

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, Jr., James S.	2. Court or Organization U.S. DISTRICT COURT MIDDLE DISTRICT FL	3. Date of Report 07/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. DISTRICT COURT 801 NORTH FLORIDA AVE #13A TAMPA, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2. TRUSTEE	TRUST
3. DIRECTOR	FEDERAL JUDGES ASSOCIATION
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2. 2011

HUMANA/COMPBENEFITS, INC. - SALARY

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.	SunTrust Bank	Mortgage	K
3.	Merrill Lynch	Margin Loan	M
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST CASH ACCOUNTS									
2. -- Individual Checking	A	Int./Div.	J	T					
3. -- McIntosh Road	A	Int./Div.	J	T					
4. -- Checking	A	Int./Div.	J	T					
5. -- Savings	A	Int./Div.	J	T					
6. -- Individual	A	Int./Div.	J	T					
7. MERRILL LYNCH CASH ACCOUNTS									
8. -- Bilmar (022)	A	Int./Div.	J	T					
9. -- Individual #1 (280)	A	Int./Div.	J	T					
10. -- Individual #2 (383)	A	Int./Div.	J	T					
11. -- Individual #3 (130)	A	Int./Div.	J	T					
12. -- (025) (X)	A	Int./Div.	J	T					
13. -- (024) (X)	A	Int./Div.	J	T					
14. -- Trust #1 (077)	A	Int./Div.	J	T					
15. -- Trust #2 (363)	A	Int./Div.	J	T					
16. -- IRA (482) (X)	A	Int./Div.	J	T					
17. -- IRA (574) (X)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- (Y)									
19. -- Condo (Y)									
20. Merrill Lynch Retirement Accounts (482 & 574)									
21. -- STI Call Options					Sold	1/21/11	J		
22.					Sold	2/18/11	J		
23.					Closed	2/18/11	J		
24. -- POT Call Options					Sold	10/25/11	J		
25. -- STI	A	Int./Div.	K	T	Sold (part)	1/26/11	L	E	
26.					Buy (add'l)	2/23/11	K		
27. -- WFC	A	Int./Div.	K	T	Buy (add'l)	2/28/11	K		
28.					Buy (add'l)	5/27/11	J		
29. -- CAT	A	Int./Div.	J	T	Buy	10/5/11	J		
30.					Sold	10/20/11	J	A	
31.					Buy	12/13/11	J		
32. -- NLY	B	Int./Div.	K	T					
33. -- BAC	A	Int./Div.	J	T					
34. -- RF	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- SMCWX	A	Int./Div.	L	T					
36. -- AGTHX	A	Int./Div.	L	T					
37. MERRILL LYNCH NON-Q (130, 280 & 383)									
38. -- STI Call Options					Closed	1/21/11	J		
39.					Sold	1/21/11	J		
40.					Sold	1/21/11	J		
41.					Sold	2/18/11	J		
42.					Closed	2/18/11	J		
43.					Sold	3/18/11	J		
44. -- STI	A	Int./Div.	M	T	Sold (part)	1/24/11	K	D	
45.					Buy (add'l)	2/8/11	K		
46.					Buy (add'l)	3/23/11	K		
47. -- BAC	A	Int./Div.	L	T	Buy (add'l)	9/6/11	J		
48.					Buy (add'l)	10/6/11	J		
49.					Sold (part)	10/24/11	J		
50. -- RF	A	Int./Div.	K	T	Buy (add'l)	9/6/11	J		
51. -- CAT	A	Int./Div.	K	T	Buy	12/13/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- CCL	A	Int./Div.	J	T					
53. -- NLY	C	Int./Div.	K	T					
54. -- ARCC	A	Int./Div.	J	T					
55. -- T	B	Int./Div.	K	T					
56. -- BBT	B	Int./Div.	L	T					
57. -- CSQ	A	Int./Div.	J	T					
58. Merrill Lynch Trust (077 & 363)									
59. -- STI Call Options					Closed	1/21/11	J		
60.					Sold	1/21/11	J		
61.					Sold	2/18/11	J		
