

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Perkin, Henry S.	2. Court or Organization Pennsylvania-Eastern District	3. Date of Report 04/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 504 Hamilton Street Suite 4401 Allentown, PA 18101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	Lehigh County Employee Retirement Plan with Lehigh County, Pennsylvania, former employer
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Service Electric Cable T.V., Inc.; Value of cable service for permitting access to investment properties formerly owned.	\$1,412.00
2. 2011	County of Lehigh Pension	\$34,858.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association	March 25 & 26, 2011	Waldorf Astoria Hotel, New York, NY	Annual Dinner	Lodging New York City and transport for the annual dinner honoring the Federal Judiciary; March 25 & 26, 2011
2. Bar Association of Lehigh County	December 2, 3 & 4th, 2011	New York, NY	Bench-Bar Conference	Lodging and transportation at the Helmsley
3. Federal Magistrate Judges Association Annual Meeting	July 19, 20, 21 & 22, 2011	Atlanta, GA	Annual meeting	Lodging, transportation and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Bank (Bank Accounts and CDs)F	A	Interest	K	T					
2. KNBT - Bank Accounts	A	Interest	M	T					
3. PNC - Bank Accounts & CDs	A	Interest	J	T					
4. Firsttrust Savings Bank - IRA CD	A	Interest	K	T					
5. American Heritage Federal Credit Union	C	Interest	M	T	Distributed (part)	11/18/11	M		
6. First Niagra, formerly Harleysville Savings Bank	B	Interest	L	T					
7. Brokerage Account #1									
8. -Air Products and Chemicals Common Stock	A	Dividend	J	T					
9. -Altria Group, Inc. Common Stock	A	Dividend	J	T					
10. -Boeing Company Common Stock	A	Dividend	J	T					
11. -Consolidated Edison, Inc. Common Stock	B	Dividend	K	T					
12. -Cross Timbers Royalty Trust Common Stock	B	Int./Div.	K	T					
13. -Pepsico, Inc. Common Stock	A	Dividend	J	T					
14. -Proctor & Gamble Company Common Stock	A	Dividend	J	T					
15. -Powershares Global Water PT	A	Dividend	J	T					
16. -Powershares Aero & Defense	A	Dividend	J	T					
17. -Streettracks Gold Trust	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -PrimeVest Financial Serv. (formerly First Southwest)Note 2	A	Interest	J	T					
19. -Hartford Inflation Plus C	A	Dividend	J	T					
20. -ING GNMA Income C	A	Dividend	J	T					
21. Kraft Foods, Inc. Common Stock	A	Dividend	J	T					
22. Philip Morris International Common Stock	A	Dividend	J	T					
23. The Hartford 457 Plan									
24. -General Fund (Money Market Fund)	A	Dividend	K	T					
25. Brokerage Account #2									
26. -Intel Corporation Common Stock	A	Dividend	J	T					
27. -Orasure Common Stock	A	Dividend	J	T					
28. Pfizer Common Stock	A	Dividend	J	T					
29. Abercrombie & Fitch Common Stock	A	Dividend	J	T					
30. Microsfot Corp. Common Stock	A	Dividend	J	T					
31. IBM Corporation Common Stock	A	Dividend	J	T					
32. Carnival Corporation Common Stock	A	Dividend	J	T					
33. General ElectricCompany Common Stock	A	Dividend	J	T					
34. The Walt Disney Company Common Stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Merck & Company, Inc. Cmmn Stock	A	Dividend	J	T					
36. Intel Corporation Common Stock	A	Dividend	J	T					
37. Xerox Corp. Common Stock	A	Dividend	J	T					
38. American Bank Inc. Common Stock	A	Dividend	J	T					
39. American Capital Trust	A	Dividend	J	T					
40. Vanguard Value Index Fund	A	Dividend	K	T					
41. Vanguard GNMA Fund Investors Shares	B	Dividend	K	T					
42. Vanguard Intermediate Term Tax Exempt Bond Fund	B	Dividend	K	T					
43. Dodge & Cox Balanced Fund	B	Dividend	L	T					
44. Harbor International Fund	B	Dividend	L	T					
45. Agere - Common Stock		None	J	T					
46. Lehigh County Agricultural Society Common Stock	A	Dividend	J	T					
47. IRA #1									
48. - Fidelity Asset Manager 50%	D	Int./Div.	L	T					
49. IRA #2									
50. - Fidelity Asset Manager 50%	D	Int./Div.	M	T					
51. IRA #3									

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52. - Dodge & Cox Balanced Fund	A	Int./Div.	J	T					
53. IRA #4									
54. - Dodge & Cox Balanced Fund	A	Int./Div.	J	T					
55. IRA #5									
56. - TR Price Midcap Grosth Fund	B	Int./Div.	K	T					
57. IRA #6									
58. - Vanguard 500 Index Fund	B	Int./Div.	L	T					
59. IRA #7									
60. - Vanguard Total Stock Market Index Fund	B	Int./Div.	L	T					
61. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
62. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
63. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
64. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
65. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
66. New York Life Insurance Co. Universal Life	B	Interest	K	T					
67. New York Life Insurance Co. Universal Life	A	Interest	K	T					
68. United States Savings Bonds	B	Interest	L	T					

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69. Citadel Broadcasting System Common Stock	A	Dividend	J	T					
70. Medco Health Solutions, Inc. Common Stock		None	J	T					
71. Fidelity Charitable Gift Fund	A	Dividend	J	T					
72. VT Pimco - 457 Plan	A	Dividend	J	T					
73. Sovereign Bank	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry S. Perkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544