

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MONTALI, DENNIS	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 04/26/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address POST OFFICE BOX 7341 SAN FRANCISCO, CA. 94120-7341		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Association of Business Trial Lawyers
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bay Area Bankruptcy Forum	October 22 2013	San Jose, CA	Speaker at program	Mileage and parking
2. American College of Bankruptcy	January 25-27, 2013	Los Angeles, CA	Administer law school negotiation competition	Airfare, taxi, hotel and meals
3. Sacramento Bankruptcy Forum	November 14, 2013	Sacramento, CA	Moderate judges panel;	Mileage, parking and bridge toll
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase	Mortgage	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real estate - Alameda Cty (\$340,000;fractional int.;acq 2/01		None	N	W					
2. Acct. Union Bank of California, SF		None	J	T					
3. Acct. Wells Fargo Bank, Berkeley	A	Interest	J	T					
4. American Centy Global Fund	A	Dividend	J	T					
5. American Centy Gvt Inc	B	Int./Div.	M	T					
6. Audubon Cellars, Inc. common		None	J	U					
7. Automatic Data Processing common	C	Dividend	M	T					
8. Cardinal Health common	A	Dividend	K	T					
9. Care Fusion		None	J	T					
10. Cognizant Tech. Solutions		None	M	T					
11. Colgate Palmolive & Co common	C	Dividend	M	T					
12. Duke Energy	C	Dividend	L	T	Buy	06/12/13	L		
13. Dreyfus Active Midcap Fund A	A	Dividend	L	T					
14. Dreyfus Mid Cap Index Fund	B	Dividend	M	T					
15. Dreyfus Muni Bond Fund	A	Dividend	K	T					
16. Dreyfus Cal Tax Ex Fund	D	Dividend	M	T					
17. Du Pont, E I De Nemour common	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. EMC Corp	A	Dividend	K	T	Buy	06/12/13	K		
19. Exxon Mobil Corporation common	E	Dividend	O	T					
20. Federated High Yield Trust	A	Dividend	J	T					
21. Genuine Parts common	C	Dividend	M	T					
22. Gilead Sciences Inc		None	K	T	Buy	06/12/13	K		
23. Goldcorp	A	Dividend			Sold	08/22/13	L		
24. Honeywell Int'l common	C	Dividend	M	T					
25. Intel	B	Dividend	L	T					
26. Ishares MSCI Japan	A	Dividend	K	T					
27. Japan Fund Inc	A	Dividend	L	T					
28. Johnson & Johnson	C	Dividend	M	T					
29. Kayne Anderson MLP	B	Dividend	K	T	Buy	04/09/13	K		
30. Kimberly Clark	C	Dividend	M	T					
31. Kraft Food Group	B	Dividend	K	T	Sold (part)	07/16/13	K		
32. Managers Pimco Bond Fund (fka Managers Fremont Bond Fund)	B	Dividend	M	T					
33. Marisco Focus Fund	A	Dividend	K	T					
34. McDonalds Corp common	D	Dividend	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Nestle SA	C	Dividend	M	T					
36. Novo-Nordisk	C	Dividend	M	T					
37. Pentair	B	Dividend	M	T					
38. Pepisco common	B	Dividend	L	T					
39. Pimco Commodity Real Ret Strat	A	Interest	K	T					
40. PPL Corp	C	Dividend			Sold	06/12/13	L		
41. Proctor & Gamble Co. common	C	Dividend	L	T					
42. Schlumberger	B	Dividend	M	T	Buy (add'l)	06/12/13	K		
43. Schwab Government MMF	A	Interest	N	T					
44. Schwab Corp common	B	Dividend	M	T					
45. Schwab 1000 Fund	A	Dividend	K	T					
46. Select Sector - Materials	B	Dividend	M	T					
47. SPDR Energ Select	A	Dividend	J	T					
48. S&P 500 Depository Receipts(fka SPDR Trust Unit)	B	Dividend	M	T					
49. SPDR Utilities Select	B	Dividend	L	T					
50. Stryker Corp	B	Dividend	M	T					
51. Sysco common	B	Dividend	K	T	Sold (part)	12/31/13	K		

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52. T. Rowe Price Int'l Stk Fund	B	Dividend	M	T					
53. T. Rowe Price Equity Fund	B	Dividend	L	T					
54. T. Rowe Price Growth	A	Dividend	M	T					
55. T. Rowe Price - New Horizon	A	Dividend	K	T					
56. Templeton Developing Mkt	A	Dividend	L	T					
57. Templeton Global Inc. Fund	D	Dividend	L	T					
58. Templeton Global Bond (fka Templeton Income Trust)	B	Dividend	K	T					
59. 3M	C	Dividend	M	T					
60. United Parcel Service	C	Dividend	M	T					
61. USAA Short term fund	B	Interest	L	T					
62. USAA Long term fund	C	Interest	L	T					
63. US Treas. Inflation Index Bonds		None							See explanation Part VIII
64. USX-US Steel Corp	A	Dividend	L	T					
65. United Technologies	C	Dividend	M	T					
66. Wells Fargo Adv ST	A	Dividend	L	T					
67. Vanguard Intl Growth Fund	B	Dividend	M	T					
68. Vanguard 500 Fund	D	Dividend	N	T					

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69. Vanguard Wellesley Inc. Fund	A	Interest	K	T					
70. Vanguard Intl. Equity Index (Eur. stk)	B	Dividend	L	T					
71. Vanguard Wellington Fund	A	Dividend	K	T					
72. Vanguard Fxd Inc. GNMA	C	Interest	L	T					
73. Vanguard F-I Short Term	B	Interest	M	T					
74. Vodafone	B	Dividend	K	T					
75. Walt Disney Hldg common	B	Dividend	M	T					
76. Wisconsin Energy CP Hldg Co common	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 63, Past VII: The US Treasury Inflation Index bonds reported in 2011 and 2012 were redeemed January 15, 2011 (Value L), but the reports for those two years inadvertently left them on Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ DENNIS MONTALI

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544