

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2016Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                              |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Woods, Gregory H.                                                                                              | <b>2. Court or Organization</b><br><br>Southern District of New York                                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>05/15/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>United States District Court Judge                      | <b>5a. Report Type</b> (check appropriate type)<br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse<br>500 Pearl Street<br>New York, NY 10007                                                                      |                                                                                                                                                                                                                                                                                              |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. |                                                                                                                                                                                                                                                                                              |                                                                  |

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

|                              |                  |
|------------------------------|------------------|
| 1. MEMBER, BOARD OF TRUSTEES | WILLIAMS COLLEGE |
| 2.                           |                  |
| 3.                           |                  |
| 4.                           |                  |
| 5.                           |                  |

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

|            |                                                                                                                      |
|------------|----------------------------------------------------------------------------------------------------------------------|
| 1. 03/1999 | Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer. |
| 2. 01/2005 | Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer. |
| 3. 01/2005 | Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.            |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Salary

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. ACCOUNT #1 (H)                                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. VANGUARD FEDERAL MONEY<br>MARKET FUND                                                                                    | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. VANGUARD INFLA-PROTECTED SEC                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. VANGUARD PRIME MONEY MARKET                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. VANGUARD TOTAL BOND MKT<br>INDEX                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. VANGUARD WELLINGTON FUND                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. VANGUARD SELECTED VALUE FUND                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. VANGUARD WINDSOR FUND                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. VANGUARD MID-CAP GROWTH FUND                                                                                             | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. VANGUARD MORGAN GROWTH FUND                                                                                             | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. VANGUARD EXPLORER FUND                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. VANGUARD HEALTH CARE FUND                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. VANGUARD US VALUE FUND                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. VANGUARD TOTAL INT'L STOCK<br>INDEX                                                                                     | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. VANGUARD INST INDEX FUND                                                                                                | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. VANGUARD RETIRE SAVINGS TRUST<br>III                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. VANGUARD EXTENDED MKT INDEX                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                    |   |          |   |   |             |          |   |  |  |
|--------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|--|--|
| 18. ACCOUNT #2 (H)                                                 |   |          |   |   |             |          |   |  |  |
| 19. JP MORGAN DEPOSIT SWEEP ACCOUNT (CASH)                         | A | Interest | K | T |             |          |   |  |  |
| 20. ASTON/FAIRPOINTE MID CAP                                       |   | None     |   |   | Sold        | 02/16/16 | J |  |  |
| 21. AMERICAN CENTURY INTER-TERM TAX FREE BD FD INSTL CL            | B | Dividend | K | T | Sold (part) | 02/24/16 | J |  |  |
| 22.                                                                |   |          |   |   | Sold (part) | 05/27/16 | K |  |  |
| 23.                                                                |   |          |   |   | Buy (add'l) | 05/31/16 | K |  |  |
| 24.                                                                |   |          |   |   | Sold (part) | 06/28/16 | J |  |  |
| 25. BERNSTEIN SANFORD C FD INC AB INTR DIVERSIFIED MUN PORT ADV CL | B | Dividend | K | T | Sold (part) | 02/24/16 | J |  |  |
| 26.                                                                |   |          |   |   | Sold (part) | 05/27/16 | J |  |  |
| 27.                                                                |   |          |   |   | Buy (add'l) | 05/31/16 | K |  |  |
| 28.                                                                |   |          |   |   | Sold (part) | 06/28/16 | J |  |  |
| 29. BLACKROCK FDS HIGH YIELD BD PORTFOLIO INSTL                    | B | Dividend | J | T | Sold (part) | 04/12/16 | K |  |  |
| 30.                                                                |   |          |   |   | Sold (part) | 05/27/16 | J |  |  |
| 31. BLACKROCK FDS MIDCAP INDEX FD INSTITUTIONAL                    |   | None     |   |   | Sold        | 01/22/16 | J |  |  |
| 32. BLACKROCK INTERNATIONAL INDEX FUND INSTL CL                    |   | None     |   |   | Sold        | 01/22/16 | J |  |  |
| 33. BROWN ADVISORY FDS WMC STRATEGIC EUROPEAN EQI INSTL            | A | Dividend | K | T | Sold (part) | 05/27/16 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 34.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 36. CAUSEWAY CAP MGMT TR INTL<br>VALUE FD INSTL CL                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 37.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 38.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/23/16                | J                               |                                |                                                                   |