62.					Closed	2/18/11	J		
63.					Sold	3/18/11	J		
64.					Sold	10/28/11	J		
65.					Sold	11/30/11	J		
66. -- KMB Call Options					Sold	1/24/11	J		
67. -- PFE Call Options					Sold	5/31/11	J		
68.					Sold	12/6/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -- TM Call Options					Sold	6/20/11	J		
70. -- JNJ Call Options					Sold	8/19/11	J		
71.					Sold	9/16/11	J		
72.					Closed	9/16/11	J		
73. -- XOM		None			Sold	1/24/11	K	D	
74. -- WFC	A	Int./Div.	K	T	Sold (part)	1/24/11	K	D	
75.					Buy (add'l)	4/18/11	K		
76. -- KMB		None			Sold	2/22/11	K	B	
77. -- STI	B	Int./Div.	M	T	Buy (add'l)	5/23/11	K		
78. -- MWV		None			Buy	4/18/11	K		
79.					Sold	5/23/11	K	B	
80.					Buy (add'l)	6/16/11	K		
81.					Sold	4/18/11	K	B	
82. -- TM	B	Int./Div.	L	T	Buy (add'l)	4/19/11	L		
83. -- AET	A	Int./Div.	K	T	Buy (add'l)	6/16/11	K		
84. -- JNJ		None			Sold	10/24/11	K	D	
85. -- CAT	A	Int./Div.	K	T	Buy	11/21/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -- PRU	B	Int./Div.	K	T	Buy (add'l)	11/23/11	K		
87. -- C		None			Sold	6/23/11	J		
88. -- JOE		None			Sold	6/23/11	J		
89. -- PFE	D	Int./Div.	M	T					
90. -- CSQ	D	Int./Div.	M	T					
91. -- NLY	C	Int./Div.	K	T					
92. -- ARCC	A	Int./Div.	J	T					
93. -- BAC	A	Int./Div.	K	T					
94. -- BBT	A	Int./Div.	K	T					
95. -- RF	A	Int./Div.	J	T					
96. Merrill Lynch Investment Partnership (R75 & R79)									
97. -- VLO Call Options					Sold	1/21/11	J		
98.					Closed	1/21/11	J		
99. -- STI Call Options					Closed	1/21/11	L		
100.					Sold	1/21/11	L		
101.					Sold	1/24/11	J		
102.					Sold	2/18/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Closed	2/18/11	M		
104.					Sold	2/23/11	J		
105.					Closed	3/18/11	J		
106.					Sold	3/18/11	J		
107.					Sold	3/21/11	J		
108.					Sold	3/29/11	J		
109.					Sold	4/1/11	J		
110.					Sold	4/6/11	K		
111.					Closed	4/25/11	J		
112.					Closed	4/26/11	J		
113.					Sold	5/10/11	K		
114.					Sold	5/31/11	J		
115.					Sold	6/16/11	J		
116.					Sold	6/17/11	J		
117.					Sold	6/20/11	J		
118.					Sold	6/29/11	J		
119.					Sold	6/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	7/20/11	J		
121.					Sold	7/21/11	J		
122.					Closed	7/29/11	J		
123.					Sold	7/29/11	J		
124.					Sold	8/4/11	J		
125.					Sold	8/24/11	J		
126.					Sold	8/30/11	J		
127.					Sold	9/7/11	J		
128.					Sold	9/20/11	J		
129.					Sold	10/24/11	K		
130.					Sold	10/27/11	J		
131.					Sold	11/30/11	J		
132.					Sold	12/5/11	J		
133.					Sold	12/22/11	J		
134. -- NUE Call Options					Sold	1/21/11	K		
135.					Closed	1/21/11	J		
136.					Sold	7/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	8/5/11	J		
138.					Sold	10/24/11	J		
139.					Closed	12/16/11	J		
140.					Sold	12/16/11	J		
141. -- BBT Call Options					Sold	1/21/11	J		
142. -- PRU Call Options					Sold	1/21/11	J		
143.					Closed	1/21/11	J		
144.					Closed	3/18/11	J		
145.					Sold	3/29/11	J		
146.					Sold	11/21/11	J		
147. -- CAT Call Options					Sold	1/27/11	J		
148.					Closed	2/18/11	J		
149.					Closed	2/18/11	J		
