

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alvarez, Micaela	2. Court or Organization District Court - S. District - TX	3. Date of Report 08/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1701 W. Bus. 83 McAllen, Texas 78501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Knapp Community Care Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason Law and Economics Center	May 04 - 09, 2014	Arlington, VA	Economics Institute for Judges, Week 1	Transportation, hotel, meals
2. George Mason Law and Economics Center	June 22 - 27, 2014	Steamboat Springs, CO	Economics Institute for Judges, Week 2	Transportation, hotel, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property-Donna, Hidalgo County, Tx - see Part VII		None	J	R					
2. American Centy Invt. TR Diversified BD INSTL CL	A	Dividend			Sold	08/27/14	J		
3. Goldman Sach TR Finl Square Treas Instrs FD INSTL CL FTIXX	A	Dividend	J	T					
4. JPMorgan Core Bond FD Slect WOBDX	C	Dividend	J	T					
5. Nuveen INVT FDS Inc Real Estate Secs Fund CL I FARCX	A	Dividend	J	T					
6. Pimco FDS Pac Invt Mgmt Ser Tatal Return FD Instl CL PTTRX	A	Dividend			Sold	10/02/14	J		
7. Pimco FDS Pac Invt Mgmt Ser Commodity Real Return Strat FD I	A	Dividend	J	T					
8. Blackrock FDS Core Bond Port Instl Class BFMCX	A	Dividend	J	T					
9. CRM Small/Mid Cap Value Fund Inv Class CRMAX	A	Dividend			Sold	08/27/14	J		
10. Europacific Crowth FD CL F-I AEGFX	A	Dividend			Sold	08/27/14	J		
11. Fidelity ADV Emerg MKTS Inc CL I FMKIX	A	Dividend	J	T					
12. John Hancock FDS III Global Shareholder YLD Class I JGYIX	B	Dividend	J	T					
13. MFS Ser Tr I Value FD CL I MEILX	C	Dividend	J	T					
14. Mainstay FD High Yield Corporate BD CL I MHYIX	A	Dividend	J	T					
15. MFG Funds III Managers Fund Bond Fund MGBIX	D	Dividend	J	T					
16. Metropolitan West FDS Total Return BD Cl I MWTIX	D	Dividend	J	T					
17. Alger Smallcap and Midcap Grow CL I ASIMX	C	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$5,000,000 R = \$5,000,001 - \$25,000,000 S = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 U = Book Value	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Pimco FDS Invst Diversified Inc FD Instl PDIIX	C	Dividend	J	T					
19. T Towe Price Blue Chip Growth Fund TRBCX	B	Dividend	J	T					
20. Goldman Sachs TR Strategic Income FD CL Instl SHS Gszix	B	Dividend	J	T					
21. Wells Fargo FDS Trust Emerging Markets Equity Fund Instl Class EMGNX	A	Dividend	J	T					
22. Oppenheimer Senior Floating Rate FD CL Y OOSYX	A	Dividend			Sold	10/02/14	J		
23. Blackrock Funds II Strategic Income OPPTY S BSIIIX	B	Dividend	J	T	Buy	11/20/14	J		
24. American Europacific Growth AEPFX	B	Dividend	J	T	Buy	10/03/14	J		
25. Principal FDS Inc Small-Midcap Dividend Income PMDIX	B	Dividend	J	T	Buy	08/27/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The property listed in Part VII, line 1 was purchased on July 25, 2003 for the price of \$14,500.00. No rental income was received during the reporting period but it is listed for the sake of thoroughness.

In Part VII, the assests sold were reinvested in the assets purchased.

In Part VII, Item 15, previously listed as "Managers Fund Bond Fund" underwent a name change, now it is "AMG Funds III Managers Bond Fund Instl Class" but "MGFIX" should have been "MGBIX"

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Micaela Alvarez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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