

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baughman, William H.	2. Court or Organization U.S. District Court, N.D. Ohio	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-Time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court Carl B. Stokes United States Courthouse 801 West Superior Avenue 10A Cleveland, Ohio 44113-1846		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

Substitute Teacher -- Gesu School

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Notre Dame Law School

1/11/12 - 1/14/12

South Bend, IN

Participate in moot court

Lodging

2. Notre Dame Law School

8/13/12 - 8/16/12

South Bend, IN

Participate in moot court

Lodging

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds IRA # 1									
2. --Euro Pacific Growth Fund	B	Dividend			Sold	03/28/12	L	E	
3. --Growth Fund of America	A	Dividend			Sold	03/28/12	L	E	
4. --SMALLCAP World Fund	A	Dividend			Sold	03/28/12	L	E	
5. --Washington Mutual Investors Fund	B	Dividend			Sold	03/28/12	L	E	
6. American Funds IRA # 2									
7. -- Fundamental Investors	A	Dividend			Sold	03/27/12	K	D	
8. --American Balanced Fund A	A	Dividend			Sold	03/27/12	K	D	
9. Northwestern Mutual: Extra Ordinary Life	A	Dividend	L	T					
10. Northwester Mutual: Whole Life	A	Dividend	L	T					
11. Charter One Bank	A	Interest			Closed	08/30/12	L	A	
12. Charter One Bank - IRA # 3	A	Interest			Redeemed	06/15/12	J	A	
13. U.S. Automobile Association - IRA # 4									
14. --Precious Metals & Minerals Fund	A	Dividend			Sold	04/02/12	J	A	
15. --Cornerstone Strategy Fund	A	Dividend			Sold	04/02/12	J	A	
16. Third Federal Savings	A	Interest			Closed	12/20/12	L	A	
17. LPL Financial - IRA # 5									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --FPA New Income	B	Dividend	L	T	Buy	04/03/12	M		
19.					Sold (part)	08/22/12	J	D	
20.					Sold (part)	09/21/12	J	D	
21.					Sold (part)	10/22/12	J	A	
22.					Sold (part)	11/21/12	J	A	
23. --Berwyn Funds/Income Fund	A	Dividend	K	T	Buy	04/02/12	K		
24.					Sold (part)	10/01/12	J	E	
25. --Matthews International/Asia	A	Dividend	K	T	Buy	04/02/12	K		
26.					Sold (part)	08/17/12	J	D	
27. --Apple	A	Dividend	J	T	Buy	04/02/12	J		
28. --First Eagle/Global I	A	Dividend	L	T	Buy	04/02/12	L		
29. --Templeton Global/Tr Gbl	D	Dividend	M	T	Buy	04/02/12	M		
30. --Royce Special/Equity Investment	A	Dividend	K	T	Buy	04/02/12	K		
31. --FPA Crescent Instl	A	Dividend	K	T	Buy	04/03/12	K		
32. LPL Financial - IRA # 6									
33. --Templeton Global	A	Dividend	K	T	Buy	04/03/12	K		
34. --Royce Special/Equity Investment	A	Dividend	J	T	Buy	04/03/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Berwyn Income	A	Dividend	L	T	Buy	04/03/12	L		
36. --First Eagle/Global I	A	Dividend	J	T	Buy	04/03/12	J		
37. --FPA Crescent Instl	A	Dividend	J	T	Buy	04/03/12	J		
38. --FPA New Income	A	Dividend	K	T	Buy	04/03/12	K		
39. --Matthews International/Asia	A	Dividend	J	T	Buy	04/03/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William H. Baughman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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