150.					Sold	3/22/11	J		
151.					Sold	6/20/11	J		
152.					Sold	8/1/11	J		
153.					Sold	10/6/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	11/18/11	J		
155.					Sold	11/21/11	J		
156.					Sold	12/20/11	J		
157.					Sold	12/28/11	J		
158. -- AMP Call Options					Sold	1/27/11	J		
159.					Sold	12/21/11	J		
160. -- AFL Call Options					Sold	2/24/11	J		
161.					Sold	3/29/11	J		
162.					Sold	4/1/11	J		
163.					Sold	7/26/11	J		
164.					Closed	10/21/11	J		
165.					Sold	10/21/11	J		
166. -- JPM Call Options					Sold	3/21/11	J		
167. -- BBY Call Options					Sold	3/21/11	J		
168.					Sold	6/20/11	J		
169.					Sold	9/19/11	J		
170. -- MWV Call Options					Sold	3/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	6/2/11	J		
172. -- BX Call Options					Sold	3/23/11	J		
173. -- KMB Call Options					Sold	4/15/11	J		
174. -- POT Call Options					Sold	4/19/11	J		
175.					Sold	6/21/11	J		
176.					Sold	8/23/11	J		
177.					Sold	10/25/11	J		
178. -- WFC Call Options					Sold	6/20/11	J		
179.					Sold	12/21/11	J		
180. -- AGNC Call Options					Sold	8/29/11	J		
181. -- PM Call Options					Sold	9/19/11	J		
182. -- JNJ Call Options					Sold	10/24/11	J		
183.					Sold	11/23/11	J		
184. -- CVX Call Options					Sold	11/21/11	J		
185.					Sold	12/16/11	J		
186. -- BBT	A	Int./Div.	K	T	Sold (part)	1/24/11	L	A	
187. -- BP		None			Sold	1/24/11	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- STI	E	Int./Div.	P1	T	Sold (part)	1/24/11	M		
189.					Buy (add'l)	3/10/11	L		
190.					Buy (add'l)	7/29/11	K		
191.					Buy (add'l)	11/28/11	K		
192. -- AMP	B	Int./Div.	L	T	Buy	1/27/11	L		
193.					Sold	2/22/11	L	A	
194.					Buy (add'l)	3/23/11	L		
195.					Buy	6/6/11	K		
196. -- CCL	B	Int./Div.	L	T	Buy (add'l)	1/27/11	K		
197.					Buy (add'l)	3/21/11	K		
198. -- CAT	C	Int./Div.	M	T	Buy (add'l)	1/27/11	M		
199.					Buy (add'l)	2/23/11	L		
200.					Sold (part)	07/18/11	N	E	
201.					Buy (add'l)	7/27/11	M		
202.					Buy (add'l)	10/5/11	K		
203.					Sold (part)	10/20/11	K		
204.					Buy (add'l)	11/18/11	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/21/11	L		
206.					Sold (part)	11/21/11	L		
207. -- BBY	B	Int./Div.	L	T	Buy (add'l)	1/28/11	L		
208. -- NSC		None			Buy (add'l)	1/28/11	M		
209.					Sold	3/21/11	M	D	
210. -- VLO		None			Sold	2/14/11	K	A	
211. -- BAC		None			Sold	2/22/11	K	C	
212. -- NUE	D	Int./Div.	M	T	Sold (part)	2/22/11	N	F	
213.					Buy (add'l)	6/1/11	M		
214.					Buy (add'l)	6/16/11	J		
215.					Buy (add'l)	7/18/11	L		
216.					Buy (add'l)	8/18/11	J		
217. -- RF		None			Sold	2/22/11	J	C	
218. -- AFL		None			Buy	2/23/11	L		
219.					Buy (add'l)	3/29/11	L		
220.					Buy (add'l)	5/31/11	K		
221.					Sold	11/14/11	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -- JPM	B	Int./Div.	L	T	Buy (add'l)	3/21/11	L		
223. -- MWV	B	Int./Div.	L	T	Buy (add'l)	3/23/11	L		
224.					Sold (part)	4/28/11	L	C	
225.					Sold (part)	5/23/11	J	A	
226.					Buy (add'l)	6/1/11	L		
227. -- BX	A	Int./Div.	K	T	Buy	3/23/11	K		
228. -- ARLP	C	Int./Div.	L	T	Buy (add'l)	3/23/11	L		
