

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Center for Legal Inclusiveness - Volunteer
2.	Chair	Arguello Dream Team - Volunteer
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	United States Judge	\$164,192.00
2. 2012	Matthew Bender & Co., Inc.	\$3,572.51
3. 2012	State of Colorado - Jury Service	\$650.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Boulder Valley School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Colorado	Reduced price for two Club Seat Football Tickets	\$4,600.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES Student Loan	Co-signor on student loans	L
2.	SWBC Mortgage	Rental Property #2	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Property#1 Pueblo CO		None	M	R					
2. Investment Property #2, Arvada, CO	E	Rent	N	R					
3. Chase Bank Accounts	A	Interest	J	T					
4. Wells Fargo Bank Accounts	A	Interest	L	T					
5. First Bank	A	Interest	J	T					
6. MetLife Insurance Policy		None	J	T					
7. ALSRX		None			Sold	02/07/12	J	A	
8. CRIZX		None	J	T	Sold (part)	04/11/12	J	A	
9. CIRZX		None	J	T	Sold (part)	09/06/12	J	A	
10. CRMMX		None			Sold (part)	04/11/12	J	A	
11. CRMMX		None			Sold (part)	08/28/12	J	A	
12. CRMMX		None			Sold (part)	09/06/12	J	A	
13. CRMMX		None			Sold	12/11/12	J	A	
14. DGAGX		None	J	T	Buy	01/11/12	J		
15. DGAGX		None	J	T	Sold (part)	04/11/12	J	A	
16. DGAGX		None	J	T	Sold (part)	08/28/12	J	A	
17. DGAGX		None	J	T	Sold (part)	09/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Arguello, Christine M.

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05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DGOYX		None			Sold	02/07/12	J	A	
19. DBLTX		None	J	T	Buy	02/16/12	J		
20. DBLTX		None	J	T	Buy (add'l)	03/07/12	J		
21. DBLTX		None	J	T	Sold (part)	04/11/12	J	A	
22. DBLTX		None	J	T	Sold (part)	08/28/12	J	A	
23. DBLTX		None	J	T	Sold (part)	09/06/12	J	A	
24. EIHMX		None	J	T	Buy (add'l)	08/02/12	J		
25. EIHMX		None	J	T	Sold (part)	01/12/12	J	A	
26. EIHMX		None	J	T	Sold (part)	04/11/12	J	A	
27. EIHMX		None	J	T	Sold (part)	08/28/12	J	A	
28. EIHMX		None	J	T	Sold (part)	09/06/12	J	A	
29. GHYYX		None	J	T	Sold (part)	04/12/12	J	A	
30. GHYYX		None	J	T	Sold (part)	08/02/12	J	A	
31. GHYYX		None	J	T	Sold (part)	08/28/12	J	A	
32. GHYYX		None	J	T	Sold (part)	09/06/12	J	A	
33. HAINX		None	J	T	Buy	09/18/12	J		
34. HASCX		None			Sold	03/07/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. HGOIX		None	J	T	Buy (add'l)	04/26/12	J		
36. HGOIX		None	J	T	Sold (part)	04/11/12	J	A	
37. HGOIX		None	J	T	Sold (part)	08/28/12	J	A	
38. HGOIX		None	J	T	Sold (part)	09/06/12	J	A	
39. IGNIX		None			Sold (part)	03/07/12	J	A	
40. IGNIX		None			Sold (part)	04/10/12	J	A	
41. IGNIX		None			Sold (part)	04/11/12	J	A	
42. IGNIX		None			Sold	04/26/12	J	A	
43. JCVIX		None	J	T	Sold (part)	04/11/12	J	A	
44. JCVIX		None	J	T	Sold (part)	09/06/12	J	A	
45. LSIIX		None	J	T	Buy (add'l)	09/18/12	J		
46. LSIIX		None	J	T	Sold (part)	04/11/12	J	A	
47. LSIIX		None	J	T	Sold (part)	08/28/12	J	A	
48. LSIIX		None	J	T	Sold (part)	09/06/12	J	A	
49. MHYIX		None	J	T	Buy	08/02/12	J		
50. MHYIX		None	J	T	Sold (part)	09/07/12	J	A	
51. NGIPX		None	J	T	Buy (add'l)	04/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Arguello, Christine M.

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52. NGIPX		None	J	T	Buy (add'l)	04/26/12	J		
53. NGIPX		None	J	T	Buy (add'l)	08/29/12	J		
54. NGIPX		None	J	T	Sold (part)	04/12/12	J	A	
55. NGIPX		None	J	T	Sold (part)	09/06/12	J	A	
56. NHMRX	A	Dividend				10/01/12			
57. NHMRX		None			Sold (part)	04/11/12	J	A	
58. NHMRX		None			Sold (part)	08/28/12	J	A	
59. NHMRX		None			Sold (part)	09/06/12	J	A	
60. NHMRX		None			Sold	09/18/12	J	A	
61. NPJIX		None			Sold	01/11/12	J	A	
62. ODVYX		None	J	T	Buy	12/11/12	J		
63. PARSX		None	J	T	Buy	08/29/12	J		
64. RAIMX		None			Sold	03/07/12	J	B	
65. SAMBX	A	Dividend				03/01/12			
66. SAMBX		None			Sold (part)	01/31/12	J	A	
67. SAMBX		None			Sold	02/16/12	J	A	
68. TVIFX		None			Sold	01/24/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

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69. OIFIX		None	J	T					
70. OIIEIX		None	J	T					
71. OILGX		None	J	T					
72. OILVX		None	J	T					
73. OISGX		None	J	T					
74. OISVX		None	J	T					
75. Jackson Natl Life Ins. Co Perspective II 05/05		None	K	T					
76. Metlife Invs Ins Prods L Share Variable Annuity		None	J	T					
77. Metlife Inves Ins Prods Variable Annuity Class AA		None	L	T					
78. Nationwide Life Ins Co Destination B		None	K	T					
79. Jackson Natl Life Ins. Co Perspective II 05/05		None	J	T					
80. ECL	A	Dividend	J	T					
81. PERA - No Control		None	K	T					
82. JP Morgan US GOV MMF*	E	Distribution	K	T	Distributed (part)	04/10/12	K	A	
83. JP Morgan US GOV MMF*	E	Distribution	K	T	Distributed (part)	08/27/12	J	A	
84. PIP		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 1 - property purchased in 1999 for \$172,727

Part VII, line 2 - property purchased on 4/8/2011 for \$260,000

Part VII, lines 82 and 83 - The 2011 FDR indicated "Sold" in Column D1 for the JP Morgan US GOV MMF accounts. However, it should have indicated "Distributed (part)" because this is a holding account into which monies from investments are placed until reinvested or distributed.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Arguello, Christine M.

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544