

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CLEMENT, EDITH B.	2. Court or Organization Appeals Court, Active	3. Date of Report 5/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 600 CAMP STREET, ROOM 200 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Witherspoon Institute, Princeton University	August 8 - 9, 2103	Princeton, NJ	Guest Lecturer	Transportation, lodging and some meals
2. Foundation for Research on Economics and the Environment (FREE)	August 19-23, 2013	THree Forks, MT	attended a seminar	lodging, air transportation and some meals
3. Federalist Society	November 14-16, 2013	Washington, D.C.	participate on a panel at the Federalist Society's convention	Transportation, lodging and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. J P Morgan Chase (n/c fm Bank One 7/05)	A	Dividend	O	T					
2. Rental Property/Residential FMV, New Orleans, LA	E	Rent	O	W					
3. Genworth Life & Annuity InsCo, Flexible Premium Adjust Life	C	Interest	L	T					
4. Colonial Bank		None	J	T					
5. Ocean Energy Inc., 8.25% due 7/1/2018 (bond)	D	Interest	L	T					
6. Guggenheim China Tech (CQQQ)	A	Dividend			Sold	08/28/13	L	C	
7. PowerShares DB Commodity Index (DBC)		None			Sold	08/28/13	K		
8. MSCI Frontier Markets (FM)	A	Dividend	K	T	Buy	08/28/13	K		
9. iShares FTSE/Xinhua China 25 Index (FXI)		None			Sold (part)	03/19/13	K		
10.					Sold	06/12/13	K		
11. SPDR Gold Trust (GLD)		None			Buy	08/28/13	L		
12.					Sold	12/03/13	L		
13. Mkt Vectors RVE Hard Assets Producers (HAP)	B	Dividend	K	T					
14. iShares iBoxx \$ High Yield Corporate (HYG)	D	Dividend			Sold	05/28/13	N	E	
15.					Buy	08/28/13	M		
16.			M	T	Buy (add'l)	12/05/13	L		
17. iShares Tr S&P Global Energy Sector Index Fund (IXC)	B	Dividend			Sold	08/28/13	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Kayne Anderson MLP Investment Co (KYN)	D	Dividend	M	T					
19. ProShares Short 20+Year Treasury (TBF)		None	L	T	Buy	06/07/13	J		
20.					Buy (add'l)	08/28/13	L		
21. Vanguard Emerging Market (VWO)	A	Dividend			Sold	06/07/13	K		
22. Vanguard Energy (VDE)	B	Dividend	L	T					
23. Vanguard Info Technology (VGT)	A	Dividend	L	T					
24. Vanguard Large Cap (VV)	B	Dividend	M	T					
25. Vanguard Midcap (VO)	B	Dividend	M	T					
26. Vanguard Small Cap (VB)	B	Dividend	M	T					
27. Vanguard Telecommunications Svcs (VOX)	C	Dividend	M	T					
28. Vanguard High Yield Corp Investor (VWEHX)	B	Dividend			Sold	05/29/13	K	C	
29. Vanguard ST Investment Grade Admiral (VFSUX)	E	Dividend	P1	T	Sold (part)	05/21/13	K	A	
30.					Sold (part)	06/04/13	P1	D	
31.					Buy (add'l)	08/28/13	P1		
32.					Buy (add'l)	12/05/13	M		
33. Vanguard ST Investment Grade Investor (VFSTX)		None			Sold	06/04/13	K		
34. Vanguard Star Fund (VGSTX)	D	Dividend	N	T	Buy	05/21/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/28/13	N		
36. US Treasury Bill, 912796BL2, 11/21/2013	A	Interest			Buy	05/28/13	N		
37.					Sold	08/28/13	N		
38. US Treasury Bill, 912796BN8, 12/05/2013	A	Interest			Buy	06/05/13	O		
39.					Buy (add'l)	06/10/13	K		
40.					Sold	08/28/13	O		
41. US I-Savings Bonds	B	Interest	K	T					
42. Bank of America c/o Computershare	A	Dividend	J	T					
43. Charles Schwab Bank Deposit	A	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ EDITH B. CLEMENT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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