229. -- ARCC	C	Int./Div.	K	T	Buy (add'l)	4/18/11	L		
230. -- AGNC	E	Int./Div.	M	T	Buy (add'l)	4/18/11	L		
231.					Sold (part)	6/20/11	L	C	
232.					Buy (add'l)	6/21/11	L		
233. -- POT	A	Int./Div.	M	T	Buy	4/18/11	M		
234.					Buy (add'l)	11/23/11	K		
235. -- WFC	B	Int./Div.	L	T	Buy	4/18/11	L		
236.					Buy (add'l)	7/11/11	J		
237. -- AGU	A	Int./Div.	K	T	Buy (add'l)	5/3/11	L		
238.					Buy (add'l)	6/3/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	7/18/11	L		
240. -- GIS		None			Sold	5/23/11	L	D	
241. -- KMB		None			Sold	5/23/11	L	C	
242. -- PRU	B	Int./Div.	L	T	Sold (part)	5/23/11	M	D	
243.					Buy (add'l)	11/21/11	K		
244. -- PM		None			Buy (add'l)	7/18/11	M		
245.					Sold (part)	10/24/11	M	D	
246.					Sold	12/19/11	L	D	
247. -- CVX	C	Int./Div.	M	T	Buy (add'l)	11/21/11	L		
248. -- BKBK (B&K Bank Stock)	A	Int./Div.	K	T	Buy (add'l)	2/22/11	K		
249. -- JNJ	C	Int./Div.	M	T					
250. -- AINV	D	Int./Div.	L	T					
251. -- GRBS	A	Int./Div.	J	T					
252. -- Greenville, SC Bond	C	Int./Div.	M	T					
253. -- South Carolina Bond	B	Int./Div.	L	T					
254. -- Greenville, SC Bond	B	Int./Div.	K	T					
255. -- Horry Cnty, SC Bond	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -- Note Receivable (Carole Shelton)	E	Int./Div.	L	T					
257. -- First Tampa 301 LLC Tampa, FL	E	Rent	M	W					
258. Merrill Lynch - Trust #1 Inc. Bene (L37 & 784)									
259. -- STI Call Options					Closed	1/21/11	J		
260.					Sold	1/21/11	J		
261.					Sold	1/24/11	J		
262.					Sold	2/18/11	J		
263.					Closed	2/22/11	J		
264.					Sold	3/21/11	J		
265.					Sold	5/10/11	J		
266.					Sold	6/17/11	J		
267.					Sold	7/20/11	J		
268.					Sold	7/21/11	J		
269.					Sold	9/7/11	J		
270.					Sold	9/29/11	J		
271.					Sold	10/5/11	J		
272.					Sold	10/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold	11/30/11	J		
274.					Sold	12/22/11	J		
275. -- PRU Call Options					Sold	1/21/11	J		
276.					Closed	1/21/11	J		
277.					Sold	3/18/11	J		
278.					Closed	3/18/11	J		
279.					Sold	4/15/11	J		
280.					Closed	4/15/11	J		
281. -- KMB Call Options					Sold	1/24/11	J		
282.					Closed	2/18/11	J		
283.					Sold	2/18/11	J		
284.					Sold	3/21/11	J		
285.					Sold	4/15/11	J		
286.					Closed	4/15/11	J		
287. -- IVR Call Options					Closed	2/18/11	J		
288.					Sold	9/21/11	J		
289. -- ARCC Call Options					Sold	3/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	4/15/11	J		
291.					Sold	4/21/11	J		
292.					Closed	4/25/11	J		
293.					Sold	5/31/11	J		
294.					Sold	7/21/11	J		
295.					Sold	10/12/11	J		
296. -- POT Call Options					Sold	6/20/11	J		
297.					Sold	8/9/11	J		
298.					Sold	10/3/11	J		
299. -- NUE Call Options					Sold	6/21/11	J		
300.					Sold	8/4/11	J		
301.					Sold	11/21/11	J		
302. -- VZ Call Options					Sold	9/6/11	J		
303.					Sold	11/21/11	J		
304. -- HTS Call Options					Sold	9/8/11	J		
305.					Sold	10/12/11	J		
306. -- WFC Call Options					Sold	9/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 22 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	10/24/11	J		
