

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Armen Jr., Robert N.	2. Court or Organization United States Tax Court	3. Date of Report 03/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Special Trial Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES TAX COURT 400 Second St., N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Armen Jr., Robert N.

Date of Report

03/26/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Armen Jr., Robert N.

Date of Report

03/26/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Armen Jr., Robert N.

Date of Report

03/26/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dominion Resources stock	B	Dividend	K	T					
2. AT&T stock	B	Dividend	K	T					
3. Marathon Oil Corp. stock	B	Dividend	K	T					
4. Marathon Petroleum Corp. stock	B	Dividend	K	T					
5. Glaxo-Smith-Klein stock	A	Dividend	J	T					
6. Prudential Financial Inc. stock	A	Dividend	J	T					
7. Dreyfus Municipal Bond Fund	C	Dividend	L	T					
8. Dreyfus Disciplined Stock Fund	A	Dividend	K	T					
9. T.Rowe Price Int'l Stock Fund	B	Dividend	K	T					
10. T.Rowe Price Financial Services Fund	A	Dividend			Sold	02/21/12	J	B	
11. T.Rowe Price VA Tax-Free Fund	A	Dividend	K	T	Buy (add'l)	02/21/12	J		
12. Vanguard Prime Money Market Fund	A	Interest	J	T					
13. Vanguard Long-Term Bond Fund	C	Dividend	L	T					
14. Vanguard Int'l Growth Fund	C	Dividend	L	T					
15. Vanguard Index Trust-Small Cap Portfolio Fund	C	Dividend	L	T					
16. Third Avenue Value Fund	A	Dividend	J	T					
17. Mairs & Power Growth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Columbia Value & Restructuring Fund	B	Dividend	K	T					
19. Capital One Bank	B	Interest	L	T					
20. Senate Federal Credit Union	B	Interest	K	T					
21. Bank of Scotland/ Halifax PLC	A	Interest	J	T					
22. U.S. Government obligations	B	Interest	K	T					
23. Bank of Lancaster	A	Interest	J	T					
24. Raymond James Bank	C	Interest	L	T					
25. PNC Bank	C	Interest	L	T					
26. Aberdeen Emerging Mkts Telecommunications & Infra Fund (ETF)	A	Dividend	J	T					
27. Morgan-Stanley Emerging Markets Fund (MSF)	A	Dividend	J	T					
28. Vanguard REIT Index Portfolio Fund	C	Dividend	L	T					
29. Vanguard Dividend Growth Fund	B	Dividend	K	T					
30. Vanguard Equity Income Fund	A	Dividend	J	T					
31. Vanguard 500 Index Fund	A	Dividend	K	T					
32. Vanguard Index Trust - European Stock Portfolio Fund	A	Dividend	J	T					
33. Vanguard Growth & Income Fund	A	Dividend	J	T					
34. Vanguard Balanced Index Fund (f/k/a Asset Allocation Fund)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Armen Jr., Robert N.

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35. Vanguard GNMA Fund	A	Dividend	K	T					
36. Vanguard Long-Term Corporate Bond Fund	B	Dividend	K	T					
37. Invesco Developing Markets Fund (GTDDX)	A	Dividend	J	T					
38. Stone Energy Corp. notes	A	Interest	J	T	Redeemed (part)	12/17/12	J	A	
39. Comstock Resources Inc. notes	B	Interest	K	T	Buy (add'l)	02/15/12	J		
40. Comstock Resources Inc. notes	B	Interest	K	T	Sold (part)	03/19/12	J	A	
41. Fisher Communications Inc notes	A	Interest			Redeemed	01/17/12	J	A	
42. Compuware Corp. stock	A	Dividend	J	T					
43. Helix Energy Solutions Group Inc. notes	A	Interest			Sold	01/20/12	J	A	
44. Geokinetics Holdings Inc. notes	A	Interest			Sold (part)	01/24/12	J	A	
45. Geokinetics Holdings Inc. notes	A	Interest			Sold	01/30/12	J	A	
46. QAD Inc. stock (Class A)	A	Dividend	J	T					
47. QAD Inc. stock (Class B)	A	Dividend	J	T					
48. Tecumseh Products Company (Class A) stock	A	Dividend	J	T	Buy (add'l)	03/19/12	J		
49. Tecumseh Products Company (Class B) stock	A	Dividend	J	T	Buy (add'l)	05/02/12	J		
50. Leucadia Nat'l Corp. debenture	A	Interest			Redeemed	03/15/12	K	A	
51. Arctic Glacier Income FD notes	A	Interest			Sold	06/28/12	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Armen Jr., Robert N.

