

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Gershtwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 707 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Wayne County, Michigan Pension Payments	\$14,919.12
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting Drain, Gershwin A.	Date of Report 05/14/2018
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IIRA #1 (H)									
2. - AMCAP Fund Class C Am. Funds	A	Dividend	L	T					
3. - Cap. Income Builder Fd./ Class C - Am. Funds	B	Dividend	L	T					
4. - Cap. World Growth & Inc. Fd./ Am. Funds	A	Dividend	L	T					
5. - Income Fund of Amer. Class C - Am. Funds	C	Dividend	M	T					
6. - New Perspective Fund Class C - Am. Funds	A	Dividend	L	T					
7. - American Balanced Fund/Am. Funds	A	Dividend	K	T					
8. - New Economy Fund/Am. Funds	A	Dividend	K	T					
9. - Growth Fund of America/Am. Funds	A	Dividend	K	T					
10. - Hartford Floating Rate Fund	A	Dividend	K	T					
11. - Europacific Growth Fund/Am Funds	A	Dividend	K	T					
12. - First Trust Sabrient Baker's Dozen Port	A	Dividend	K	T					
13. - First Trust Election Portfolio	A	Dividend	L	T					
14. IRA #2 (H)									
15. - Am. Funds Amcap Fund Class C	A	Dividend	J	T					
16. - American Funds Capital Income Builder	A	Dividend	J	T					
17. - American Funds New World Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Am. Funds - Growth Fund of America	A	Dividend	J	T					
19. - Am. Funds - American Balance Fund	A	Dividend	J	T					
20. IRA #3 (H)									
21. - Am. Funds - Capital Income Builder	A	Dividend	J	T					
22. - Am. Funds Capital World Growth Fund	A	Dividend	J	T					
23. - Am. Funds New World Fund	A	Dividend	J	T					
24. - Am Funds The Growth Fund of America	A	Dividend	J	T					
25. - Am Funds Capital World Growth & Inc.	A	Dividend	J	T					
26. - Am. Funds Investment Co. of America (X)	A	Dividend	J	T					
27. - Am. Funds New Perspective Fund	A	Dividend	J	T	Buy	06/13/17	J		
28. - Am. Funds New Economy Fund	A	Dividend	J	T	Buy	12/05/17	J		
29. IRA #4 (H)									
30. - Franklin Mutual Global Discovery Fund	A	Dividend	J	T					
31. - Franklin Rising Dividends Fund Class C	A	Dividend	J	T					
32. - Amer. Funds AMCAP Fund Class C	A	Dividend	J	T					
33. IRA #5 (H)									
34. - AT&T Incorporated	A	Dividend			Sold	11/28/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Abbvie Incorporated	A	Dividend			Sold	11/28/17	J		
36. - Allianz SE SP ADR	A	Dividend			Sold	11/28/17	J		
37. - Altria Group Incorporated	A	Dividend			Sold	11/28/17	J		
38. - Ameren Corporation	A	Dividend			Sold	11/28/17	J		
39. - American Elec. Pwr. Incorporated	A	Dividend			Sold	11/28/17	J		
40. - Amgen Incorporated	A	Dividend			Sold	11/28/17	J		
41. - Amerigas Partners LP	A	Dividend			Sold	11/28/17	J		
42. - AstraZeneca PLC	A	Dividend			Sold	11/28/17	J		
43. - Automatic Data Processing Inc.	A	Dividend			Sold	09/28/17	J		
44. - AXA SA Sponsored ADR	A	Dividend			Sold	11/28/17	J		
