

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEFKOW, JOAN H.	2. Court or Organization U.S. DISTRICT COURT, NDIL	3. Date of Report 06/14/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 South Dearborn Street Room 2286 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Law Board (Advisory)	Northwestern University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

LEFKOW, JOAN H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Employees Credit Union Account	A	Int./Div.	K	T					
2. BROKERAGE ACCOUNT IRA Ameriprise Financial (H)									
3. - OPGSX					Sold	04/16/15	J		
4. - AEYIX*					Sold	04/16/15	J		
5. - ETSIX					Sold	04/16/15	J	A	
6. - EENAX					Sold	04/16/15	J	A	
7. - MMUFEX					Sold	04/16/15	J		
8. -DBA					Sold	04/16/15	J		
9. - Cash Money Market*					Sold	04/16/15	J		
10. SEI Private Trust Co (H)									
11. - TPRXX**		None	J	T	Buy	04/22/15	M		
12. - TPRXX***					Buy (add'l)	04/24/15	L		
13. - SVTAX	B	Dividend	K	T	Buy	04/22/15	K		
14. " "					Buy (add'l)	04/27/15	J		
15. - SAAAX		None	J	T	Buy	04/22/15	J		
16. " "					Buy (add'l)	04/27/15	J		
17. - SIFAX	A	Dividend	J	T	Buy	04/22/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. " "					Buy (add'l)	04/27/15	J		
19. - SCLAX		None	K	T	Buy	04/22/15	K		
20. " "					Buy (add'l)	04/27/15	J		
21. - SVOAX	A	Dividend	J	T	Buy	04/22/15	J		
22. " "					Buy (add'l)	04/27/15	J		
23. - SMSAX	A	Dividend	J	T	Buy	04/22/15	J		
24. " "					Buy (add'l)	04/27/15	J		
25. - SHYAX	A	Dividend	J	T	Buy	04/22/15	J		
26. " "					Buy (add'l)	04/27/15	J		
27. - SUFAX	A	Dividend	J	T	Buy	04/22/15	J		
28. " "					Buy (add'l)	04/27/15	J		
29. - TCSGX	A	Dividend	J	T	Buy	04/22/15	J		
30. " "					Buy (add'l)	04/27/15	J		
31. - SIOAX	A	Dividend	J	T	Buy	04/22/15	J		
32. " "					Buy (add'l)	04/27/15	J		
33. - SITEX	A	Dividend	J	T	Buy	04/22/15	J		
34. " "					Buy (add'l)	04/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - SRAAX		None	J	T	Buy	04/22/15	J		
36. " "					Buy (add'l)	04/27/15	J		
37. - SEEAX	A	Dividend	J	T	Buy	04/22/15	J		
38. " "					Buy (add'l)	04/27/15	J		
39. - SLGAX	A	Dividend	J	T	Buy	04/22/15	J		
40. " "					Buy (add'l)	04/27/15	J		
41. Brokerage Account w/child, Ameriprise Financial (H)									
42. - TWTIX	A	Dividend	J	T					
43. Cash Money Market		None	J	T					
44. Northwestern Mutual Life	C	Dividend	L	T					
45.									
46. AEYIX	A	Dividend	J	T	Buy	10/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part VII:

* Line 4: AEYIX was inadvertently omitted from my 2014 Report. The information for 2014 is listed on Line 46.

** Line 11: TPRXX is SEI liquid Asset Trust Prime Obligation Fund CIA, a cash management account. I rolled over all funds from my TSP aaccount. Funds were held in TPRXX as stocks were bought and sold; I do not list every deposit/withdrawal because they correspond precisely to the transactions listed (sell/buy).

*** Line 12: TPRXX deposit represents rollover of IRA account at Ameriprise Financial.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JOAN H. LEFKOW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544