

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, William P.	2. Court or Organization District Court - New Mexico	3. Date of Report 08/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. District Court 333 Lomas Blvd., NW, #640 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Conservator	Estate #1
2. Board Member, Vice President of Programs, Council Commissioner	Great Southwest Council, Boy Scouts of America
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	NM State Employees Pension Fund; pension at age 64; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	UNM Law School - teaching	\$2,656.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	State of New Mexico, retirement pension
2. 2011	Self employed consultant in the juvenile justice field
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. AMI-Kids, Inc. (a non-profit entity)	Oct. 13-16, 2011	Tampa, FL	Presenter on Native American juveniles in the federal court system.	transportation, meals and hotel
2. Association on American Indian Affairs (AAIA)	Nov. 15, 2011	Denver, CO	Alternatives to detention for Native Amer. juveniles for tribal, state and fed. cts.	transportation, meals and hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Credit Card	credit card/unsecured loan	J
2.	Ed Financial	Student loan [REDACTED]	J
3.	NM Bank & Trust	unsecured line of credit	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (checking accounts)	D	Interest	J	T					
2. NFS/FMTC, (IRA custodian)	A	Dividend	L	T					
3. --Prime Capital Reserves Fund (money market)									
4. --Apache Corp com stock					Sold (part)	01/19/11	J	B	
5.					Sold (part)	04/21/11	J	A	
6. --Chevron Corp com stock					Sold (part)	04/21/11	J	A	
7. --Coca Cola com stock									
8. --Currency Shares Australian DLR TR					Buy	04/21/11	J		
9.					Sold	08/15/11	J	A	
10. --EMC Corp com stock					Buy	02/14/11	J		
11.					Sold	04/21/11	J		
12. --Exxonmobile com stock					Sold	08/09/11	J	A	
13. --Ford Motor Co. com stock					Sold (part)	01/19/11	J	B	
14.					Sold	03/15/11	J	B	
15. --Freeport McMoran Inc. com stock					Sold (part)	04/21/11	J	A	
16. --Ishares Silver TR					Buy	04/21/11	J		
17. --Internat'l Finance Corp IFC bonds					Buy	07/25/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Molycorp Inc com stock					Buy	02/14/11	J		
19.					Sold (part)	04/21/11	J	A	
20. --Peabody Ener. com. stock					Sold (part)	01/19/11	J	A	
21.					Sold (part)	04/21/11	J	A	
22. --Pfizer Inc com stock					Sold	04/21/11	J	A	
23. --Powershares DB Multi Sector Commodity TR					Buy	04/21/11	J		
24.					Buy (add'l)	08/22/11	J		
25.					Sold	10/13/11	J		
26. --Proctor & Gamble c.stock					Sold (part)	04/21/11	J	A	
27. --Proshares R Shrt Russell 2000					Buy (add'l)	07/08/11	J		
28.					Sold	11/02/11	J		
29. --Proshares Tr Short Dow 30 Proshares									
30. --Proshares Tr Short S&P 500 Proshares					Sold	01/29/10	J	A	
31. --Streettracks Gold TR SHS (GLD)									
32. --SPDR SER TR DB Int Govt Inflat Protected					Buy	04/21/11	J		
33. --Vodafone Corp com stock					Buy	02/14/11	J		
34.					Sold	07/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Custodial Account #1									
36. --American Cent. Select Fund	A	Dividend	J	T	Redeemed (part)	12/15/11	J		
37. Estate #1 (Conservatorship)	D	Rent	N	W	Closed	05/20/11	J		Probate Estate
38. --Bank of America (various accounts)									
39. --Rental Property #1, Albuquerque, NM									
40. --Real Estate Contract									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: Court appointed Conservator for elderly, disabled family member. No compensation. On April 23, 2011, elderly family member died which resulted in a termination of the Conservatorship under New Mexico state law. As referenced below regarding Part VII, assets in the conservatorship were transferred to the Probate Estate for distribution in accordance with the decedent's will.

Part III(B), Line 2: [REDACTED] juvenile justice consulting work ended 3/31/2011.

Part VII, Investments and Trusts:

--Lines 35-36: Custodial Account was an account established under NM's Uniform Gift to Minors Act at time of [REDACTED] birth. Partial redemption in Dec. 2011 was for [REDACTED] educational use. Since child had attained age of majority as of Dec. 2011, custodial arrangement was ended and account name was placed in name of [REDACTED] who is now an adult and the owner of the account. This custodial account will not appear on 2012 FDR.

--Lines 37-40: On April 23, 2011, the elderly family member for whom the Conservatorship was established died which resulted in the termination of the Conservatorship under state law. All assets of the Conservatorship (Bank of America Accounts, Line 38; Rental Property #1, Line 39; and Real Estate contract, Line 40) became assets of the Probate Estate in accordance with the decedent's will. However, the Personal Representative named under the decedent's will had to file a probate case in state court, get appointed by the state court and open a probate account before transfer of the referenced assets could occur, which occurred on or about May 20, 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William P. Johnson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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