

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization US DISTRICT COURT OF ARIZONA	3. Date of Report 08/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE SENIOR STATUS - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 WEST WASHINGTON STREET SPC 60 PHOENIX AZ 85003-2158		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2.	MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION
3.	MEMBER	UNIVERSITY OF CINCINNATI ALUMNI BOARD OF DIRECTORS HOUSING COMMITTEE
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	STATE OF ARIZONA TAX REFUND	\$5,065.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	ST LUKES HEALTH INITIATIVE - SALARY
2. 2013	HEALTH CARE EXCEL - BOARD MEMBER
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MERRILL LYNCH [REDACTED] MASTER ACCOUNT (fka CMA BANKING ACCOUNT)	A	Int./Div.	J	T					
2. IBM COMMON STOCK)	A	Dividend	J	T					
3. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
4. FAIRFIELD ESTATES FLAGSTAFF AZ		None	J	W					
5. MARRIOT CANYON VILLAS		None	J	W					
6. ST LUKES 403(B)7 (H)	B	Dividend	M	T					
7. -VANGUARD 500 INDEX FD									
8. WELLS FARGO CKG	A	Interest	J	T					
9. US BANK CKG	A	Interest	J	T					
10. US BANK CKG	A	Interest	J	T					
11. US BANK SVGS	A	Interest	J	T					
12. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
13. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
14. MERRILL LYNCH - IRA #1 (H)	B	Int./Div.	K	T					
15. - FIA CARD SVC'S									
16. - CD MORGAN STANLEY					Redeemed	12/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. - AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 (AHIFX)									
18. - AMERICAN CAPITAL WORLD BOND FUND CL F2 (BFWFX)					Sold	10/25/13	J	A	
19. - ISHARES IBOX \$ INVT GRADE CORP BD FUND (LOD)					Sold	10/25/13	J	A	
20. - ISHARES AGENCY BOND FD (FORMERLY BARCLAYS AGENCY BOND FUND (AGZ)					Sold (part)	10/25/13	J	A	
21. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (FIPX)					Buy	10/25/13	J		
22. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSI)					Buy	10/25/13	J		
23. MERRILL LYNCH - IRA #2 (H)	C	Int./Div.	M	T					
24. - FIA CARD SVCS (HAXX)									
25. - CURRENCY SHARES CAN - ETF (FXC)					Sold (part)	03/12/13	J	A	
26.					Sold	04/01/13	J	A	
27. - PWRSHARES ETF DYNAMIC LEISURE (PEJ)					Sold (part)	03/12/13	J	A	
28.					Sold (part)	04/01/13	J	A	
29. - PWRSHARES DYNAMIC PHARM (PJP)					Sold (part)	03/12/13	J	A	
30.					Sold (part)	04/01/13	J	A	
31. - PWRSHARES DYNAMIC RETAIL (PMR)					Sold	03/12/13	J	B	
32. - PWRSHARES DYNAMIC BLDG AND CONS (PKB)					Buy (add'l)	03/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
33. - PWRSHARES DYNAMIC BLDG AND CONS (PKB)					Buy (add'l)	04/01/13	J		
34. - PWRSHARES ETF (PTH)					Sold (part)	03/12/13	J	A	
35. - PWRSHARES ETF (PTH)					Sold (part)	04/01/13	J	A	
36. - PWRSHARES ETF (PEZ)					Buy (add'l)	03/12/13	J		
37. - PWRSHARES ETF (PEZ)					Sold	04/01/13	K	C	
38. - PWRSHARES NASDAQ INT (PNQI)					Sold (part)	03/12/13	J	A	
39. - PWRSHARES NASDAQ INT (PNQI)					Buy (add'l)	04/01/13	J		
