

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trumble, Robert W.	2. Court or Organization U.S.District Court for the Northern District of WV	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 217 W. King Street Room 207 Martinsburg West Virginia 25401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director/Shareholder/Vice President	McNeer, Highland, McMunn and Varner, L.C.
2. Member	MHMY - Empire, LLC
3. Board Member	Millpoint Citizens Association, LTD
4. Executor	Family Death Estate 1
5. Municipal Judge-Substitute	City of Martinsburg, West Virginia
6. Panel Trustee-US Bankruptcy Court Northern District of West Virginia	Executive Office of the United States Trustee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	McNeer, Highland, McMunn and Varner L.C.: By-laws/operating agreement containing terms of a shareholders buy-out upon retirement/departure. No control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	McNeer, Highland, McMunn and Varner, L.C. Gross income earned as a practicing attorney for services rendered to clients	\$104,511.11
2. 2014	MHMY-Empire, LLC Income as a member of a single asset LLC	\$429.00
3. 2014	McNeer, Highland, McMunn and Varner, L.C. Income received pursuant to by-laws/operating agreement for shareholder buy-out upon retirement/departure.	\$58,687.75
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Berkeley County Board of Education: Compensation as a Teacher, Department Chair and Referral Agent
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Savings Bonds	A	Interest	K	T					
2. Branch Banking and Trust (BB&T) Account	A	Interest	J	T					
3. Royalty Interest, Taylor County, WV Alliance Petroleum Corporation	A	Royalty	J	W					
4. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
5. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
6. Gamervision, Inc. Stock		None	L	W					
7. Family Death Estate 1					Closed	03/31/14			
8. -Huntington National Bank Account		None			Distributed	03/31/14	J		
9. -US Savings Bonds	A	Interest			Distributed	03/31/14	M		
10. -MetLife Stock		None							
11. -126.75 Acres, Taylor County, West Virginia		None							
12. -Mineral Rights 126.75 Acres, Taylor County, West Virginia		None							
13. -Automobile		None							
14. Huntington Bankshares Incorporated Common Stock (HBAN)	A	Dividend	J	T					
15. Wells Fargo 401k (H)									
16. American International Group INC (AIWGS) In Kind from Wells Fargo	A	Dividend	J	T	Buy	09/02/14	J		
17. American International Group (AIG)-- See Part VIII		None			Sold	08/27/14	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Citi Group- See Part VIII	A	Dividend			Sold	08/27/14	J	A	
19. Exxon Mobile (XOM)	A	Dividend			Sold	08/27/14	J	A	
20. Fannie Mae (FNMA)		None			Redeemed	08/27/14	K	A	
21. Invesco American Value Fund (MSVCX)	A	Dividend			Redeemed	08/14/14	K	A	
22. Blackrock Global Allocation (MDLAX)- See Part VIII	A	Dividend			Redeemed	08/27/14	K	A	
23. Delaware Group Small Cap Value Fund (DEVLX)-See Part VIII	A	Dividend			Redeemed	08/27/14	L	A	
24. Federated Kaufmann Fund-C (KAUCX)	A	Dividend			Redeemed	08/14/14	L	A	
25. Russell Investment Growth (RALCX)	A	Dividend			Redeemed	08/14/14	L	A	
26. Lord Abbett Value Opportunities Fund (LVOCX)	A	Dividend			Redeemed	08/14/14	L	A	
27. Sunamerica Focused Large Cap (SFLAX)		None			Redeemed	08/27/14	K	A	
28. Wells Fargo Advantage Index (WFINX)	A	Dividend			Redeemed	08/14/14	M	B	
29. Lord Abbett Small Cap Blend (Y)									
30. Cash Sweep-Wells Fargo (X)	A	Interest			Redeemed	08/14/14	K	A	
31. Huntington Bank IRA (H)									
32. 1 year renewable CD	A	Interest	J	T					
33. Ameriprise Financial IRA (H)									
34. Columbia Acorn International (LAIX)	A	Dividend	K	T	Buy	09/02/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Columbia Contrain Core (LCCAX)	A	Dividend	K	T	Buy	09/02/14	K		
36. Columbia LTD Duration (ALDAX)	B	Dividend	L	T	Buy	09/02/14	L		
37. Delaware Mid Cap Value (DDVAX)	A	Dividend	K	T	Buy	09/02/14	K		
38. Loomis Strat Income (NFEZX)	B	Dividend	L	T	Buy	09/02/14	L		
39. MFS Emerging Markets Debt (MEDAX)	B	Dividend	K	T	Buy	09/02/14	K		
40. Oppenheimer International Growth (OIGAX)	A	Dividend	K	T	Buy	09/02/14	K		
41. Pimco Small Cap (PCKAX)	A	Dividend	K	T	Buy	09/02/14	K		
42. Thornburg Strat Income (TSIAX)	B	Dividend	L	T	Buy	09/02/14	L		
43. Wells Fargo Premier Lg Growth (EKJAX)	A	Dividend	K	T	Buy	09/02/14	K		
44. F/T LC Value ETF (FTA)	A	Dividend	J	T	Buy	09/02/14	J		
45. iShares Short Dur ETF (CSJ)	A	Dividend	L	T	Buy	09/02/14	L		
46. Rev Shares Small Cap EFT (RWJ)	A	Dividend	K	T	Buy	09/02/14	K		
47. Gugg S&P ETF (RSP)	A	Dividend	K	T	Buy	09/02/14	K		
48. Gugg S&P Value ETF (RPG)	A	Dividend	K	T	Buy	09/02/14	K		
49. Wisdom Tree Mid Cap Earnings ETF (EZM)	A	Dividend	K	T	Buy	09/02/14	K		
50. Cash Sweep-Ameriprise Insured Money Market (AIMMA)	A	Interest	J	T					
51. (H) Ameriprise Financial Jt NQ Brokerage Account									

