

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nalbandian, John B.	2. Court or Organization Sixth Circuit	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Sixth Circuit Court of Appeals Potter Steward US Courthouse 100 East Fifth Street, Room 606 Cincinnati, OH 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Taft law firm partnership agreement -- entitles me to return of my capital account upon separation and possible payment of bonuses already earned
2. 2018	Taft law firm cash pension plan -- will be paid sometime in the future or rolled over into IRA
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Taft Law firm earned bonus and capital return	\$590,294.30
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federalist Society	9/4/2018-9/7/2018	Avon, CO	Educational Seminar	Travel, lodging, meals
2. Federalist Society	10/14/2018-10/15/2018	Chicago, IL	Speaking engagement	Travel, lodging, meals
3. Federalist Society	11/15/2018-11/17/2018	Washington, DC	Symposium speaker	Travel, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Empower Retirement Accounts (H)									
2. T.Rowe Price/2035	E	Dividend	O	T					
3.									
4. Morgan Stanley Accounts (H)									
5. Morgan Stanley Account (H)									
6. TRAK CGCM- (H)									
7. TEMUX	A	Dividend	J	T					
8. TILUX	A	Dividend	J	T					
9. TIEUX	A	Dividend	J	T					
10. TIFUX	A	Dividend	J	T					
11. TLGUX	A	Dividend	J	T					
12. TMUUX	A	Dividend	J	T					
13. TSGUX	A	Dividend	J	T					
14. TSDUX	A	Dividend	J	T					
15.									
16. Morgan Stanley Rollover IRA (H)									
17. MCGIX	A	Dividend	J	T	Sold (part)	07/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. APDZX	A	Dividend	J	T					
19. BGRIX	A	Dividend	J	T					
20. EIBIX	A	Dividend	J	T					
21. NBGIX	A	Dividend	J	T					
22. OAYMX	A	Dividend	J	T					
23. BPRIX	A	Dividend	J	T					
24. PEGZX	A	Dividend	J	T					
25. THDIX	A	Dividend	J	T					
26. TINGX	A	Dividend	J	T					
27. MJFOX	A	Dividend	J	T					
28. PLCPX	A	Dividend	J	T					
29. PLDPX	A	Dividend	J	T					
30. PTSPX	A	Dividend	J	T					
31. PTPPX	A	Dividend	J	T					
32.									
33. Morgan Stanley Rollover IRA (H)									
34. TRAK Fund Solution IRA - (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MCGIX	A	Dividend	J	T	Sold (part)	07/27/18	J		
36. APDQX	A	Dividend	J	T					
37. BGRIX	A	Dividend	J	T					
38. BPRIX	A	Dividend	J	T					
39. MJFOX	A	Dividend	J	T					
40. NBGIX	A	Dividend	J	T					
41. OAYMX	A	Dividend	J	T	Buy	07/27/18	J		
42. PEGZX	A	Dividend	J	T					
43. PLCPX	A	Dividend	J	T					
44. PLDPX	A	Dividend	J	T					
45. PTSPX	A	Dividend	J	T					
46. PTPPX	A	Dividend	J	T					
47. THDIX	A	Dividend	J	T					
48. TINGX	A	Dividend	J	T					
49.									
50. Cash Value Life Insurance (H)									
51. Northwestern Mutual Variable Comp Life JBN Insurance (H)									

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Gov't Money Market (MSA/Blackrock Advisors LLC)	A	Dividend	J	T					
53. Growth Stock (MSA/Mellon Investments Corp)	A	Dividend	J	T					
54. Index 500 Stock (MSA)	A	Dividend	J	T					
55. Large Cap Core Stock (MSA/Wellington Management)	A	Dividend	J	T					
56. Mid Cap Grown Stock (MSA/Wellington Management)	A	Dividend	J	T					
57.									
58. Northwestern Mutual 65 Life	A	Dividend	J	T					
59. Northwestern Mutual 65 Life	A	Dividend	J	T					
60. Northwestern Mutual 65 Life	A	Dividend	J	T					
61. Northwestern Mutual 65 Life	A	Dividend	K	T					
62. Northwestern Mutual 65 Life	A	Dividend	J	T					
63. Northwestern Mutual 65 Life	A	Dividend	J	T					
64.									
65. Learning Quest 529 Education Accounts (H)									
66. Short Term Portfolio	B	Dividend	K	T					
67. Moderate Track 20% Equity Portfolio	B	Dividend	K	T					
68.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. U.S. Bank -- cash accounts	A	Interest	N	T					
70.									
71.									
72.									
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John B. Nalbandian**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544