40. - SPDR INDEX SHS FDS (IPS)					Buy (add'l)	03/12/13	J		
41. - SPDR INDEX SHS FDS (IPS)					Buy (add'l)	04/01/13	J		
42. - SPDR INDEX SHS FDS (IPD)					Buy (add'l)	03/12/13	J		
43. - SPDR INDEX SHS FDS (IPN)					Buy (add'l)	03/12/13	J		
44. - SPDR INDEX SHS FDS (IPN)					Buy (add'l)	04/01/13	J		
45. - VANGUARD LARGE CAP (VV)					Buy (add'l)	03/12/13	J		
46. - VANGUARD LARGE CAP (VV)					Sold (part)	04/01/13	J		
47. - VANGUARD LARGE CAP (VV)					Sold	07/23/13	K	D	
48. - VANGUARD GROWTH (VUG)					Buy (add'l)	03/12/13	J		
49. - VANGUARD GROWTH (VUG)					Sold (part)	04/01/13	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
50. - VANGUARD GROWTH (VUG)					Sold	07/23/13	K	C	
51. - VANGUARD MSCI (VWO)					Buy (add'l)	03/12/13	J		
52. - VANGUARD MSCI (VWO)					Sold	04/01/13	J	A	
53. - VANGUARD MID-CAP VAL IDX (VOE)					Sold (part)	03/12/13	J	A	
54. - VANGUARD MID-CAP VAL IDX (VOE)					Buy (add'l)	04/01/13	J		
55. - POWERSHARES EXCHANGE TRAD F KBW PROP (KBWP)					Buy	03/12/13	K		
56. - POWERSHARES EXCHANGE TRAD F KBW PROP (KBWP)					Sold (part)	04/01/13	J	A	
57. - POWERSHARES EXCHANGE TRAD F KBW PROP (KBWP)					Sold	10/10/13	K	B	
58. - POWERSHARES DB G 10 CURR (DBV)					Buy	03/12/13	J		
59. - POWERSHARES DB G 10 CURR (DBV)					Buy (add'l)	04/01/13	J		
60. - POWERSHARES DB G 10 CURR (DBV)					Sold	06/20/13	J	A	
61. - CURRENCYSHARES SWEDISH KRONA (FXS)					Buy	03/12/13	J		
62. - CURRENCYSHARES SWEDISH KRONA (FXS)					Buy (add'l)	04/01/13	J		
63. - CURRENCYSHARES SWEDISH KRONA (FXS)					Sold	12/10/13	J	A	
64. - POWERSHS EXCH TRAD KBW PREM (KBWY)					Buy	04/01/13	K		
65. - POWERSHS EXCH TRAD KBW PREM (KBWY)					Sold	07/02/13	K	A	
66. - WISDOMTREE BRAZILIAN REAL FD (BZF)					Buy	04/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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67. - WISDOMTREE BRAZILIAN REAL FD (BZF)					Sold	06/20/13	J	A	
68. - VANGUARD EXTD MKT EFT (VXF)					Buy	04/01/13	J		
69. - WISDOMTREE CHINESE YUAN (CYB)					Buy	06/20/13	J		
70. - CURRENCY SHARES EURO (FXE)					Buy	06/20/13	J		
71. - POWERSHARES ETF TR DYNAMIC MEDIA (PBS)					Buy	07/02/13	K		
72. - VANGUARD SMALL CAP GROWTH (VBK)					Buy	07/23/13	K		
73. - VANGUARD SMALL CAP PER (VB)					Buy	07/23/13	K		
74. - POWERSHARES ETF DYNAMIC BIOTECH (PBE)					Buy	10/23/13	K		
75. - CURRENCYSHARES BR ETF (FXB)					Buy	12/10/13	J		
76. MERRILL LYNCH - IRRR #1 (H)	B	Int./Div.	L	T					
77. - FIA CARD SVCS (HAXX)									
78. - EATON VANCE FLOATING RATE FUND (EIBLX)									
79. - ISHARES IBOX \$ INVT GRADE CORP BD FUND (LQD)					Sold	10/25/13	J	B	
80. - ISHARES BARCLAY AGENCY BOND FUND (AGZ)									
81. - PIMCO FOREIGN BOND FUND (PFBPX)									
82. - SPDR GOLD TRUST (GLD)					Sold	10/25/13	J	C	
83. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)					Buy	10/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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84. - ALPS ALERIAN MLP ETF (AMLP)					Buy	10/25/13	J		
85. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSI)					Buy	10/25/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544