Date of Report

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52. Gaylord Entertainment Company notes	A	Interest	K	T					
53. Transact Technologies Inc. stock	A	Dividend	J	T	Sold (part)	04/25/12	J	A	
54. Skechers USA Inc. (Class A) stock	A	Dividend			Sold (part)	02/14/12	J	A	
55. Skechers USA Inc. (Class A) stock	A	Dividend			Sold	06/14/12	J	A	
56. Tellabs Inc. stock	A	Dividend			Sold	09/14/12	J	A	
57. Stonemor Operating LLC notes	A	Dividend			Sold (part)	09/05/12	J	B	
58. Stonemor Operating LLC notes	A	Dividend			Sold	09/06/12	J	A	
59. Sierra Wireless Inc. (Canada) stock	A	Dividend	J	T					
60. Ellington Financial LLC	A	Distribution			Sold	02/17/12	J	B	
61. DSP Group Inc. stock	A	Dividend	J	T					
62. American Select Portfolio Inc. stock	A	Dividend			Sold	07/20/12	J	A	
63. Goodrich Petroleum Corp. notes	A	Interest	J	T					
64. Seachange International Inc. stock	A	Dividend	J	T	Buy (add'l)	01/11/12	J		
65. American Safety Ins Hldgs Ltd (UK) stock	A	Dividend	J	T	Buy (add'l)	05/08/12	J		
66. Gilat Satellite Networks Ltd (Israel) stock	A	Dividend			Sold	11/14/12	J	B	
67. GMX Resources Inc. notes	A	Interest	J	T	Sold (part)	03/13/12	J	A	
68. Checkpoint Systems Inc. stock	A	Dividend	J	T	Buy (add'l)	01/17/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Armen Jr., Robert N.

Date of Report

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69. Checkpoint Systems Inc. stock	A	Dividend	J	T	Buy (add'l)	03/08/12	J		
70. Checkpoint Systems Inc. stock	A	Dividend	J	T	Buy (add'l)	06/01/12	J		
71. Digital Generation Inc. stock	A	Dividend	J	T	Buy (add'l)	02/22/12	J		
72. Dover Motorsports Inc. stock	A	Dividend	J	T					
73. Tetra Technologies Inc. stock	A	Dividend	J	T	Buy (add'l)	08/28/12	J		
74. Mercer International Inc. notes	A	Interest	J	T					
75. Antero Resources Finance Corp. notes	A	Interest	J	T	Buy	03/26/12	J		
76. Clayton Williams Energy Inc. notes	A	Interest	J	T	Buy	04/02/12	J		
77. Chesapeake Energy Corp. notes	A	Interest	J	T	Buy	05/10/12	J		
78. J.C. Penney Co., Inc. notes	A	Interest	J	T	Buy	11/20/12	J		
79. Ultra Petroleum Corp. (Canada) stock	A	Dividend	J	T	Buy	02/27/12	J		
80. Market Vectors Gold Miner ETF TR (GDX)	A	Dividend	J	T	Buy	04/17/12	J		
81. Dell Inc. stock	A	Dividend	J	T	Buy	05/29/12	J		
82. Dell Inc. stock	A	Dividend	J	T	Buy (add'l)	09/28/12	J		
83. Abercrombie & Fitch Co. (Class A) stock	A	Dividend	J	T	Buy	06/06/12	J		
84. Sandstorm Metals & Energy Ltd (Canada) stock	A	Dividend	J	T	Buy	08/21/12	J		
85. Apple Inc. stock	A	Dividend	J	T	Buy	11/19/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

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86. Tesco PLC Ord stock	A	Dividend	J	T	Buy	11/28/12	J		
87. Transcept Pharmaceuticals Inc. stock	A	Dividend	J	T	Buy	12/21/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

ArmenJr., Robert N.

Date of Report

03/26/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Savings accounts and some investments automatically reinvest earnings, adding same to principal. All brokerage-related income is retained and reinvested.

Line 21: Halifax PLC (U.K.) is the savings bank component of Bank of Scotland, U.K.

Line 22: U.S. Government Obligations are U.S. Treasury E bonds, H bonds, and I bonds. All remaining H bonds were fully redeemed in 2012 at final maturity. E bonds are redeemed annually as they reach final maturity.

Line 34: Vanguard Asset Allocation Fund was reorganized into the Vanguard Balanced Index Fund on February 10, 2012. This was a non-taxable transaction; there was no cash distribution and neither gain nor loss to the shareholders.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

ArmenJr., Robert N.

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03/26/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert N. ArmenJr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544