

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alley, III, Frank R.	2. Court or Organization U. S. Bankruptcy Court, District of Oregon	3. Date of Report 07/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 405 E. 8th Ave. Suite 2600 Eugene, OR 97401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust created 12/2003
2.	Partner	Laurel St. Land Co., Medford, OR
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Oregon State Bar	4/11/2013-4/13/2013	Vancouver, WA	CLE Faculty/Planner	Lodging and Transportation
2. Oregon State Bar	9/26/2013-9/28/2013	Gleneden Beach, OR	CLE Faculty/Planner	Lodging and Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Washington Mutual Bank or Successor (JPMorgan Chase)	Mortgage on Laurel Street Land Co. property	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Umpqua Bank Savings	A	Interest	J	T					
2. Umpqua Bank Checking		None	J	T					
3. Salomon Smith Barney Cash	A	Interest	J	T					
4. Washington Mutual cash (Closed)	A	None							
5. US Bank Money Market/Checking	A	Interest	K	T					
6. Fidelity Mutual	A	Dividend	J	T					
7. Fidelity Adv. Diversified Fund	C	Dividend	N	T					
8. First American Equity Income Fund	C	Dividend	M	T					
9. First American MidCap Growth	A	Dividend	M	T					
10. First American High Income (Bond)	E	Int./Div.	N	T					
11. T Rowe Price Midcap Growth	B	Dividend	M	T					
12. T Rowe Price Int'l Growth	B	Dividend	N	T					
13. Allient Energy Corp.	B	Dividend	K	T					
14. American ElectricPower corp.	A	Dividend	J	T					
15. Chevron corp.	A	Dividend	L	T					
16. Coca Cola Corp.	A	Dividend	K	T					
17. Conoco Phillips Corp.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Duke energy Corp.	A	Dividend	K	T					
19. Exxon Mobil corp.	A	Dividend	K	T					
20. Johnson & Johnson	A	Dividend	K	T					
21. Johnson Controls	A	Dividend	J	T					
22. Marathon Oil corp.	A	Dividend	K	T					
23. McDonald's Corp	A	Dividend	K	T					
24. Pepsico	A	Dividend	K	T					
25. Praxair, Inc.	A	Dividend	K	T					
26. 3M Corp	A	Dividend	K	T					
27. Unirted Parcel Service	A	Dividend	K	T					
28. Waste Management Corp.	B	Dividend	K	T					
29. US Treasury Bond 11/15/08	B	Interest	K	T					
30. Countrywide Home Loans Bond 7/15/09	B	Interest	K	T					
31. Boeing Capital Corp. Bond 3/1/11	B	Interest	K	T					
32. US Treasury Note 8/15/12	B	Interest	K	T					
33. US Treasury Note 8/15/12Bank of America Note 9/15/12	B	Interest	K	T					
34. Goldman Sachs Group Note 4/1/13	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Royal Bank of Scotland PLC note 11/12/12	B	Interest	K	T					
36. FLHMC	A	Interest	K	T					
37. Metlife Inc.Note	B	Interest	K	T					
38. First Boston USA Note 1/15/14	B	Interest	K	T					
39. Prudential Financial note 4/1/14	B	Interest	K	T					
40. Allstate Corp. Note	B	Interest	K	T					
41. Excide Technologies Warant exp 5/5/11	A	Interest	J	T					
42. First America Prime Money Market	D	Interest	O	T					
43. Nuveen Inflation Pro fixed Income FUND	C	Interest	L	T					
44. Oppenheimer Com. Fixed Income Fund	C	Dividend	L	T					
45. Pimco Total Return Fund	D	Int./Div.	L	T					
46. Nuveen Large Cap Growth Fund	A	Int./Div.	M	T					
47.									
48. Truat 11/03 (filer is trustee			J	W					
49. Pacific Continental Bank	A		J	T					
50.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank R. Alley, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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