sold	11/04/13	M	A	
15. JP Morgan Investment Cash	A	Interest	K	T					
16. JP Morgan Intl Currency	A	Dividend			Sold	06/28/13	M	A	
17. JP Morgan Tax Aware Equity	A	Dividend	M	T	Sold (part)	11/04/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	02/01/13	M		
19. JP Morgan Strategic Income Opportunity	D	Dividend	N	T	Buy (add'l)	06/28/13	M		
20.					Buy (add'l)	11/04/13	L		
21. JP Morgan Intrepid European Fund	A	Dividend	M	T	Buy	11/04/13	M		
22. JP Morgan Equity Focus Fund	A	Dividend	L	T	Buy	02/01/13	L		
23. JP Morgan Multi Sector Income Fund	C	Dividend	N	T	Buy	02/01/13	M		
24.					Buy (add'l)	11/04/13	L		
25. JP Morgan Float Rate Income Fund	D	Dividend	N	T	Buy	02/01/13	M		
26.					Buy (add'l)	11/04/13	L		
27. JP Morgan SH-INT Muni Bond Fund	A	Int./Div.	N	T	Buy	11/04/13	N		
28. SPDR S&P 500 ETF Trust	B	Dividend	M	T	Buy	07/02/13	M		
29. ISHARES Core S&P Mid Cap ETF	A	Dividend	M	T	Buy	07/02/13	L		
30. ISHARES MSCI All Country Asia EX Japan Index Fund	B	Dividend	L	T	Buy	02/05/13	L		
31.					Buy (add'l)	11/06/13	K		
32. Vanguard FTSE Europe ETF	A	Dividend	L	T	Buy	11/06/13	L		
33. Trust Article 4th u/w/o [REDACTED] (beneficiary, trustee) (X)	E	Distribution	O	T					
34. -TIAA CREF MidCap Growth									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -TIAA CREF High Yield Fund									
36. -JP Morgan Chase Checking									
37. -JP Morgan Chase Savings									
38. -Wegoma 1974 Associates									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 32 Trust was created under article fourth of the will of [REDACTED], deceased 6/27/10). Trust was not funded until July 31, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ruth B. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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