45. - BB&T Corporation	A	Dividend			Sold	11/28/17	J		
46. - BAE Systems PLC Sponsored ADR	A	Dividend			Sold	11/28/17	J		
47. - BASF SE Sponsored ADR	A	Dividend			Sold	11/28/17	J		
48. - BCE Incorporated Com New	A	Dividend			Sold	11/28/17	J		
49. - BP PLC Sponsored ADR	A	Dividend			Sold	11/28/17	J		
50. - British Amern Tob Plc	A	Dividend			Sold	11/28/17	J		
51. - CME Group Incorporated	A	Dividend			Sold	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. - Cardinal Health Inc.	A	Dividend			Sold	11/28/17	J		
53. - Chevron Corporation New	A	Dividend			Sold	11/28/17	J		
54. - Cinemark Holdings Inc.	A	Dividend			Sold	11/28/17	J		
55. - Cisco Systems Inc.	A	Dividend			Sold	11/28/17	J		
56. - Coca Cola Company	A	Dividend			Sold	11/28/17	J		
57. - Compass Minerals Intl.	A	Dividend			Sold	11/28/17	J		
58. - Consolidated Edison Inc.	A	Dividend			Sold	11/20/17	J		
59. - Corning Incorporated	A	Dividend			Sold	11/28/17	J		
60. - Deutsche Telekom AG	A	Dividend			Sold	11/28/17	J		
61. - Deutsche Post Ag Spon. ADR	A	Dividend			Sold	11/28/17	J		
62. - Dinequity Inc.	A	Dividend			Sold	02/24/17	J		
63. - Dominion Res Incorporated	A	Dividend			Sold	11/28/17	J		
64. - Dow Chemical Company	A	Dividend			Sold	11/28/17	J		
65. - Duke Energy Corporation NE	A	Dividend			Sold	11/28/17	J		
66. - Emerson Elec. Company	A	Dividend			Sold	11/28/17	J		
67. - Entergy Corporation	A	Dividend			Sold	11/28/17	J		
68. - Exxon Mobil Corporation	A	Dividend			Sold	11/28/17	J		

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69. - Fastenal Company	A	Dividend			Sold	11/28/17	J		
70. - GEO Group Incorporated	A	Dividend			Sold	11/28/17	J		
71. - General Mls Incorporated	A	Dividend			Sold	11/28/17	J		
72. - Glaxosmithkline PLC	A	Dividend			Sold	11/28/17	J		
73. - Grainger W W Inc.	A	Dividend			Sold	08/28/17	J		
74. - Imperial Brands ADR f/k/a Imperial Tobacco Group PLC	A	Dividend			Sold	11/28/17	J		
75. - Intel Corporation	A	Dividend			Sold	11/28/17	J		
76. - Iron Mtn Incorporated	A	Dividend			Sold	11/28/17	J		
77. - Johnson & Johnson	A	Dividend			Sold	11/28/17	J		
78. - Kimberly Clark Corporation	A	Dividend			Sold	11/28/17	J		
79. - Kraft Heinz Company f/k/a Kraft Foods Group Incorporated	A	Dividend			Sold	02/17/17	J		
80. - Lockheed Martin Corporation	A	Dividend			Sold	11/28/17	J		
81. - McDonalds Corporation	A	Dividend			Sold	11/28/17	J		
82. - Merck & Company Incorporated	A	Dividend			Sold	11/28/17	J		
83. - Metlife Inc.	A	Dividend			Sold	11/28/17	J		
84. - Michelin Compagnie Generale DE ADR	A	Dividend			Sold	11/28/17	J		
85. - Microsoft Corporation	A	Dividend			Sold	06/22/17	J		

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86. - Microchip Technology Inc.	A	Dividend			Sold	11/28/17	J		
87. - Motorola Solutions Inc. Com New	A	Dividend			Sold	11/28/17	J		
88. - Munich Re Group	A	Dividend			Sold	11/28/17	J		
89. - National Fuel Gas Company	A	Dividend			Sold	11/28/17	J		