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52. Columbia Acorn International (LAIX)	A	Dividend	J	T					
53. Columbia Contr Core (LCCAX)	A	Dividend	K	T					
54. Columbia Dividend Income (LBSAX)	A	Dividend	J	T					
55. Federated Intercont A (RIMAX)	A	Dividend	J	T					
56. Fidelity Advisors Strat Income (FSTAX)	A	Dividend	K	T					
57. Pimco Total Return (PTTAX)	A	Dividend			Redeemed	10/31/14	J	A	
58. Pimco Low Duration (PTLAX)	A	Dividend			Redeemed	10/31/14	J	A	
59. Pimco Small Cap (PCKAX)	B	Dividend			Redeemed	10/31/14	J	A	
60. Scout Mid Cap (UMBMX)	A	Dividend	K	T					
61. Wells Fargo Growth Advantage (SGRAX)	A	Dividend	J	T					
62. I-Share 1-3 yr.Credit Bond ETF (CSJ)	A	Dividend	K	T	Buy	11/05/14	K		
63. Putnam Investors Lg Cap (PPIAX) (Y)									
64. Cash Sweep- Ameriprise Insured Money Market (AIMMA)	A	Interest	J	T					
65. RS Value (RSVAX) (Y)									
66. West Virginia Teachers' Defined Contribution Plan	B	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1.) Part I, page 1, line 1- Upon my selection as a United States Magistrate Judge, I withdrew from my law firm, resigned as an officer and director and surrendered my ownership interest. I have no interest in the firm's legal or business practice.
- 2.) Part I, page 1, line 2- Upon my selection as a United States Magistrate Judge, I withdrew as a member of the single asset LLC and surrendered my membership interest.
- 3.) Part I, page 1, line 3- Upon my selection as a United States Magistrate Judge, I resigned as a board member of my neighborhood homeowners' association.
- 4.) Part I, page 1, line 4- Prior to my selection as a United States Magistrate Judge, a final report with regard to the Family Death Estate I was submitted and my duties as an executor were terminated.
- 5.) Part I, page 1, line 5- Upon my selection as a United States Magistrate Judge, I resigned as a substitute municipal judge for the city of Martinsburg, West Virginia.
- 6.) Part I, page 1, line 6- Upon my selection as a United States Magistrate Judge, I resigned my position as a panel trustee.
- 7.) Part II, page 1, line 1- Pursuant to the terms of the shareholder agreement, I receive a buy-out of my interest in the firm and compensation for legal services rendered before becoming a United States Magistrate Judge. The amount is a calculated amount and is to be paid over a period of years. The amount paid is not related to the firm's profits earned after my departure, but represents the value of my interest in the firm.
- 8.) Part III, page 2, line 1 and 2- Fees for legal services performed by me were paid to the firm and my compensation was based on the terms of the shareholder agreement. Fees received as a substitute municipal judge and as a panel trustee were treated as income/revenue to the firm.
- 9.) Part VII, page 7, line 66- This is a retirement account. I am not a joint owner of this account.
- 10.) Part VII, pages 4 and 5, lines 17, 18, 22, 23- These funds were brought over in kind from Wells Fargo 401k and sold upon arrival. Therefore the gains were very small--cost basis for the Wells Fargo 401k was not listed as it is not needed for a 401k and after account closure the gains/losses are kept.
- 11.) Part VII, page 5, line 22 - The stock symbol was incorrectly stated in the Initial Disclosure Report as "MDLOX". The correct symbol is "MDLAX" and the symbol has been changed in Column A.
- 12.) Part VII, page 5, line 27 - The stock symbol was incorrectly stated in the Initial Disclosure Report as "SSFAX". The correct symbol is "SFLAX" and the symbol has been changed in Column A.
- 13.) Part VII, page 5, line 29 - This fund was exchanged on July 23, 2013, for the Lord Abbett Value Opportunities Fund (LVOCX). It was identified in the Initial Disclosure Report due to the time period reporting requirements.
- 14.) Part VII, page 5, line 30 - This cash sweep account was incorrectly identified as an Ameriprise Money Market account in the Initial Disclosure Report.
- 15.) Part VII, page 6, line 50 - The Ameriprise FDIC Insured Money Market is now called Ameriprise Insured Money Market and uses the symbol "AIMMA".
- 16.) Part VII, page 7, line 61 - The stock symbol was incorrectly stated in the Initial Disclosure Report as "SRGAX". The correct symbol is "SGRAX" and the symbol has been changed in Column A.
- 17.) Part VII, page 7, line 63 - This fund was exchanged for Asset No. 53, Columbia Contr Core (LCCAX) on or about March 1, 2013.
- 18.) Part VII, page 7, line 64 - The Ameriprise FDIC Insured Money Market is now called Ameriprise Insured Money Market and uses the symbol "AIMMA".
- 19.) Part VII, page 7, line 65 - This fund was exchanged for Asset No. 28, Wells Fargo Advantage Index (WFINX) on or about March 1, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert W. Trumble**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544