

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Andre M.	2. Court or Organization Court of Appeals for the Fourth Circuit	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U S Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	University of MD School of Law Board of Visitors
2.	Instructor	University of MD School of Law
3.	Instructor	National Judicial College
4.	Member of the Faculty Council	National Judicial College
5.	Lecturer	Pass the Maryland Bar, Inc.
6.	Director	Open Society Institute-Baltimore
7.	Member of the Board	Baltimore Urban Debate League, Inc.
8.	Member of the Board	Community Law in Action, Inc.
9.	Member of the Executive Committee	ABA Judicial Division/Appellate Judges Conference
10.	Member	ABA Judicial Division/Commission on Diversity in the Judiciary

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2. _____

3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/24/2011	State of MD	\$3,750.00
2. 4/1/2011	Pass the Maryland Bar, Inc.	\$1,008.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2011	Self Employed Education Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard Law School	September 12-16, 2011	Cambridge, MA	Instructor/Trial Advocacy Course	Transportation, Lodging, and Food
2. National Judicial College	October 17-22, 2011	Reno, NV	Instructor/Judicial Philosophy Course	Transportation, Lodging, and Food
3. American Bar Association	February 10-13, 2011	Atlanta, GA	Mid-Year Meeting	Transportation, Lodging, and Food
4. Vanderbilt University Law School	February 3-5, 2011	Nashville, TN	Moot Court Program	Transportation, Lodging, and Food
5. Wake Forest University Law School	November 17-19, 2011	Winston Salem, NC	Moot Court Program	Transportation, Lodging, and Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sovereign Bank	Mortgage on Rental Property/ Eastham, MA	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank Accounts	A	Interest	K	T					
2. Capital One Accounts	A	Interest	J	T					
3. Bank of America Accounts	A	Interest	K	T					
4. Discover Bank Account	A	Interest	K	T					
5. Lines 6 to 10 report CLOSED-END and EXCHANGE TRADED FUNDS									
6. India Fund		None			Sold	02/9/11	K	A	
7. IShares Silver Trust		None			Sold (part)	01/4/11	J	C	
8. IShares Silver Trust		None			Sold	04/21/11	K	D	
9. Powershares Ex Traded FD Dynamic Clean Tech Port Trust		None			Sold	01/4/11	K	A	
10. SPDR Dow Jones Indl Avg ETF	A	Dividend	K	T					
11. Lines 12 to 16 report MUTUAL FUNDS									
12. Davis NY Venture Fund Class C		None	K	T					
13. Europacific Growth Fund Class A	A	Dividend	J	T					
14. Legg Mason Opportunity Trust		None			Sold	04/21/11	J	A	
15. T Rowe Price Growth Fund	A	Dividend	J	T					
16. Rental Property--Eastham, MA	E	Rent	P1	W					
17. Trust No. 1 This is an income beneficiary trust.	E	Distribution	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andre M. Davis

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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