90. - National Grid PLC	A	Dividend			Sold	11/28/17	J		
91. - Novartis A G Sponsored ADR	A	Dividend			Sold	11/28/17	J		
92. - Occidental Pete Corporation	A	Dividend			Sold	11/28/17	J		
93. - Omnicom Group Inc.	A	Dividend			Sold	11/28/17	J		
94. - Orkla A S Spon ADR A	A	Dividend			Sold	11/28/17	J		
95. - Owens & Minor Incorporated	A	Dividend			Sold	01/09/17	J		
96. - PNC Finl SVCS Group	A	Dividend			Sold	03/03/17	J		
97. - PPL Corporation	A	Dividend			Sold	11/28/17	J		
98. - Peoples United Financial Inc.	A	Dividend			Sold	11/28/17	J		
99. - Pepsico Incorporated	A	Dividend			Sold	11/28/17	J		
100. - Philip Morris International	A	Dividend			Sold	11/28/17	J		
101. - Polaris Industries Inc.	A	Dividend			Sold	11/28/17	J		
102. - Praxair Incorporated	A	Dividend			Sold	11/28/17	J		

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103. - Procter & Gamble Company	A	Dividend			Sold	11/28/17	J		
104. - Qualcomm Incorporated	A	Dividend			Sold	11/28/17	J		
105. - Regal Entertainment Group					Sold	11/28/17	J		
106. - Reynolds American Incorporated	A	Dividend			Sold	03/23/17	J		
107. - Roche Hldg. Limited Spon. ADR	A	Dividend			Sold	11/28/17	J		
108. - Rogers Communications	A	Dividend			Sold	11/28/17	J		
109. - Royal Bk Cda Montreal Que	A	Dividend			Sold	11/28/17	J		
110. - Royal Dutch Shell PLC	A	Dividend			Sold	11/28/17	J		
111. - SSE PLC Sponsored ADR	A	Dividend			Sold	11/28/17	J		
112. - Sanofi Sponsored ADR	A	Dividend			Sold	11/28/17	J		
113. - Scor Sponsored ADR (France)	A	Dividend			Sold	11/28/17	J		
114. - Siemens A G Sponsored ADR	A	Dividend			Sold	11/28/17	J		
115. - Sky PLC Spon. ADR	A	Dividend			Sold	11/28/17	J		
116. - Southern Company	A	Dividend			Sold	11/28/17	J		
117. - Spectra Energy Partners	A	Dividend			Sold	11/28/17	J		
118. - Statoil Asa Sponsored ADR (Norway)	A	Dividend			Sold	11/28/17	J		
119. - Svenska Handel Banken Ab ADR (Sweden)	A	Dividend			Sold	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Drain, Gershwin A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Swisscom AG Sponsored ADR	A	Dividend			Sold	11/28/17	J		
121. - Taiwan Semiconductor Mfg Limited Spons. ADR	A	Dividend			Sold	11/28/17	J		
122. - Target Corporation	A	Dividend			Sold	11/28/17	J		
123. - Telstra Corporation Limited	A	Dividend			Sold	08/04/17	J		
124. - Terna Rete Elettrica Nazionale ADR	A	Dividend			Sold	11/28/17	J		
125. - Texas Instrs Incorporated	A	Dividend			Sold	11/28/17	J		
126. - Thomson Reuters Corporation	A	Dividend			Sold	11/28/17	J		
127. - Time Warner Inc.	A	Dividend			Sold	06/06/17	J		
128. - Total S A Sponsored ADR	A	Dividend			Sold	11/28/17	J		
129. - US Bancorp Del Com New	A	Dividend			Sold	11/28/17	J		
130. - Unibail - Rodamco SE ADR Rep Jce 08 (France)	A	Dividend			Sold	11/28/17	J		
131. - Unilever PLC Spon ADR New	A	Dividend			Sold	11/28/17	J		
132. -Union Pac Corp.	A	Dividend			Sold	11/28/17	J		
