

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Santoro, Frank J.	2. Court or Organization U. S. Bankruptcy Court, EDVA	3. Date of Report 07/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/10/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	American Bankruptcy Institute	03/05/2016 to 03/08/2016	New York City, NY	To judge a moot court competition	Transportation, food, and lodging
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2.	Virginia Continuing Legal Education	03/17/2016 to 03/18/2016	Fairfax, VA	To appear at professional education seminar	Transportation, food, and lodging
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3.	American Bankruptcy Institute	11/16/2016 to 11/17/2016	Alexandria, VA	To appear at taping of a program re: recent developments in the law	Lodging and transportation
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4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/10/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Farm, 1/2 interest, Isle of Wight County, Virginia July 03		None	N	W					
2. FSNC, LLC, 88% Membership Interest		None	N	W					
3. Geeks on Call, Convertible Preferred Stock		None	J	W					
4. Waterside Capital Corporation		None	J	T					
5. New Perspective Fund Inc. Class A	B	Int./Div.			Sold	11/16/16	L	E	
6. Washington Mutual Inv. Fund Inc. Class A	C	Dividend			Sold	11/16/16	M	F	
7. Capital World Growth and Income Fund Inc.	C	Int./Div.			Sold	11/16/16	L	E	
8. New World Fund Inc. Class A	B	Int./Div.			Sold	11/16/16	L	E	
9. Oakmark Equity and Income Fund Class 1	D	Int./Div.	K	T					
10. AMCAP Fund Inc., Class A	B	Int./Div.			Sold	11/16/16	K	D	
11. New Economy Fund Class A	C	Int./Div.			Sold	11/16/16	L	E	
12. First Eagle Global Fund Class A	D	Int./Div.	L	T					
13. American Mutual Class A	C	Int./Div.			Sold	11/16/16	L	E	
14. Fundamental Investors Class A	B	Int./Div.			Sold	11/16/16	L	E	
15. John Hancock Strategic Strategic Income Opp Class A	A	Int./Div.			Sold	10/14/16	K	C	
16. Pimco Income Fund Class A	A	Int./Div.	L	T					
17. Davenport Equity Opps	A	Int./Div.			Sold	11/16/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Growth Fund of America Class A	D	Dividend	M	T					
19. Blackrock Strategic Income I	B	Dividend			Sold	10/19/16	J	A	
20. Cohen and Steers Preferred Fund I	B	Dividend			Sold	02/19/16	K	B	
21. Pimco Diversified Income Fund Class P	B	Dividend			Sold	10/14/16	K	C	
22. iShares Russell 2000 ETF	A	Dividend	K	T					
23. iShares Russell 1000 Growth	A	Dividend	K	T					
24. iShares Russell 1000 Value ETF	A	Dividend			Sold	08/23/16	K	B	
25. iShares Russell Mid-Cap Growth	A	Dividend	K	T					
26. Cmbiar International Equity	C	Dividend			Sold	03/15/16	L	D	
27. Cohen and Steers Real Estate Securities Fund Class I	B	Dividend			Sold	03/18/16	K	C	
28. T Rowe Price Global Tech Fund	B	Dividend	K	T					
29. Invesco Floating rate Class Fund I	A	Dividend	J	T	Buy	03/15/16	J		
30. Pimco Income Fund Class P	D	Dividend			Sold	10/14/16	K	C	
31. Davenport Value and Income Fund	C	Dividend			Sold	11/16/16	M	F	
32. Accenture	A	Dividend	J	T					
33. Alphabet Inc	A	Dividend	J	T					
34. Ameriprise	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Santoro, Frank J.

