

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davila, Edward J.	2. Court or Organization U.S. District Court, Northern District, California	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 280 South First Street San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Law Advisory Board	Santa Clara University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	CALIFORNIA PUBLIC EMPLOYEES RETIREMENT SYSTEM - PENSION	\$34,491.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	CALIFORNIA PUBLIC EMPLOYEES RETIREMENT SYSTEM - PENSION
2. 2016	COUNTY OF SANTA CLARA - SALARY
3. 2016	STATE OF CALIFORNIA - SALARY
4. 2016	REPLACEMENT BENEFIT FUND - PENSION

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.	Chase Bank	Mortgage on Second Property #1, Sunnyvale, CA	N
3.	American Express	Credit Card	J
4.	Capital One	Credit Card	J
5.		Tuition	None

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America		None	J	T					
2. Santa Clara County Federal Credit Union Accounts	A	Interest	L	T					
3. Chase Bank Account	A	Interest	J	T					
4. Investment Property, Sunnyvale, CA		None	O	W					
5. Utah Educational Savings Plan 529: Static Option A	A	Dividend	L	T	Buy (add'l)	12/31/16	J		See Note In Part VIII
6. (H) ICMA - RC 457 Deferred Compensation Plan									
7. VT Plus Fund	A	Dividend	J	T					
8. T Rowe Price Intl Large-Cap Growth	C	Dividend	N	T					
9. (H) Pershing Account #1									
10. Pershing Govt Account - Money Market Fund	A	Int./Div.	J	T					
11. AMCAP Fund Class A, Mutual Fund	C	Dividend	L	T					
12. American Balanced Fund Class A, Mutual Fund	B	Dividend	K	T					
13. American High Income Trust Class A, Mutual Fund	D	Dividend	L	T					
14. The Bond Fund of America Class A, Mutual Fund	A	Dividend	L	T					
15. Capital Income Builder Fund Class A, Mutual Fund	B	Dividend	L	T					
16. Capital World Bond Fund Class A, Mutual Fund	A	Dividend	K	T					
17. Capital World Growth and Income Class A, Mutual Fund	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fundamental Investors Class A, Mutual Fund	A	Dividend	J	T					
19. The Growth Fund of America Class A	B	Dividend	K	T					
20. Voya Corporate Leaders 100 Fund, Mutual Fund (Formerly ING CorpLdr100)	A	Dividend	K	T					
21. The Income Fund of America Class A, Mutual Fund	A	Dividend	K	T					
22. International Growth and Income Fund Class A, Mutual Fund	A	Dividend	K	T					
23. The Investment Company of America Class A, Mutual Fund	C	Dividend	L	T					
24. New Perspective Fund Class A, Mutual Fund	C	Dividend	L	T					
25. Carter Validus REIT	A	Dividend	J	T					
26. KBS Real Estate REIT	A	Dividend	J	T					
27. (H) Pershing Account #2									
28. Franklin Dynatech Fund Class A, Mutual Fund	A	Dividend	J	T					
29. (H) Pershing Account #3									
30. Pershing Federated Government Reserves - Money Market		None	J	T					
31. Baron Growth Fund, Mutual Fund	A	Dividend	J	T					
32. Dodge & Cox Stock Fund, Mutual Fund	A	Dividend	J	T					
33. (H) Savings Plus 457 Plan									
34. Target Date Fund - 2020	A	Dividend	K	T	Buy (add'l)	12/31/16	K		See Note in Part VIII

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35. Chase Private Client Accounts	A	Interest	M	T					
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Positions:

Parents Diversity Advisory Committee Member ended in 2015 and removed from report. New Law Advisory Board Member position started in 2016 and reported.

Part VI Liabilities:

Private School Tuition paid in full in 2015 and removed from report.

Part VII Investments and Trusts:

Line 5, Column A: Utah Educational Savings Plan 529: Static Option A: Utah Public Treasurers Investment Fund (no control)

Line 5, Column D: Utah Educational Savings Plan 529 - \$300.00 contributions made to the account on a bi-weekly basis (did not add line-by-line detail of these transactions). Total value of all contributions for 2016 recorded in column D3.

Line 34: Savings Plus 457 Plan - \$2,000.00 contributions made to the account on a monthly basis (did not add line-by-line detail of these transactions). Total value of all contributions for 2016 recorded in column D3.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward J. Davila**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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