

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Laporte, Elizabeth D.	2. Court or Organization U.S. District Court, N.D. Cal	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust 1
2. Member, Board of Governors	Assn. of Business Trial Lawyers, Northern California Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1998	Participation in retirement plan for the California Public Employees Retirement System
2. 1998	Participation in City & County of San Francisco Employee Retirement Fund
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed Attorney
2. 2015	State of California - Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Practicing Law Institute	2/2/2015-2/4/2015	New York, NY	Speaker on Patent Law Panel	Transportation, meals, lodging
2. Practicing Law Institute	3/17/2015	San Francisco, CA	Speaker on Patent Law Panel	Transportation, meals, lodging
3. International Quality & Productivity Ctr. Ltd.	5/7/2015-5/13/2015	London, United Kingdom	Speaker on international e-Discovery Panel	Transportation, meals, lodging
4. American Bar Association	5/14/2015-5/17/2015	New York, NY	Speaker on eDiscovery and Judges Panel	Transportation, meals, lodging
5. Conference on Preservation Excellence	9/16/2015-9/19/2015	Portland, OR	Speaker on eDiscovery Panel	Transportation, meals, lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

6.	Assn. of Business Trial Lawyers of Northern CA	10/1/2015-10/4/2015	Ojai, CA	Attendance at Annual Seminar and Board Member	Transportaion, meals, lodging
7.	Electronic Discovery Institute	10/13/2015-10/17/2015	New Orleans, LA	Speaker on eDiscovery Panel	Transportation, meals, lodging
8.	ChIPs	10/26/2015-10/29/2015	Washington, DC	Mock Argument Judge at ChIPs Women in Intellectual Property Law Conference	Transportation, meals, lodging
9.	Georgetown University	11/18/2015-11/20/2015	Washington, DC	Speaker on eDiscovery Panel	Transportation, meals, lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust I									
2. --California State Dept Rev Bond due 12/1/15	B	Interest			Matured	12/01/15	K	A	
3. --California State Dept Revenue Bonds	A	Interest			Matured	02/01/15	K	A	
4. --Los Angeles CA Water Rev. Bonds	A	Interest	K	T					
5. --Los Angeles CA Bonds due 7/1/17	B	Interest	K	T					
6. --San Francisco CA Bonds due 6/15/17	B	Interest	K	T					
7. --University of California Rev. Bonds	A	Interest			Redeemed	05/15/15	K	A	
8. --DFA CA Short Term Muni Bond Portfolio (DFCMX)	A	Dividend	K	T					
9. --DFA T.A. World Ex U.S.(DFTWX)	E	Dividend	P1	T					
10. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	E	Dividend	P1	T	Sold (part)	04/02/15	J	B	
11. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	A	Distribution							
12. --Vanguard CA Intermediate Term Bond Fund (VCADX)	D	Dividend	M	T	Buy (add'l)	06/12/15	L		
13. --DFA Emerging Markets Core Equity Portfolio (DFCEX)	A	Dividend	L	T	Sold (part)	08/26/15	L	A	
14. --DFA Emerging Markets Core Equity Portfolio (DFCEX)					Buy (add'l)	10/01/15	L		
15. --DFA International Core Equity Portfolio (DFIEX)	C	Dividend	M	T	Buy (add'l)	07/08/15	J		
16. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	08/26/15	K		
17. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	08/27/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --DFA Short Term Extended Portfolio (DFEQX)		None	K	T	Buy	12/23/15	K		
19. --Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T	Buy	02/04/15	J		
20. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
21. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend	J	T	Buy	12/02/15	K		
22. --PIMCO Foreign Bond Fund (PFORX)	A	Distribution							
23. --DFA Emerging Markets Portfolio (DFEMX)	A	Dividend			Buy	08/26/15	K		
24. --DFA Emerging Markets Portfolio (DFEMX)					Buy (add'l)	08/27/15	K		
25. --DFA Emerging Markets Portfolio (DFEMX)					Sold	10/01/15	L	A	
26. --Schwab Money Market Fund (Trust I)	A	Dividend	K	T					
27. IRA Rollover Account #1 (formerly PS Account #1)									
28. --Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
29. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
30. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	B	Dividend	M	T					
31. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Distribution							
32. --DFA International Real Estate Securities Portfolio (DFITX)	B	Dividend	M	T	Buy (add'l)	06/12/15	J		
33. --iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	C	Dividend	M	T					
34. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	A	Dividend	L	T	Buy (add'l)	10/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)					Buy (add'l)	12/23/15	J		
36. --Vanguard REIT (VNQ)	C	Dividend	M	T					
37. --Schwab Money Market Fund #5		None	J	T					
38. 401k Account #1									
39. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend	J	T	Buy	04/02/15	J		
40. --PIMCO Foreign Bond Fund (PFORX)	A	Distribution			Buy (add'l)	12/23/15	J		
41. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Dividend	K	T					
42. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Distribution							
43. --Dodge & Cox Income Fund (DODIX)	D	Dividend	N	T					
44. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
45. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Dividend	J	T	Buy (add'l)	07/08/15	J		
46. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Distribution							
47. --DFA Emerging Markets Core Portfolio (DFCEX)	A	Dividend	K	T	Buy	04/02/15	K		
48. --DFA International Core Equity Portfolio (DFIEX)	B	Dividend	L	T	Buy (add'l)	10/01/15	J		
49. --DFA International Real Estate Securities Portfolio (DFITX)	A	Dividend	K	T					
50. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	A	Dividend	L	T					
51. --Vanguard REIT (VNQ)	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Schwab Money Market Fund #6	A	Dividend	J	T					
53. Roth IRA Account #1									
54. --Vanguard REIT (VNQ)	A	Dividend	J	T					
55. Roth IRA Account #2									
56. --DFA International Real Estate Securities Portfolio (DFITX)	A	Dividend	J	T					
57. Learning Quest 529 Ed. Sav. Plan #1 Short- Term Portfolio		None	J	T					
58. Learning Quest 529 Ed. Sav. Plan #2 Short- Term Portfolio		None	K	T					
59. First Republic Bank Checking Account	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

5

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Laporte, Elizabeth D.

Date of Report

05/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth D. Laporte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544