| 40. DODGE & COX FUNDS<br>INTERNATIONAL STOCK FUND                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/16/16                | J                               |                                |                                                                   |
| 41.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 42.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 44.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/16                | J                               |                                |                                                                   |
| 45. HARBOR INTERNATIONAL FUND<br>INSTITUTIONAL                                                                              |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 02/16/16                | J                               |                                |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/16                | J                               |                                |                                                                   |
| 47.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 48.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 49.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/16                | K                               |                                |                                                                   |
| 50. OAKMARK FUND                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/16/16                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/15/16                | K                               |                                |                                                                   |
| 55.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/23/16                | J                               |                                |                                                                   |
| 56. ISHARES MSCI EAFE ETF                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/15/16                | K                               |                                |                                                                   |
| 60. ISHARES CURRENCY HEDGED MSCI EAFE ETF                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/23/16                | K                               |                                |                                                                   |
| 64. JP MORGAN TR 1 INTER TAX FREE BD FD INSTL CL                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/24/16                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 66.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/15/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 68.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 08/15/16                | K                               |                                |                                                                   |
| 69. JP MORGAN TR I US EQUITY FD INSTL<br>CL                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/24/16                | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/16/16                | K                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/23/16                | J                               |                                |                                                                   |
| 75. JP MORGAN TR I MID CAP EQUITY FD<br>SELECT CL                                                                           |                                         | None                                          |                                                 |                                           | Sold                                            | 02/16/16                | J                               |                                |                                                                   |
| 76. JP MORGAN TR I SMALL CAP EQUITY<br>FD SELECT CL (Y)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. JP MORGAN VALUE ADVANTAGE FD<br>INSTL CL                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 02/16/16                | K                               |                                |                                                                   |
| 78. JP MORGAN TR II HIGH YIELD FD<br>SELECT CL                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 81. JP MORGAN TR II SHORT-INTER MUN<br>BD FD INSTL                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/24/16                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 85. MAINSTAY HIGH YIELD CORPORATE<br>BOND FUND CL 1                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 86.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 88. MASSCHUSETTS INVESTORS TRUST<br>CL 1                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 03/16/16                | K                               |                                |                                                                   |
| 89.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 91.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 92.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/23/16                | J                               |                                |                                                                   |
| 93. PIMCO LOW DURATION FUND INSTL<br>CL                                                                                     | B                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/16/16                | J                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/24/16                | K                               |                                |                                                                   |
| 95.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/12/16                | K                               |                                |                                                                   |
| 96.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | K                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/15/16                | J                               |                                |                                                                   |
| 100. T. ROWE PRICE SUMMIT MUNICIPAL<br>INTERMEDIATE FUND                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/24/16                | J                               |                                |                                                                   |
| 101.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |

|                                                  |                                                                                        |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                        | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                        | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 102.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/15/16                | J                               |                                |                                                                   |
| 105. SPDR S&P 500 ETF TRUST                                                                                                 | C                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 02/16/16                | L                               |                                |                                                                   |
| 106.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/16                | K                               |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | L                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | L                               |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | K                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/15/16                | K                               |                                |                                                                   |
| 111. WELLS FARGO ADVANTAGE<br>GROWTH FUND CL I                                                                              |                                         | None                                          |                                                 |                                           | Sold                                            | 02/16/16                | K                               |                                |                                                                   |
| 112. WISDOMTREE JAPAN HEDGED<br>EQUITY FUND                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 115.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/15/16                | K                               |                                |                                                                   |
| 116. VANGUARD FIXED INCOME SECS                                                                                             | A                                       | Distribution                                  | J                                               | T                                         | Buy                                             | 02/24/16                | J                               |                                |                                                                   |
| 117.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 118.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 120. LORD ABBETT SHORT DURATION<br>INCOME FD                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 02/24/16                | J                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/12/16                | J                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 125. BLACKROCK INDEX FDS INC INTL                                                                                           | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/22/16                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/16/16                | K                               |                                |                                                                   |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/12/16                | K                               |                                |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/23/16                | J                               |                                |                                                                   |
| 132. VANGUARD INDEX TR 500 INDEX FD                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/23/16                | K                               |                                |                                                                   |
| 133. PIMCO TOTAL RETURN FUND INSTL<br>CL                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/15/16                | J                               |                                |                                                                   |
| 134. PIMCO FDS PAC INVT MGMT                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/15/16                | J                               |                                |                                                                   |
| 135. T. ROWE PRICE INTL FUNDS INC<br>EMERGING MARKETS                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/15/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 136. ISHARES MDCI JAPAN ETF                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/15/16                | K                               |                                |                                                                   |
| 137. BLACKROCK FDS MIDCAP INDEX FD<br>CL K                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/22/16                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | K                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | K                               |                                |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 141. JOHN HANCOCK INCOME FUND CL                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/12/16                | K                               |                                |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/16                | J                               |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/31/16                | J                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/28/16                | J                               |                                |                                                                   |
| 145. AMG TR IV MANAGERS PICTET INTL<br>FD                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/23/16                | K                               |                                |                                                                   |
| 146. BROKERAGE ACCOUNT #3 (H)                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 147. JP MORGAN DEPOSIT SWEEP<br>ACCOUNT (CASH) (Y)                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 148. AMERICAN CENTURY INTER-TERM<br>TAX FREE BD FD INSTL CL                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 149. BERNSTEIN SANFORD C FD INC AB<br>INTR DIVERSIFIED MUN PORT ADV<br>CL                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/25/16                | J                               |                                |                                                                   |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 151. BLACKROCK FDS HIGH YIELD BD<br>PROFOLIO INSTL                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/13/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/15/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/11/16                | J                               |                                |                                                                   |
| 153. BLACKROCK FDS MIDCAP INDEX FD INSTITUTIONAL                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 01/22/16                | J                               |                                |                                                                   |
| 154. BLACKROCK INTERNATIONAL INDEX FUND INSTL CL                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 01/22/16                | J                               |                                |                                                                   |
| 155. BROWN ADVISORY FDS WMC STRATEGIC EUROPEAN EQI INSTL                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 156. DODGE & COX FUNDS INTERNATIONAL STOCK FUND                                                                             |                                         | None                                          |                                                 |                                           | Sold (part)                                     | 02/02/16                | J                               |                                |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 158. JOHN HANCOCK INCOME FUND CL I                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 159. OAKMARK FUND                                                                                                           |                                         | None                                          |                                                 |                                           | Sold (part)                                     | 02/02/16                | J                               |                                |                                                                   |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 161. ISHARES MSCI EAFE ETF                                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 02/25/16                | J                               |                                |                                                                   |
| 162. ISHARES CURRENCY HEDGED MSCI EAFE ETF                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 163. JP MORGAN TR I INTER TAX FREE BD FD INSTL CL                                                                           | A                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 01/13/16                | J                               |                                |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 165. JP MORGAN TR I US EQUITY FD INSTL CL                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold (part)                                     | 03/16/16                | J                               |                                |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 167. JP MORGAN TR I MID CAP EQUITY FD SELECT CL                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 02/02/16                | J                               |                                |                                                                   |