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07/10/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Apple Inc	A	Dividend			Sold	02/23/16	J	A	
36. Becton Dickinson and Co	A	Dividend	J	T					
37. Boeing Comppaqy	A	Dividend	J	T					
38. Cognizant Tech Solutions	A	Dividend	J	T					
39. Colgate Palmolive	A	Dividend	J	T					
40. Comcast Corp	A	Dividend	J	T					
41. CVS Health Corp	A	Dividend	J	T					
42. Danaher Corp	A	Dividend	J	T					
43. Ecolab Inc	A	Dividend	J	T					
44. Facebook Inc Class A	A	Dividend	J	T					
45. Hilton Worldwide Holdings Inc.	A	Dividend			Sold	10/19/16	J	A	
46. Home Depot Inc	A	Dividend	J	T					
47. Honeywell Inc	A	Dividend	J	T					
48. Illinois Tool Works	A	Dividend			Sold	06/16/16	J	A	
49. Intercontinental Exchange Group	A	Dividend	J	T					
50. Invesco Lts.	A	Dividend	J	T					
51. McKesson Corp	A	Dividend			Sold	10/19/16	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Santoro, Frank J.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Medtronic	A	Dividend	J	T					
53. Mylan NV Eur	A	Dividend	J	T					
54. OReilly Automotive	A	Dividend	J	T					
55. Paraxel International Corp	A	Dividend	J	T					
56. Red Hat	A	Dividend	J	T					
57. Rockwell Collins	A	Dividend	J	T					
58. Rockwell Automation Inc	A	Dividend	J	T					
59. Starbucks Corp	A	Dividend	J	T					
60. Thermo Fisher Scientific Inc	A	Dividend	J	T					
61. Time Warner Inc	A	Dividend	J	T					
62. TJX Companies Inc	A	Dividend	J	T					
63. United technologies	A	Dividend	J	T					
64. Walt Disney Company		None	J	T	Buy	12/22/16	J		
65. Adobe Systems Delaware		None	J	T	Buy	02/23/16	J		
66. Lowes		None	J	T	Buy	02/23/16	J		
67. Advisory Research MLP & Energy Income Fund		None	J	T	Buy	12/22/16	J		
68. Artisan Global Value Fund Class A		None	J	T	Buy	12/22/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Santoro, Frank J.

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69. Blackrock High Yield Bond		None	J	T	Buy	12/22/16	J		
70. Easton Vance Global Macro		None	J	T	Buy	12/21/16	J		
71. Gotham Absolute Return Institutional Fund		None	J	T	Buy	12/21/16	J		
72. Hartford Schroders Emerging Market Equity Fund		None	J	T	Buy	12/19/16	J		
73. Oppenheimer Senior Floating Rate Class I Bond Fund		None	J	T	Buy	12/20/16	J		
74. Schwab US Tips ETF Fund		None	J	T	Buy	12/20/16	J		
75. Virtus Short Term Sector Bond Fund		None	J	T	Buy	12/19/16	J		
76. British American Tobacco		None	J	T	Buy	12/27/16	J		
77. Chubb Ltd. Bond		None	J	T	Buy	12/27/16	J		
78. Coca Cola		None	J	T	Buy	12/27/16	J		
79. Crane Company		None	J	T	Buy	12/27/16	J		
80. Diageo PLC		None	J	T	Buy	12/27/16	J		
81. Exxon Mobil		None	J	T	Buy	12/27/16	J		
82. Intel Corp		None	J	T	Buy	12/27/16	J		
83. Johnson Controls		None	J	T	Buy	12/27/16	J		
84. Lockheed Martin Corp		None	J	T	Buy	12/27/16	J		
85. Marsh & McLennan		None	J	T	Buy	12/27/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

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86. McDonalds Corp		None	J	T	Buy	12/27/16	J		
87. Microsoft Corp		None	J	T	Buy	12/27/16	J		
88. Nextera Energy Inc		None	J	T	Buy	12/27/16	J		
89. Nordstrom Inc		None	J	T	Buy	12/27/16	J		
90. Novartis		None	J	T	Buy	12/27/16	J		
91. Pepsico Inc		None	J	T	Buy	12/27/16	J		
92. Praxair		None	J	T	Buy	12/27/16	J		
93. Texas Instruments		None	J	T	Buy	12/27/16	J		
94. Union Pacific Corp		None	J	T	Buy	12/27/16	J		
95. VF Corp		None	J	T	Buy	12/27/16	J		
96. American Funds U S Money Market Class A	D	Dividend	O	T	Buy	09/21/16	O		
97.									
98.									
99.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Santoro, Frank J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

The account reflected in line 96 is a money market fund maintained at Davenport and Company.

Holdings in lines 9, 12, 16, 18, 22, 23, 25, 28, 29, and 67 through 75 inclusive are mutual funds maintained at UBS.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank J. Santoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544