133. - United Parcel Service	A	Dividend			Sold	11/28/17	J		
134. - Valero Energy Corp.	A	Dividend			Sold	11/28/17	J		
135. - Vectren Corporation	A	Dividend			Sold	04/21/17	J		
136. - Verizon Communications	A	Dividend			Sold	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Vodafone Group PLC New	A	Dividend			Sold	11/28/17	J		
138. - WEC Energy Group Inc.	A	Dividend			Sold	11/28/17	J		
139. - Wal-Mart Stores Incorporated	A	Dividend			Sold	11/28/17	J		
140. - Waste Mgmt. Inc.	A	Dividend			Sold	09/29/17	J		
141. - Wells Fargo & Company New	A	Dividend			Sold	11/28/17	J		
142. - Welltower Incorporated REIT	A	Dividend			Sold	11/28/17	J		
143. - Western Un Company	A	Dividend			Sold	01/18/17	J		
144. - Westpac Bkg Corporation	A	Dividend			Sold	11/28/17	J		
145. - Weyerhaeuser Company	A	Dividend			Sold	11/28/17	J		
146. - Daimler AG	A	Dividend			Sold	11/28/17	J		
147. - Axis Capital Holdings Limited	A	Dividend			Sold	11/28/17	J		
148. - Eaton Corporation PLC	A	Dividend			Sold	11/28/17	J		
149. - Johnson Controls PLC	A	Dividend			Sold	11/28/17	J		
150. - TE Connectivity Limited Reg. SHS	A	Dividend			Sold	11/28/17	J		
151. - Lyondellbasell Industries	A	Dividend			Sold	11/28/17	J		
152. - Cedar Fair L.P Depositry Unit		Dividend			Sold	01/09/17	J		
153. - Communications Sales & Leas Inc.	A	Dividend			Sold	01/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Crown Castle Intl. Corp.	A	Dividend			Sold	11/28/17	J		
155. - Enterprise Products Partners L P	A	Dividend			Sold	11/28/17	J		
156. - Magellan Midstream Prturs LP	A	Dividend			Sold	11/28/17	J		
157. - Ryman Hospitality Pptys Inc.	A	Dividend			Sold	11/28/17	J		
158. - Sabra Health Care REIT Inc.	A	Dividend			Sold	11/28/17	J		
159. - Realty Income Corporation REIT	A	Dividend			Sold	11/30/17	J		
160. - Ventas Incorporated REIT	A	Dividend			Sold	11/28/17	J		
161. IRA #6 (H)									
162. - AT&T Incorporated	A	Dividend			Sold	07/20/17	J		
163. - Paychex Incorporated	A	Dividend	J	T					
164. - Pepsico Incorporated	A	Dividend	J	T					
165. - Riverfront Strategic Income Fund	A	Dividend	J	T					
166. - First Trust ETF II Utilities Alphasdex Fund	A	Dividend	J	T					
167. - First Trust ETF IV Senior Loan Fund	A	Dividend	J	T	Buy	01/09/17	J		
168. - Flexshares Trust Quality Dividend Index Fund	A	Dividend	J	T					
169. - Global X Funds MCSI Norway ETF	A	Dividend	J	T					
170. - Goldman Sachs ETF Activebeta US Large Cap	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Ishares MSCI Aust ETF	A	Dividend	J	T					
172. - Ishares MSCI CDA ETF	A	Dividend	J	T	Buy	02/24/17	J		
173. - Ishares MSCI Sz Cap ETF	A	Dividend			Sold	02/24/17	J		
174. - Ishares TR Core S & P 500 ETF	A	Dividend	K	T					
175. - Ishares TR MSCI EAFE ETF	A	Dividend	J	T					
176. - Ishares TR NASDAQ Biotech ETF	A	Dividend			Sold	03/22/17	J		
177. - Ishares TR U.S. Fin SVC ETF	A	Dividend	J	T					
178. - Ishares TR CORE S&P SCP ETF	A	Dividend	J	T					