| 168. JP MORGAN TR II HIGH YIELD FD SELECT CL                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold (part)                                     | 01/13/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/15/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/11/16                | J                               |                                |                                                                   |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 171. JP MORGAN TR II SHORT-INTER MUN<br>BD FD INSTL                                                                         | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 01/13/16                | J                               |                                |                                                                   |
| 172.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 173. MFS RESEARCH INTERNATIONAL FD<br>CL I                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 174. MAINSTAY HIGH YIELD CORPORATE<br>BOND FUND CL I                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/11/16                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 176. MASSCHUSETTS INVESTORS TRUST<br>CL I                                                                                   |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 03/16/16                | J                               |                                |                                                                   |
| 177.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 178. PIMCO LOW DURATION FUND INSTL<br>CL                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/02/16                | J                               |                                |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/25/16                | J                               |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/11/16                | J                               |                                |                                                                   |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 182. T. ROWE PRICE SUMMIT MUNICIPAL<br>INTERMEDIATE FUND                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/25/16                | J                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 184. SPDR S&P 500 ETF TRUST                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/02/16                | J                               |                                |                                                                   |
| 185.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/16                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/15/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 186.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/16                | J                               |                                |                                                                   |
| 187.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 188. WISDOMETREE JAPAN HEDGED<br>EQUITY FUND                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 189. VANGUARD FIXED INCOME SECS                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/25/16                | J                               |                                |                                                                   |
| 190.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 191. BLACKROCK FDS MIDCAP INDEX FD<br>CL K                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/22/16                | J                               |                                |                                                                   |
| 192.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 193. BLACKROCK INDEX FDS INC                                                                                                |                                         | None                                          |                                                 |                                           | Buy                                             | 01/22/16                | J                               |                                |                                                                   |
| 194.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/02/16                | J                               |                                |                                                                   |
| 195.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/25/16                | J                               |                                |                                                                   |
| 196.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/11/16                | J                               |                                |                                                                   |
| 197.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | K                               |                                |                                                                   |
| 198. LORD ABBETT SHORT DURATION<br>INCOME FD                                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/25/16                | J                               |                                |                                                                   |
| 199.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/11/16                | J                               |                                |                                                                   |
| 200.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/16                | J                               |                                |                                                                   |
| 201. ACCOUNT #4 (H)                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 202. VANGUARD PRIME MONEY MARKET<br>FUND (X)                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 203. VANGUARD TOTAL STOCK MKT IDX<br>ADM                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/07/16                | K                               |                                |                                                                   |
| 204. VANGUARD REIT INDEX FUND INV<br>(X)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 205. VANGUARD SMALL CAP INDEX FUND<br>ADM (X)                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 206. VANGUARD SMALL-CAP VALUE<br>INDEX                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 207. VANGUARD TOTAL INTL STOCK IX<br>ADMIRAL                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 208. VANGUARD TOTAL BOND MKT<br>INDEX ADM                                                                                   | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 209. VANGURAD WELLINGTON FUND INV                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 210. VANGUARD 500 INDEX ADM                                                                                                 | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 211. NEW YORK 529 COLLEGE SAVINGS<br>DIRECT PLAN #1 (H)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 212. AGGRESSIVE AGE-BASED OPTION:<br>MODERATE GROWTH PORTFOLIO                                                              |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 213. MODERATE AGE-BASED OPTION:<br>CONSERVATIVE GROWTH<br>PORTFOLIO                                                         |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 214. CONSERVATIVE AGE-BASED OPTION:<br>INCOME PORTFOLIO                                                                     |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 215. INFLATION PROTECTED SECURITIES<br>PORTFOLIO                                                                            |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 216. NEW YORK 529 COLLEGE SAVINGS<br>DIRECT PLAN #2 (H)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 217. AGGRESSIVE AGE-BASED OPTION:<br>MODERATE GROWTH PORTFOLIO                                                              |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 218. MODERATE AGE-BASED OPTION:<br>CONSERVATIVE GROWTH<br>PORTFOLIO                                                         |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                |   |              |   |   |  |  |  |  |  |
|----------------------------------------------------------------|---|--------------|---|---|--|--|--|--|--|
| 219. CONSERVATIVE AGE-BASED OPTION:<br>INCOME PORTFOLIO        |   | None         | L | T |  |  |  |  |  |
| 220. INFLATION PROTECTED SECURITIES<br>PORTFOLIO               |   | None         | K | T |  |  |  |  |  |
| 221. OTHER HOLDINGS (H)                                        |   |              |   |   |  |  |  |  |  |
| 222. BLACKROCK LARGE CAP GROWTH<br>FUND C                      | C | Dividend     | K | T |  |  |  |  |  |
| 223. CREF STOCK ACCOUNT                                        |   | None         | J | T |  |  |  |  |  |
| 224. CREF GROWTH ACCOUNT                                       |   | None         | J | T |  |  |  |  |  |
| 225. CREF SOCIAL CHOICES ACCOUNT                               |   | None         | J | T |  |  |  |  |  |
| 226. JP MORGAN CHASE CASH ACCOUNTS                             | A | Interest     | M | T |  |  |  |  |  |
| 227. MGJ REALTY CORPORATION<br>(COMMON STOCK OF PRIVATE CORP.) | A | Distribution | L | W |  |  |  |  |  |
| 228. ██████████<br>(COMMON STOCK OF PRIVATE CORP.)             |   | None         | O | W |  |  |  |  |  |
| 229. ██████████<br>(DEBT OF PRIVATE CORP.) (Y)                 |   |              |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, lines 212-215, 217-220, and 223-225: These holdings are unit based and do not attribute income to individual assets (see page 40 of the filing instructions).

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory H. Woods**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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