308.					Sold	11/28/11	J		
309. -- AGNC Call Options					Sold	10/13/11	J		
310. -- CYS	D	Int./Div.	L	T	Buy (add'l)	11/21/11	L		
311.					Buy (add'l)	11/22/11	J		
312. -- CTL	D	Int./Div.	L	T	Buy (add'l)	1/6/11	L		
313. -- WPZ		None			Buy (add'l)	1/20/11	L		
314.					Sold	8/5/11	M		
315. -- BBT	A	Int./Div.	J	T	Sold (part)	1/24/11	L	D	
316. -- BP		None			Sold	1/24/11	M	E	
317. -- NUE	C	Int./Div.	L	T	Sold (part)	1/24/11	M	D	
318.					Buy (add'l)	6/1/11	L		
319. -- CCL	A	Int./Div.	K	T	Buy (add'l)	1/27/11	K		
320. -- WFC	B	Int./Div.	L	T	Buy (add'l)	2/23/11	K		
321.					Buy (add'l)	5/4/11	K		
322. -- STI	C	Int./Div.	N	T	Buy (add'l)	3/10/11	L		
323. -- BAC	A	Int./Div.	J	T	Buy (add'l)	3/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Sold (part)	3/21/11	J	A	
325. -- JPM	A	Int./Div.	K	T	Buy (add'l)	3/21/11	K		
326. -- AFL		None			Buy (add'l)	3/23/11	L		
327.					Sold	11/14/11	K		
328. -- T	B	Int./Div.	K	T	Buy	4/18/11	K		
329. -- AGNC	E	Int./Div.	M	T	Buy (add'l)	4/18/11	K		
330.					Sold (part)	6/20/11	M	C	
331.					Buy (add'l)	6/21/11	M		
332.					Buy (add'l)	8/5/11	L		
333. -- HTS	D	Int./Div.	L	T	Buy (add'l)	4/18/11	K		
334.					Sold (part)	12/21/11	J		
335. -- IVR	E	Int./Div.	M	T	Buy (add'l)	4/18/11	J		
336.					Buy (add'l)	7/11/11	K		
337.					Buy (add'l)	8/4/11	K		
338.					Buy (add'l)	8/5/11	K		
339.					Buy (add'l)	8/26/11	J		
340.					Buy (add'l)	9/9/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	9/21/11	J		
342.					Buy (add'l)	12/12/11	J		
343. -- POT	A	Int./Div.	L	T	Buy	5/4/11	M		
344. -- KMB		None			Sold	5/23/11	M	D	
345. -- PRU		None			Sold	5/23/11	L	D	
346. -- VZ		None			Buy	6/2/11	M		
347.					Buy (add'l)	6/6/11	K		
348.					Sold	12/19/11	M	D	
349. -- ARCC	D	Int./Div.	M	T	Buy (add'l)	9/19/11	J		
350. -- AINV	D	Int./Div.	K	T					
351. -- CSQ	D	Int./Div.	M	T					
352. GEO THERMAL	B	Distribution	K	W					
353. REAL PROPERTY									
354. -- [REDACTED], Valrico, FL		None	M	W					
355. -- Condo, Longboat Key, FL	D	Rent	N	W					
356. -- Condo, Tampa, FL [REDACTED]		None	M	W					
357. -- Land, [REDACTED] Hillsborough Cnty, FL	D	Rent	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -- Property #1, Celo, NC		None	O	W					
359. -- Celo, NC - Blue Tract		None	N	W					
360. -- Property #3, Highlands, NC		None	M	W					
361. -- Highlands, North Carolina Condo	C	Rent	N	W					
362. -- Rental Property, Plant City, FL	D	Rent	O	W					
363. -- Tampa Condo #2	D	Rent	M	W					
364. -- Tampa Condo #3	D	Rent	M	W					
365. -- Tampa Condo #4	D	Rent	N	W					
366. -- Tampa, FL Condo	E	Rent	N	W					
367. -- St. Petersburg, FL	E	Rent	N	W					
368. -- St. Petersburg, FL	E	Rent	N	W					
369. -- Gainesville, FL Condo		None	N	W					
370. -- Condo Tampa, FL		None	N	W	Buy	6/30/11	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

Moody, Jr., James S.

Date of Report

07/11/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James S. Moody, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544