

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/28/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 225 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Washburn University (Administration)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account	F	Int./Div.	P1	T					See Note in Part VIII
6. -U.S. Treasury Inflation Protection Notes					Sold (part)	01/17/12	L	D	
7. -Harbor Bond Fund									
8. -Claymore Securities Defined Portfolios									
9. -Vanguard GNMA Fund									
10. -Fidelity Cash Reserves									
11. -Fidelity Contrafund					Sold (part)	07/05/12	K	D	
12. -Templeton Global Bond Fund					Sold (part)	01/31/12	L	D	
13. -Templeton Global Bond Fund					Sold (part)	11/02/12	L	C	
14. -Fidelity Focused High Inc									
15. -Lazard Emerging Mkt									
16. -Royce Premier Fund									
17. -SPDR Gold Tr Gold									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Fidelity GNMA Bond									
19. -Harbor Bond Adm									
20. -Aston/River Road Independent Value					Sold	05/25/12	L		
21. -Eaton Vance Atlanta Cap Smid					Buy (add'l)	06/25/12	K		
22. -Wells Fargo Advantage Growth									
23. -Thornburg International Value					Sold	03/22/12	L		
24. -Ishares S&P Midcap 400 Growth									
25. -Fidelity High Income					Sold	03/21/12	L		
26. -FMI Large Cap Fund									
27. -TCW Core Fixed Income					Sold (part)	07/05/12	J	A	
28. -Doubleline Total Rt Bond Fd					Buy	03/21/12	M		
29. -Bbh Core Select Retail Class					Buy	06/14/12	L		
30. -Metropolitan West Total Return					Buy	06/27/12	L		
31. -PIMCO Fundamental Index					Buy	07/05/12	L		
32. -Fidelity New Markets Inc					Buy	11/02/12	L		
33. Fidelity Management Trust Company - IRA Account	B	Dividend	L	T					See Note in part VIII
34. -Fidelity Cash Reserves									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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35. -Harbor Bond									
36. -Ishares Russell 1000 Index					Buy	05/08/12	K		
37. -Ishares Russell 1000 Index					Sold	09/26/12	K	B	
38. Fidelity Management Trust Company - Non IRA Acct	D	Int./Div.	N	T					See Note in part VIII
39. -Johnson County Kansas Un Sch Dist No 233									
40. -Overland Park KS Go Intl Impt Bd									
41. -Fidelity Tax Exempt Money Market									
42. -Fidelity Advisor New Insights									
43. -Royce Premier Fund									
44. -SPDR Gold Tr Gold									
45. -Lenexa Kans Go Ref Bds Ser									
46. -Templeton Global Bond									
47. -Fidelity High Income					Sold	03/21/12	K		
48. -Wasatch International Growth					Buy	11/14/12	L		
49. Fidelity Management Trust Company - Non IRA Acct	A	Int./Div.	J	T					See Note in part VIII
50. -Fidelity Tax Exempt Money Market									
51. -Aston/River Road Independent Va					Sold	05/25/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Eaton Vance Atlanta Cap Smid									
53. -Wells Fargo Advantage Growth									
54. -Ishares S&P Midcap 400 Growth									
55. -Doubline Total Return Bond Fund					Buy	03/23/12	J		
56. ING - State of KS Def Comp Plan	B	Int./Div.	K	T					See Note in part VIII
57. -Vanguard T. Retirement 2025									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII -

- A. Item #1 [REDACTED] LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #32 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #34 through #37 are all assets of Fidelity Management Trust Company IRA account identified in #33 above.
- D. Items listed in #39 through #48 are all assets of Fidelity Management Trust Company non-IRA account identified in #38 above.
- E. Items listed in #50 through #55 are all assets of Fidelity Management Trust Company non-IRA account identified in #49 above.
- F. Item #57 is the asset of ING-State of Kansas Deferred Compensation Plan identified in #56 above.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dale L. Somers

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544