

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Melgren, Eric F.	2. Court or Organization U.S. District Court, D. Kansas	3. Date of Report 07/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Hon. Eric F. Melgren United States Courthouse #414 401 N. Market Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Chairman	Advisory Board, Cohen Honors College, Wichita State University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York University / Columbia University	2/22/18 - 2/25/18	Long Island, New York	International Human Rights seminar	Transportation, Lodging, Food
2. George Mason University Law & Economics Center	1/25/18 - 1/28/18	Key Largo, Florida	Economic Case Analysis Seminar	Transportation, Lodging, Food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Department of Education	Student Loan (for son)	L
2.	Rose Hill Bank	HELOC Guaranty	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America IRA (cash eqv)	A	Interest	J	T					
2. Axa Equitable Variable Life Insurance EQ Money Market		None	J	T					
3. Elara interval vacation property, Las Vegas, NV		None	L	W					
4. Breakers of Ft. Lauderdale, FL interval vacation property		None	J	W					
5. SEI Government Fund (SEOXX)	A	Interest	J	T	Sold (part)	7/19/18	J		
6.					Buy (add'l)	07/20/18	J		
7.					Buy (add'l)	10/16/18	J		
8.					Sold (part)	10/17/18	J		
9. SEI Vanguard FTSE Developed Markets ETF	B	Dividend			Sold (part)	05/03/18	J		
10.					Buy (add'l)	06/28/18	J		
11.					Sold	08/23/18	L		
12. SEI ishares Currency Hedged MSCI Eurozone	A	Dividend			Sold	04/04/18	J		
13. SEI SPDR Barclays High Yield Bond	A	Interest			Sold (part)	02/09/18	J		
14.					Sold	08/23/18	J		
15. SEI SPDR S&P 500 ETF trust	A	Int./Div.			Sold (part)	03/12/18	J		
16.					Buy (add'l)	04/04/18	J		
17.					Sold (part)	05/14/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Sold (part)	06/13/18	J		
19.					Sold	07/10/18	L		
20. SEI Vanguard Total Bond Market ETF	A	Interest			Buy (add'l)	01/04/18	J		
21.					Sold (part)	06/28/18	J		
22.					Sold	08/23/18	K		
23. SEI Schwab US Small Cap ETF	A	Int./Div.			Sold	08/23/18	K		
24. SEI Wisdom Tree Japan Hedged Equity Fund	A	Int./Div.			Sold	08/20/18	J		
25. SEI iShares JP Morgan USD Emerging Markets Bond	A	Int./Div.			Sold	08/23/18	J		
26. SEI VanEck Vectors JP Morgan EM Local Currency Bond	A	Int./Div.			Buy (add'l)	02/09/18	J		
27.					Buy (add'l)	05/14/18	J		
28.					Sold	08/23/18	K		
29. SEI Vanguard Emerging MSCI Markets ETF	A	Int./Div.			Sold	08/23/18	K		
30. SEI iShares S&P 500 Value ETF	A	Int./Div.			Sold	08/23/18	J		
31. SEI SPDR S&P Aerospace & Defense ETF	A	Int./Div.			Sold	08/23/18	J		
32. SEI Oppenheimer Global Opportunities	B	Distribution	K	T	Buy (add'l)	08/24/18	J		
33.					Buy (add'l)	10/15/18	J		
34. SEI Schwab US Large Cap ETF	A	Int./Div.			Buy	07/10/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	08/13/18	J		
36.					Buy (add'l)	08/20/18	J		
37.					Sold	08/23/18	L		
38. SEI American AMCAP Fund	B	Int./Div.	K	T	Buy	08/24/18	K		
39. SEI American EuroPacific Growth Fund	A	Int./Div.	K	T	Buy	08/24/18	K		
40. SEI Fidelity Advisor International Capital Appreciation Fund	A	Int./Div.	K	T	Buy	08/24/18	K		
41. SEI The Growth Fund of America	B	Int./Div.	K	T	Buy	08/24/18	K		
42. SEI iShares Core S&P Small Cap EFT	A	Int./Div.	K	T	Buy	08/23/18	J		
43.					Buy (add'l)	10/15/18	J		
44. SEI Oppenheimer Emerging Markets Innovators Fund	A	Int./Div.			Buy	08/24/18	J		
45.					Sold	10/15/18	J		
46. SEI Oppenheimer Rochester High Yield Municipal Fund	A	Dividend	K	T	Buy	08/24/18	K		
47. SEI Oppenheimer Russell 1000 Momentum Factor ETV	A	Int./Div.	K	T	Buy	08/23/18	K		
48. SEI Oppenheimer Senior Floating Rate Fund	A	Int./Div.	K	T	Buy	08/24/18	K		
49.					Sold (part)	10/15/18	J		
50. SEI Vanguard Mid-Cap Index Fund	A	Dividend	K	T	Buy	08/24/18	K		
51.									

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52.									
53.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric F. Melgren

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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