179. - Ishares TR U.S. CNSM GD ETF	A	Dividend	J	T					
180. - Ishares TR MSCI Ac Asia ETF	A	Dividend	J	T	Buy	03/28/17	J		
181. - Ishares TR Intl SEL Div ETF	A	Dividend	J	T					
182. - Ishares TR US Hlthcr PR ETF	A	Dividend			Sold	10/25/17	J		
183. - Ishares TR EAFE Value ETF	A	Dividend	K	T					
184. - Ishares TR EAFE Grwth ETF	A	Dividend	L	T					
185. - Ishares TR Core MSCI EAFE	A	Dividend	L	T	Buy	03/22/17	L		
186. - Ishares Incorporated Core MSCI EMKT	A	Dividend	K	T					
187. - Ishares TR Hdg MSCI Japan	A	Dividend			Sold	04/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Pimco ETF Trust	A	Dividend	J	T					
189. - Powershares QQQ Trust	A	Dividend	J	T					
190. - Powershares ETF Trust Aerospace & Defense Port	A	Dividend	J	T					
191. - Powershares Global ETF FTSE RAFI Emerging Markets Port	A	Dividend	J	T	Buy	02/24/17	J		
192. - Powershares ETF II S&P 500 Ex-Rate	A	Dividend			Sold	03/22/17	J		
193. - Powershares ETF Trust II KBW Regl Bk Port	A	Dividend			Sold	03/28/17	J		
194. - SPDR Series Trust Morgan Stanley Tech	A	Dividend	K	T					
195. - SPDR Series Trust S&P Transn ETF	A	Dividend			Sold	01/04/17	J		
196. - SPDR Series Trust S&P Capital Markets ETF	A	Dividend	J	T					
197. - SPDR Series Trust Cap Short Term	A	Dividend			Sold	03/16/17	J		
198. - SSGA Active ETF Trust Blackston/GSO Sr Ltn ETF	A	Dividend	J	T	Buy	01/04/17	J		
199. - Sector SPDR TR SBI Healthcare	A	Dividend	J	T	Buy	07/20/17	J		
200. - Sector SPDR TR SBI Cons Discr	A	Dividend	J	T					
201. - Sector SPDR TR SBI Int-Finl	A	Dividend	J	T					
202. - Select Sector SPDR TR Real Estate	A	Dividend			Sold	03/28/17	J		
203. - Wisdomtree Trust Larcap Div Fund	A	Dividend	J	T					
204. - Wisdomtree Trust Low P/E Fd	A	Dividend			Sold	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Wisdomtree Trust Japan Smallcap Divid Fund	A	Dividend	J	T					
206. - Wisdomtree Trust Intl Hedged Div Growth Fund	A	Dividend	J	T					
207. - Wisdomtree Trust Currency Hedged Defa Fd	A	Dividend	K	T					
208. - UBS Ag Jersey, E Tracs Linked to Alerian MLP Infrastructure	A	Dividend			Sold	10/25/17	J		
209. IRA #7 (H)									
210. - Activision Blizzard Inc.	A	Dividend	J	T	Buy	12/12/17	J		
211. - Adobe Systems Inc.	A	Dividend	J	T	Buy	12/12/17	J		
212. - Alibaba Group Hldg.	A	Dividend	J	T	Buy	12/12/17	J		
213. - Allstate Corp.	A	Dividend	J	T	Buy	12/12/17	J		
214. - Alphabet Incorporated	A	Dividend	J	T	Buy	12/12/17	J		
215. - Altria Group	A	Dividend	J	T	Buy	12/12/17	J		
216. - Amazon Com Inc.	A	Dividend	J	T	Buy	12/12/17	J		
217. - Analog Devices Inc.	A	Dividend	J	T	Buy	12/12/17	J		
218. - Apple Inc.	A	Dividend	J	T	Buy	12/12/17	J		
219. - Bank Amer. Corp	A	Dividend	J	T	Buy	12/12/17	J		
220. - Becton Dickinson & Co.	A	Dividend	J	T	Buy	12/12/17	J		
221. - Boston Scientific Corp.	A	Dividend	J	T	Buy	12/12/17	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 Q =Appraisal (See Column C2)	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S =Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - CSX Corp.	A	Dividend	J	T	Buy	12/12/17	J		
