

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graham, James L.	2. Court or Organization U.S. District Court, SD/OH	3. Date of Report 05/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge- Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 349 Joseph P. Keinnery U.S. CH 85 Marconi Blvd Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Partnership- Farm Land- Franklin Co. Ohio- See Part VIII	A	Rent	M	Q	Sold (part)	06/30/17	K	E	
2. Huntington National Bank- Checking and Savings	A	Interest	L	T					
3. Gold & Silver Coins		None	J	T					
4. 37 shs Huntington Bancshares- 8.50% Conv	B	Dividend			Matured	06/30/17	L		
5. Charles Schwab Money Market Account	A	Dividend	K	T					
6. Middletown OH RFDG/IMPR 3.75% DUE 12/01/19	A	Interest	K	T					
7. Oh ST Inft/Impr 3.25% Due 08/01/20	B	Interest	L	T					
8. Single Family Rental Columbus Oh- Franklin Cty- See Part VIII		None	M	R					
9. Wells Fargo Bank Sweep Account	D	Interest	K	T					
10. Charles Schwab Bank	A	Interest	J	T					
11. Charles Schwab & Co.- IRA- See Part VIII. VIII-Head									
12. Charles Schwab Bank	E	Distribution	M	T					
13. SPDR Gold Shares ETF		None	M	T					
14. 20,000.00 Shs. Theratechnologies THERF		None	M	T	Buy	06/15/17	M		
15. BMO Harris Bank CD due 10/12/18	B	Interest	M	T	Buy	03/12/17	M		
16. Safra National Bank CD due 08/18/18	B	Interest	M	T	Buy	03/18/17	M		
17. Eaton Vance NAT ltd Mat-Muni Inc A (Y) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American FD Income FD (Y)									
19. Ally Bank- Checking	B	Interest	L	T					
20. Ally Bank- Savings	D	Interest	O	T	Buy	01/16/17	O		
21. Ally Bank- CD	B	Interest	M	T	Buy	06/15/17	M		
22. 14,000.00 Shs. Theratechnologies THERF		None	L	T	Buy	06/15/17	L		
23. Synchrony Bank- CD	C	Interest	O	T	Buy	07/28/17	N		
24. Synchrony Bank- Savings	A	Interest	N	T	Open	11/06/17	N		
25. Citigroup BD Due 04/27/18	A	Interest	L	T	Buy	07/24/17	L		
26. Bank of America BD Due 05/12/18	B	Interest	M	T	Buy	07/06/17	M		
27. AT&T Inc BD Due 11/27/18	B	Interest	L	T	Buy	08/01/17	L		
28. General Motors Finance BD due 01/15/19	A	Interest	K	T	Buy	06/27/17	K		
29. Marathon Oil Corp BD due 06/01/20	B	Interest	K	T	Buy	08/07/17	K		
30. Ford Motor Credit BD due 06/20/20	B	Interest	K	T	Buy	06/05/17	K		
31. Strongsville OH BD due 12/01/18	A	Interest	K	T	Buy	01/05/17	K		
32. Barclay's Bank Note due 06/20/19	B	Interest	M	T	Buy	06/13/17	M		
33. Morgan Stanley Private Bank CD due 08/07/18	D	Interest	O	T	Buy	09/01/17	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 1, Line 1- The date of purchase was April 2, 2002. The actual cost is \$425,865. Appraisal Date June 6, 2011. However, portions of this land has been sold in years 2016 and 2017, resulting in the lower reported value shown on this disclosure. Franklin County- Brown Township- Ohio.

Part VII, Page 1, Line 8- Purchased 04/23/10- Cost \$147,395. This property was converted to a personal residence effective January 1, 2017. No income for 2017.

Part VII, Page 1, Line 11- This is a header account for the items on lines 12, 13, 14, 15, and 16.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Graham, James L.

Date of Report

05/30/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Graham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544