

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dick, Rachelle D.	2. Court or Organization USDC, Middle District	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 777 Florida Street Suite 301 Baton Rouge, Louisiana 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Managing Member	Law Offices of Shelly D. Dick, LLC (Note: Sold interest on 3/1/2013, see Part VII)
2. Managing Member	Lamp Ladies, LLC d/b/a "Frockz"
3. Managing Member	Grace George, LLC (Note: Sold interest on 1/7/2013, see Part VII)
4. Managing Member	K & S Property Holdings, LLC
5. Member	First United Methodist Church, Board of Trustees

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/1/2013	Law Offices of Amanda G. Clark and Rachelle ("Shelly") D. Dick. Sale of entire membership interest and assets of the Law Offices of Shelly D. Dick, LLC
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Grace George, LLC Royalties	\$18,750.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Baton Rouge Bar Association	8/1/2013 - 8/3/2013	Orange Beach, Al	Annual Bar Conference	lodging
2. 21st JDC Bar Association	12/13/2013	New Orlenas, La	Speaker at CLE	lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Baton Rouge Bar Association	Robe	\$350.00
2.	Mike Palmintier	Investiture: Family Luncheon	\$604.00
3.	Lane Grigsby	Investiture: In Kind Donation	\$925.00
4.	Lane Grigsby	Investiture: Table, chairs, tent rental	\$4,582.00
5.	Jerry Dodson	Investiture: Catering	\$4820.00
6.	David Forrester	Investiture: Photographer and Florist	\$837.00
7.	Lou Ann Stickman	Investiture: Beverage Service	\$836.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Standard Mortgage	Mortgage on Rental Property #1	M
2.	American Express	Revolving Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Baton Rouge, La.	C	Rent	M	W					
2. Alliance Bernstein Mod Growth 1993-1995 ALTA		None			Distributed	07/06/13	J	A	
3. Dodge & Cox Income Fund	A	Dividend			Sold (part)	08/12/13	K	B	
4.					Sold	09/09/13	J	A	
5. Health Care Spdr (XSLV)	A	Dividend			Sold (part)	08/30/13	J	B	
6.					Sold	09/16/13	J	C	
7. Houghton Royalty Trust	A	Dividend	J	T					
8. iShares Dow Jones Select Dividend Index Fund	A	Dividend			Sold (part)	08/12/13	K	C	
9.					Sold (part)	08/21/13	J	B	
10.					Sold (part)	08/30/13	J	B	
11.					Sold (part)	09/09/13	J	B	
12.					Sold	09/16/13	J	A	
13. Louisiana Principal Protection Fund	A	Int./Div.	J	T					
14. SPDR Gold Trust		None			Sold	02/20/13	J	B	
15. T. Rowe Price Spectrum International Fund		None			Sold (part)	08/21/13	J		
16.					Sold	09/16/13	J		
17. EQ/Common Stock Index Portfolio	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EQ/Equity 500 Index Portfolio		None	K	T					
19. Texas Rare Earth Resources Corp. Stock		None	J	T	Buy (add'l)	05/17/13	J		
20.					Sold (part)	09/16/13	L		
21. Great-West Lifetime 2025 II T1 Fund		None			Sold	04/22/13	N		
22. Vanguard Total Market Index Fund	A	Dividend	J	T	Distributed (part)	07/09/13	J	A	
23.					Distributed (part)	12/11/13	J	A	
24. Colombia Portfolio Builder Moderate Agressive Fund	B	Dividend	L	T					
25. Regions Bank Cash Accounts	A	Interest	J	T					
26. K & S Dick Property Holdings LLC. Baton Rouge, La	B	Dividend	O	T					
27. Lamp Ladies, LLC		None	J	U					
28. Grace George, LLC		None			Donated				
29. Law Offices of Shelly D. Dick, LLC		None			Sold	03/01/13	N		Amanda G Clark
30. Account Receivable from Law Offices of Amanda G. Clark	E	Int./Div.	O	T					
31. Vanguard Emerging Markets Stk Idx	B	Dividend	K	T	Buy	04/22/13	K		
32. Vanguard European Stock Index	B	Dividend	L	T	Buy	04/22/13	K		
33. Vanguard Inflation-Protect Sec	A	Dividend	K	T	Buy	04/22/13	K		
34. Vanguard Inter-Term Bond	A	Dividend	K	T	Buy	04/22/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pacific Stock Index	B	Dividend	K	T	Buy	04/22/13	K		
36. Vanguard Precious Metals & Mining		None	K	T	Buy	04/22/13	K		
37. Vanguard REIT Fund	B	Dividend	K	T	Buy	04/22/13	K		
38. Vanguard S-T Investment grade Olrv	A	Dividend	K	T	Buy	04/22/13	K		
39. Vanguard Small Cap Index Fund	B	Dividend	L	T	Buy	04/22/13	L		
40. Vanguard Total Stock Mkt Index	A	Dividend	L	T	Buy	04/22/13	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In part VII, Line 24 of the prior report dated 1/3/13 the value was erroneously reported using a code of "P2". In fact the code which accurately reflected the asset value 12/31/12 was a code "O". That fund was sold during the present reporting period and re-invested in the investments identified on Lines 31 -40. Number 5 on Part I indicates membership on a Church "Board of Trustees". This is in reality a standing committee of the Church Administrative Council and is not a Trust in the legal meaning of the word. It is a Church Committee that oversees endowments specifically directed gifts to the Church.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rachelle D. Dick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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