223. - Caterpillar Inc.	A	Dividend	J	T	Buy	12/12/17	J		
224. - Charter Communications Inc.	A	Dividend	J	T	Buy	12/12/17	J		
225. - Chevron Corp.	A	Dividend	J	T	Buy	12/12/17	J		
226. - Cisco Systems Inc.	A	Dividend	J	T	Buy	12/12/17	J		
227. - Citigroup Inc.	A	Dividend	J	T	Buy	12/12/17	J		
228. - Coca Cola Company	A	Dividend	J	T	Buy	12/12/17	J		
229. - Comcast Corp.	A	Dividend	J	T	Buy	12/12/17	J		
230. - Conocophillips	A	Dividend	J	T	Buy	12/21/17	J		
231. - Dollar General Corp.	A	Dividend	J	T	Buy	12/20/17	J		
232. - Dowdupont Inc.	A	Dividend	J	T	Buy	12/12/17	J		
233. - EOG RES Inc.	A	Dividend	J	T	Buy	12/12/17	J		
234. - Eastman Chemical Company	A	Dividend	J	T	Buy	12/12/17	J		
235. - Facebook Inc.	A	Dividend	J	T	Buy	12/12/17	J		
236. - Fedex Corp.	A	Dividend	J	T	Buy	12/12/17	J		
237. - Home Depot Inc.	A	Dividend	J	T	Buy	12/12/17	J		
238. - Honeywell International Inc.	A	Dividend	J	T	Buy	12/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Intel Corp.	A	Dividend	J	T	Buy	12/12/17	J		
240. - JPMorgan Chase & Co.	A	Dividend	J	T	Buy	12/12/17	J		
241. - Johnson & Johnson	A	Dividend	J	T	Buy	12/12/17	J		
242. - Marsh & McLennan Companies Inc.	A	Dividend	J	T	Buy	12/12/17	J		
243. - Microsoft Corp.	A	Dividend	J	T	Buy	12/12/17	J		
244. - Mohawk Industries Inc.	A	Dividend	J	T	Buy	12/12/17	J		
245. - Morgan Stanley Com.	A	Dividend	J	T	Buy	12/12/17	J		
246. - Nvidia Corp.	A	Dividend	J	T	Buy	12/12/17	J		
247. - PNC Finl. Svcs. Group Inc.	A	Dividend	J	T	Buy	12/12/17	J		
248. - Pepsico Inc.	A	Dividend	J	T	Buy	12/12/17	J		
249. - Pfizer Inc.	A	Dividend	J	T	Buy	12/12/17	J		
250. - Philip Morris Intl. Inc.	A	Dividend	J	T	Buy	12/12/17	J		
251. - Raytheon Company	A	Dividend	J	T	Buy	12/12/17	J		
252. - Roper Technologies Inc.	A	Dividend	J	T	Buy	12/12/17	J		
253. - Royal Dutch Shell PLC Spons ADR	A	Dividend	J	T	Buy	12/12/17	J		
254. - Salesforce Com Inc.	A	Dividend	J	T	Buy	12/12/17	J		
255. - Stanley Black & Decker Inc.	A	Dividend	J	T	Buy	12/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - TJX Companies Inc.	A	Dividend	J	T	Buy	12/12/17	J		
257. - Unitedhealth Group Inc.	A	Dividend	J	T	Buy	12/12/17	J		
258. - Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy	12/12/17	J		
259. - Visa Inc.	A	Dividend	J	T	Buy	12/12/17	J		
260. - Zoetis Inc.	A	Dividend	J	T	Buy	12/12/17	J		
261. Brokerage Account #1 (H)									
262. - Am Funds Cap World Growth & Inc Class C	A	Dividend	K	T					
263. - First Eagle Global Fund Class C	A	Dividend	K	T					
264. - Janus Henderson Global Eq. Inc. f/k/a Henderson European Focus Fund	A	Dividend	K	T					
265. - American High Income Municipal Bond	A	Dividend	J	T					
266. - Tax Exempt Bond Fund of America	A	Dividend	J	T					
267. - Franklin Rising Dividends Fund	A	Dividend	J	T					
268. - Am Funds Growth Fund of Amer Class C	A	Dividend	J	T					
269. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
270. - Franklin Templeton Mutual Global Discovery	A	Dividend	K	T					
271. - Am Funds New World Class C	A	Dividend	K	T					
272. - Realty Income Corporation Reit	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Targe Res Corp. f/k/a Targa Res Partners LP Com Unit (X)	A	Dividend	J	T					
274. - Blackrock Equity Div. Fund Class C	A	Dividend	K	T					
275. - Calamos Growth & Income Fund Class C	A	Dividend	K	T					
276. - Nuveen High Yield Mun. Bond Fund Class C	A	Dividend	K	T					
277. - Western Asset Int. Term Municipals Class C	A	Dividend	L	T					
278. - Raymond James Cash Account		None	J	T					
279. Brokerage Account #2 (H)									
280. - AT&T Incorporated	A	Dividend			Sold	07/26/17	J		
281. - Abbvie Incorporated	A	Dividend			Sold	05/16/17	J		
282. - Activision Blizzard Inc.	A	Dividend	J	T	Buy	07/27/17	J		
283. - Adobe Systems Inc.	A	Dividend	J	T					
284. - Advance Auto Parts Inc.	A	Dividend			Sold	02/22/17	J		
285. - Alibaba Group Hldg	A	Dividend	J	T					
286. - Allstate Corporation	A	Dividend	J	T	Buy	09/06/17	J		
287. - Alphabet Incorporated f/k/a Google Incorporated	A	Dividend	J	T					
288. - Altria Group Incorporated	A	Dividend	J	T					
289. - Amazon Com Incorporated	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - American International Group Inc. Com	A	Dividend			Sold	03/28/17	J		
291. - Anadarko Pete Corporation	A	Dividend			Sold	06/07/17	J		
292. - Analog Devices Inc.	A	Dividend	J	T	Buy	05/09/17	J		
293. - Apple Incorporated	A	Dividend	J	T					
294. - Bank of America Corporation	A	Dividend	J	T					
295. - Becton Dickison & Company	A	Dividend	J	T	Buy	02/22/17	J		
296. - Boston Scientific Corp.	A	Dividend	J	T					
297. - Bristol Myers Squibb Company	A	Dividend			Sold	03/22/17	J		
298. - CSX Corporation	A	Dividend	J	T					
299. - Caterpillar Inc.	A	Dividend	J	T	Buy	02/15/17	J		
300. - Canadian Nat Res Limited	A	Dividend			Sold	02/08/17	J		
301. - Celgene Corporation	A	Dividend			Sold	12/01/17	J		
302. - Charter Communications Inc.	A	Dividend	J	T					
303. - Chevron Corporation New	A	Dividend	J	T					
304. - Cisco Systems Incorporated	A	Dividend	J	T					
305. - Citigroup Incorporated Com New	A	Dividend	J	T					
306. - Coca Cola Company	A	Dividend	J	T	Buy	04/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. - Comcast Corporation New	A	Dividend	J	T					
308. - Conocophillips	A	Dividend	J	T	Buy	12/21/17	J		
309. - Dollar General Corp.	A	Dividend	J	T	Buy	12/20/17	J		
310. - Disney Walt Company	A	Dividend			Sold	09/08/17	J		
311. - Dowdupont Inc. f/k/a Dow Chemical Company	A	Dividend	J	T					
312. - Electronic Arts Inc.	A	Dividend			Sold	03/22/17	J		
313. - Exxon Mobil Corp.	A	Dividend			Sold	02/01/17	J		
314. - EOG RES Inc.	A	Dividend	J	T	Buy	02/01/17	J		
315. - Eastman Chemical Company	A	Dividend	J	T	Buy	10/05/17	J		
316. - Facebook Incorporated	A	Dividend	J	T					
