

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned by [REDACTED] position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned by [REDACTED] position is not compensated)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 13, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$693,230.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Busy Way Farms, Inc.	Aug 11-15	Iowa Falls, IA	trip to farms	transportation, meals & lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA # 1	B	Int./Div.	M	T					
2. -Citibank Dep. Prog.									
3. -AEE									
4. -BGS									
5. -CAT									
6. -CL									
7. -MWV									
8. - ACCO (Spin-off from MWV)					Sold	12/14/12	J		
9. -SJM									
10. Brokerage Act. # 1									
11. -Citibank Dep. Program	A	Interest	K	T					
12. -COF	A	Dividend	K	T					
13. -COV	A	Dividend	J	T					
14. -CL	C	Dividend	M	T					
15. -EP	A	Dividend			Sold (part)	05/31/12	J	D	See Part VIII
16.					Merged (with line 17)	05/31/12	J		See Part VIII
17. - KMI	A	Dividend	J	T					See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - KMI wts-		None	J	T	Sold (part)	05/31/12	J		See Part VIII
19. -BBT	B	Dividend	L	T					
20. -FTR	A	Dividend			Sold	12/19/12	J		
21. -GIS	C	Dividend	M	T					
22. -ING		None	J	T					
23. -MRK	A	Dividend	K	T					
24. -MMM (3M)	B	Dividend	L	T					
25. -NOK	A	Dividend	J	T					
26. -TEL	A	Dividend	J	T					
27. -TYC		None			Sold	12/14/12	J		
28. -VZ	A	Dividend	J	T					
29. -WFC	B	Dividend	K	T					
30. -JNJ	B	Dividend	K	T					
31. - PENTAIR (Spin-off from TYC)		None			Sold	09/28/12	J	A	
32. - ADT (Spin-off from TYC)		None			Sold	09/28/12	J	A	
33. IRA # 2	F	Int./Div.	P1	T					
34. -Citibank Dep. Program									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -BBT									
36. -BGS									
37. -CAT									
38. -CVX									
39. -CL					Buy	01/05/12	L		
40. -INGR (Name change from CPO)									
41. -GE									
42. -MWV					Buy	01/05/12	L		
43. -PKG					Buy	01/05/12	L		
44. -PX									
45. -SJM									
46. -XOM									
47. -ACCO (Spin-off from MWV)					Sold	12/14/12	K		
48. -Legg Mason Equity Income Builder (SOPAX)									
49. -Legg Mason Large Cap Growth Fund (SBLGX)									
50. -U.S. Treasury Bonds									See Part VIII
51. Brokerage Act. # 2									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. -Morgan Stanley Tax-Free Daily Income Trust	A	Dividend	J	T					
53. -AEE	D	Dividend	M	T					
54. -COF	C	Dividend	O	T					
55. -WFC	B	Dividend	L	T					
56. -MWV		None							See Part VIII
57. -PKG	D	Dividend	N	T					
58. -PX	D	Dividend	N	T					
59. -SJM	D	Dividend	N	T					
60. Brokerage Act. # 3									
61. -Citibank Dep. Program	A	Interest	M	T					
62. -FITB	A	Dividend	K	T					
63. -MWV	A	Dividend	J	T					
64. -PX	A	Dividend	J	T					
65. -ACCO (Spin-off from MWV)		None			Sold	04/30/12	J		
66. Brokerage Act. # 4									
67. -ML Bank Dep. Program	A	Interest	J	T					
68. -LNT	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -BPT	C	Royalty	K	T					
70. -CL	E	Dividend	O	T					
71. -XOM	D	Dividend	N	T					
72. -EP	A	Dividend			Sold (part)	05/31/12	N	G	See Part VIII
73.					Merged (with line 76)	05/31/12	N		See Part VIII
74. -BBT	D	Dividend	N	T					
75. -ING		None	K	T					
76. -KMI	D	Dividend	N	T	Sold (part)	06/12/12	J		See Part VIII
77. -KMI wts		None	L	T	Sold (part)	06/12/12	J		See Part VIII
78. Brokerage Act. # 5									
79. -PEP	D	Dividend	M	T					
80. -QEP	B	Dividend	N	T					
81. -STR	D	Dividend	N	T					
82. -USAA Money Mkt. Fund	A	Dividend	J	T					
83. USAA Money Mkt. Fund	A	Dividend	M	T					
84. USAA VA Money Market Fund	A	Dividend	J	T					
85. Mass Mutual Whole Life	A	Distribution	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Thrivent Whole Life	A	Distribution	J	U					
87. USAA Whole Life 1	B	Distribution	K	U					
88. USAA Whole Life 2	B	Distribution	K	U					
89. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U	Buy	04/20/12	L		
90. USAA Subsc. Sav. Act.	A	Distribution	J	U					
91. Busy Way Farms	F	Int./Div.	O	U					
92. BB&T bank act	A	Interest	J	T					
93. Homestead Partnership	D	Distribution	K	U					
94. Stoneham Partners		None	P2	U	Buy	12/14/12	N		
95.					Buy (add'l)	12/21/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Regarding lines 15, 16, 17, 18, 72, 73, 76, and 77 of Part VII, EP merged with KMI on 5/31/12, in a transaction that delivered cash, KMI common shares, and KMI warrants to shareholders of EP.

* Regarding line 50 of Part VII, a redemption in 2011 (reported in 2012) covered all remaining U.S. Treasury Bonds in IRA # 2.

* Regarding line 56 of Part VII, a sale in 2011 (reported in 2012) covered all shares of MWV in brokerage account # 2.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles F. Lettow

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544