

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bush, John K.	2. Court or Organization 6th Circuit	3. Date of Report 01/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 208 Gene Snyder U.S. Courthouse 601 W. Broadway Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Bingham Greenebaum Doll LLP	\$169,149.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Landrum & Shouse
2. 2017	The Courier-Journal, Inc.
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Association	10/2/17 - 10/3/17	Cincinnati, OH	speak and attend meeting	transportation, lodging, food
2. Federal Judicial Center	11/2/17 - 11/3/17	Washington, DC	attend meeting	transportation, lodging, food
3. James Wilson Institute	11/3/17 - 11/4/17	Washington, DC	attend meeting	transportation, lodging, food
4. Federalist Society	11/15/17 - 11/18/17	Washington, DC	speak and attend meeting	transportation, lodging, food
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Bingham Greenebaum Doll LLP	Investiture Reception	\$16,837.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Fifth Third Bank	Line of Credit	K
2.	American Express	Credit Card	J
3.	Navient	Student Loans	L
4.	University of Louisville	Tuition Agreement	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K									
2. --Apple (AAPL) Stock	A	Dividend		T	Sold	12/07/17	K	D	
3. --Adex Mining (ADXDF) stock		None		T	Sold	12/07/17	J		
4. --Amazon (AMZN) stock	A	Dividend		T	Sold	12/07/17	L	D	
5. --Alphabet (GOOG) stock	A	Dividend		T	Sold	12/07/17	L	D	
6. --Jet Blue (JBLU) stock	A	Dividend		T	Sold	12/07/17	K	C	
7. --United Parcel Service (UPS) stock	A	Dividend		T	Sold	12/07/17	K	C	
8. --Exxon (XOM) stock	A	Dividend		T	Sold	12/07/17	K	C	
9. --Vanguard Federal Money Market Fund	B	Interest		T	Sold	12/07/17	M	C	
10. --Metropolitan West Total Return Bond Fund	A	Int./Div.		T	Sold	12/07/17	J	A	
11. --American Beacon Large Cap Value Fund	A	Int./Div.		T	Sold	12/07/17	K	C	
12. --Vanguard Institutional Index Fund	A	Int./Div.		T	Sold	12/07/17	K	C	
13. --American AmCap Fund	A	Int./Div.		T	Sold	12/07/17	K	C	
14. --Vanguard Mid Cap Index Fund	A	Int./Div.		T	Sold	12/07/17	K	C	
15. --Vanguard Small Cap Index Fund	A	Int./Div.		T	Sold	12/07/17	K	C	
16. --Principal Global Real Estate Fund	A	Int./Div.		T	Sold	12/07/17	J	A	
17. --American EuroPacific Fund	A	Int./Div.		T	Sold	12/07/17	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --BMO Government Money Market Fund	A	Interest		T	Sold	12/07/17	K	A	
19. --Vanguard Total Bond Market Index Fund	A	Int./Div.		T	Sold	12/07/17	J	A	
20. --SPDF S&P 500 EFT Trust Fund	D	Int./Div.		T	Sold	12/07/17	M	E	
21. --Teucrium Commodity Trading Corn Fund	A	Int./Div.		T	Sold	12/07/17	J	A	
22. IRA #1									
23. --Vanguard Explorer Fund	A	Int./Div.	J	T					
24. --Wellington Fund Investment	A	Int./Div.	K	T					
25. --Vanguard Total Bond Market Index Fund	A	Int./Div.	J	T					
26. --Windsor Fund Amiliral	A	Int./Div.	L	T					
27. IRA #2									
28. --Federated Kaufman Fund	A	Dividend	J	T					
29. IRA #3									
30. --Allergen PLC stock		None	J	T	Buy	12/15/17	J		
31. --Virtus Vontobe/Emerging Markets Opportunities Fund		None	J	T	Buy	12/15/17	J		
32. --US Bankcorp Medium Term Note		None	K	T	Buy	12/15/17	K		
33. --Omnicom Group stock		None	J	T	Buy	12/15/17	J		
34. --Northern Trust Corp. Senior Unsecured CPN		None	K	T	Buy	12/15/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --JPMorgan Chase & Co. Unsecured Callable CPN		None	K	T	Buy	12/15/17	K		
36. --Cisco Systems Inc. stock		None	J	T	Buy	12/15/17	J		
37. --CVS Health Corp stock		None	J	T	Buy	12/15/17	J		
38. --Berkshire Hathaway Inc. Sr. Unsecured CPN		None	K	T	Buy	12/15/17	K		
39. --Apple Inc. Sr. Unsecured CPN		None	K	T	Buy	12/15/17	K		
40. --AT&T Inc. Sr. Unsecured CPN		None	K	T	Buy	12/15/17	K		
41. --TJX Cos. Inc. Sr. Unsecured Callable CPN		None	K	T	Buy	12/15/17	K		
42. --Pfizer Inc. Sr. Unsecured CPN		None	K	T	Buy	12/25/17	K		
43. --O'Reilly Automotive Inc. Sr. Unsecured NT CPN		None	K	T	Buy	12/18/17	K		
44. --Home Depot Inc. Sr. Unsecured Callable CPN		None	K	T	Buy	12/18/17	K		
45. --Federal Home Loan Bank Bonds Callable CPN		None	K	T	Buy	12/18/17	K		
46. --CVS Caremark Corp. Sr. Unsecured Callable CPN		None	J	T	Buy	12/18/17	J		
47. --Microsoft Corp. Senior Notes CPN		None	K	T	Buy	12/19/17	K		
48. --General Electric Capital Corp. Sr. Unsecured CPN		None	K	T	Buy	12/19/17	K		
49. --John Deere Capital Corp. Medium Term Note CPN		None	K	T	Buy	12/19/17	K		
50. --Alphabet Inc. Sr. Unsecured CPN		None	K	T	Buy	12/19/17	K		
51. --Wells Fargo Bank, N.A.	A	Interest	N	T	Buy	12/15/17	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Wells Fargo Bank South Central	A	Interest	N	T	Buy	12/15/17	N		
53. Massachusetts Mutual (whole life)	A	Dividend	J	T					
54. Northwestern Mutual (whole life)	A	Dividend	J	T					
55. American Outdoor Brands Corp. Stock	A	Dividend		T	Sold	06/30/17	J	A	
56. Amazon Stock	A	Dividend	J	T					
57. Jet Blue Stock	A	Dividend	J	T					
58. Southwest Airlines Stock	A	Dividend	J	T					
59. Delta Airlines Inc. Stock	A	Dividend	J	T	Buy	06/20/17	J		
60. Alibaba Stock		None		T	Buy	06/29/17	J		
61. Alibaba Stock		None		T	Sold	08/14/17	J		
62. Snap Stock		None		T	Buy	07/11/17	J		
63. Snap Stock		None		T	Sold	07/12/17	J		
64. Alaska Air Stock	A	Dividend	J	T	Buy	07/18/17	J		
65. Allegiant Stock	A	Dividend	J	T	Buy	07/21/17	J		
66. Boeing Stock		None	J	T	Buy	12/21/17	J		
67. LKCM Balanced Fund	A	Dividend	J	T					
68. Schwab S&P 500 Index Fund	A	Dividend	J	T	Buy	12/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Fifth Third Bank	A	Dividend	K	T					
70. Commonwealth Bank & Trust	A	Dividend	J	T					
71. PNC Bank	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Bush, John K.

Date of Report

01/18/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John K. Bush**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544