

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sotomayor, Sonia	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 1 First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Palm Beach County Bar Assn. & Forum of the Palm Beaches	2/2/2015	West Palm Beach, FL	Address	Transportation and Meals
2. Davidson College	3/12/2015 through 3/13/2015	Davidson, NC	Discussions with groups of faculty and students	Transportation, Lodging and Meals
3. New York University School of Law	3/13/2015	New York, NY	Panel Discussion on Burt Neuborne's book "Madison's Music"	Transportation and Meals
4. Columbia University School of Law	4/10/2015	New York, NY	Discussion with faculty and meeting with Latino Law Students Association	Transportation and Meals

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5.	Bryn Mawr College	4/17/2015	Bryn Mawr, PA	Address accepting Katharine Hepburn Medal	Transportation and Meals
6.	Mt. Sinai Hospital School of Medicine	5/7/2015 through 5/8/2015	New York, NY	Address accepting honorary degree at commencement	Transportation and Meals
7.	Association of the Bar of New York	5/7/2015	New York, NY	Address to New Lawyers Institute	Meal
8.	Bronx Childrens Museum	7/23/2015	Bronx, NY	Participation in Dream Big Day events	Meals
9.	University of Notre Dame	9/1/2015 through 9/3/2015	South Bend, IN	Address, question and answer conversation meeting with students, meeting with faculty	Transportation, Lodging and Meals
10.	Amherst College	9/8/2015	Amherst, MA	Question and answer conversation with faculty and students	Transportation, Lodging and Meals
11.	Springfield Public Forum	9/9/2015	Springfield, MA	Moderated interview	Transportation, Lodging and Meals
12.	Pomona College	10/21/2015 through 10/22/2015	Claremont, CA	Question and answer conversation with faculty and students	Transportation, Lodging and Meals
13.	University of the Pacific	10/22/2015 through 10/23/2015	Stockton, CA	Participation in Advancing Women Leadership Conference	Transportation, Lodging and Meals
14.	University of Richmond	11/16/2015 through 11/17/2015	Richmond, VA	Q & A conversation w/ faculty and students. Mtg with Virginia Supreme Court Justices	Transportation, Lodging and Meals
15.	New York University School of Law	12/11/2015	New York, NY	Address to Latino Law Students Association	Transportation and Meal
16.	University Club	12/21/2015	New York, NY	Moderated interview	Meal

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase, NA	Mortgage on Rental Property #1, New York, New York (Pt. VII, Line 12)	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Capital One Bank Account (formerly ING Direct) (y)									
2. Citibank, N.A. Accounts	A	Interest	N	T					
3. Morgan Stanley Bank, NA (H)	A	Interest	J	T					
4. Templeton Global Bond A Fund	B	Dividend	K	T					
5. Columbia TRI LC Growth Class A MF Fund	A	Dividend	L	T					
6. Nuveen NWQ Large Cap Value A Fund	B	Dividend	L	T					
7. Thornburg IN Growth A Fund	A	Dividend	L	T					
8. Franklin FLTG RT DLY Access A Common	B	Dividend	K	T					
9. Morgan Stanley Bank, NA (IRA) (H)	A	Interest	J	T					
10. Blackrock GLB Allocation FD Class A (IRA)	A	Dividend	L	T					
11. Pimco Unconstrained BDA (IRA)	B	Dividend	K	T					
12. Rental Property #1, New York, NY Appraised 2012	D	Rent	P1	Q					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III - As I received no "Non-Investment Income" in 2015, Part III is marked "None". Furthermore, I have been advised by the Knopf Doubleday Group, publisher of my memoir, "My Beloved World", that it disbursed no funds during 2015 to promote the sale of the book.

Part IV - Item 9 - Transportation for this event was provided by private plane owned by the sponsor, The University of Notre Dame.

Part IV - Items 10 and 11 - The sponsors of these events shared the cost of transportation and lodging.

Part IV - Item 11 - MassMutual Life Insurance Company was an additional "Presenting Sponsor" of this event.

Part IV - Items 12 and 13 - The sponsors of these events shared the cost of transportation and lodging.

Part VII - Item 1 - Account was closed as of January 9, 2015. Income and value were both below level requiring reporting.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sonia Sotomayor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544