317. - Fedex Corporation	A	Dividend	J	T					
318. - General Electric Company	A	Dividend			Sold	03/07/17	J		
319. - Halliburton Company	A	Dividend			Sold	08/15/17	J		
320. - Home Depot Incorporated	A	Dividend	J	T					
321. - Honeywell International Incorporated	A	Dividend	J	T					
322. - Intel Corp.	A	Dividend	J	T	Buy	11/06/17	J		
323. - JPMorgan Chase & Company	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Johnson & Johnson	A	Dividend	J	T					
325. - Lilly Eli & Company	A	Dividend			Sold	07/25/17	J		
326. - Marsh & McLennan Companies Incorporated	A	Dividend	J	T					
327. - Martin Marietta Mats Inc.	A	Dividend			Sold	11/02/17	J		
328. - Microsoft Corporation	A	Dividend	J	T					
329. - Mohawk Industries Inc.	A	Dividend	J	T					
330. - Morgan Stanley Com New	A	Dividend	J	T					
331. - Norfolk Southern Corp.	A	Dividend			Sold	07/20/17	J		
332. - Nvidia Corp.	A	Dividend	J	T	Buy	01/09/17	J		
333. - PNC Finl. Svcs. Group Inc.	A	Dividend	J	T	Buy	03/28/17	J		
334. - Pepsico Incorporated	A	Dividend	J	T					
335. - Pfizer Incorporated	A	Dividend	J	T					
336. - Philip Morris International Inc.	A	Dividend	J	T	Buy	02/07/17	J		
337. - Proctor and Gamble Co.	A	Dividend			Sold	04/27/17	J		
338. - Raytheon Company	A	Dividend	J	T					
339. - Roper Technologies Inc.	A	Dividend	J	T	Buy	09/20/17	J		
340. - Royal Dutch Shell PLC Spons. ADR (Netherlands)	A	Dividend	J	T	Buy	10/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Salesforce Com Incorporated	A	Dividend	J	T					
342. - Schlumberger Limited	A	Dividend			Sold	04/28/17	J		
343. - Southern Company	A	Dividend			Sold	04/07/17	J		
344. - Stanley Black & Decker Inc.	A	Dividend	J	T					
345. - Starbucks Corporation	A	Dividend			Sold	01/24/17	J		
346. - T Mobile US Incorporated (Y)	A	Dividend			Sold	06/29/17	J		
347. - Time Warner Inc.	A	Dividend			Sold	11/03/17	J		
348. - TJX Companies Inc.	A	Dividend	J	T	Buy	12/05/17	J		
349. - United Health Group Inc.	A	Dividend	J	T					
350. - Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy	05/17/17	J		
351. - Visa Incorporated	A	Dividend	J	T					
352. - Zoetis Inc.	A	Dividend	J	T					
353. - American Tower Corporation New Reit	A	Dividend			Sold	01/09/17	J		
354. Virginia 529 Plan, College Savings Plan, Moderate (H) Growth									
355. - AMCAP Fund - 529C	A	Dividend	J	T					
356. - Capital World Growth & Income - 529C	A	Dividend	J	T					
357. - The Growth Fund of America - 529C	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. - The Investment Company of America - 529C	A	Dividend	J	T					
359. Hartford Life Insurance Cash Value Acct. #1 (H)									
360. - American Funds Income Fund	A	Dividend	J	T					
361. - American Funds Growth	A	Dividend	J	T					
362. - Franklin Templeton Mutual Shares Sec	A	Dividend	J	T					
363. PNC Bank Checking & Savings Accounts (H)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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