

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Finnegan, Sheila M.	2. Court or Organization District Court - Northern District of Illinois	3. Date of Report 07/19/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)_	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 S. Dearborn Street Room 2206 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Northwestern University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Securitas (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. The Sedona Conference	03/20/2012-03/22/2012	San Diego, CA	Speak on e-discovery panels at Sedona Conference	travel, lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Templeton Growth Fund-A (TEPLX)	A	Dividend	K	T					
2. New England Life Ins. Variable Life - SF (MetLife Stk Index)	B	Int./Div.	K	T					
3. Everbank Checking	A	Interest	J	T					
4. U.S. Employee Credit Union Checking and Saving	A	Interest	J	T					
5.									
6. Iconix Brand Group, Inc. (ICON)			J	T					
7. Brokerage Account 1 (Fidelity)									
8. -Fidelity Municipal Money Market	C	Interest	M	T					
9. -Chicago IL Govt Bond 1/1/2016	B	Interest			Sold	01/15/13	K	B	
10. -Collier Cnty FLA Bond 10/1/2013	A	Interest			Sold	01/30/13	K	A	
11. -FL State Dept Gen Svcs Bond 9/1/2014	A	Interest	K	T					
12. -U.S. Treas Nts TIPS 4/15/2014	A	Interest	K	T					
13. -Merck & Co.(formerly Schering Plough)	A	Dividend	J	T					
14. -VEU (Vanguard Intl Equity Index Fd FTSE All World Ex US)	A	Int./Div.	J	T					
15. -POT (Potash)					Sold	08/05/13	J	A	
16. -VTI (Vanguard Index Total Stk Mkt ETF)	B	Int./Div.	M	T	Buy	01/23/13	L		
17.					Buy (add'l)	01/24/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	02/05/13	K		
19.					Buy (add'l)	02/07/13	K		
20. -SPY (SPDR S&P 500 ETF)			L	T	Buy	06/20/13	K		
21.					Buy (add'l)	06/25/13	K		
22. Brokerage Acct 2 (UBS- 08 to Fidelity)									
23. -RMA Money Mkt Portfolio	A	Dividend	K	T					
24. -Charlottesville Va Bond 7/15/15	A	Interest	K	T	Sold (part)	01/25/13	J	A	
25. -Charleston Cnty SC BE/R 4.250 110115 dtd 050206	B	Interest	K	T					
26. -New York NY Fiscal Ser Bond Mand Sink Fnd 10/15/16	B	Interest	K	T					
27. -Chicago IL Wstwr Transm Rev Ser B FGIC 010117 Dtd 112106	B	Interest	K	T					
28. -Dallas Fort Worth Tx In Sr A Rv BE/R 5.000 110115 DTD 09010	B	Interest			Sold	01/25/13	K	A	
29. -Denton Texas Util Sys Rev FSA B/E /R 5.000 120117 DTD 05150	B	Interest	K	T					
30. -Georgia State Ser V /R/5.000 070116 Dtd 070198	B	Interest	K	T					
31. -Illinois St NPFG Bd/R/5.000 090114 DTD 092804	A	Interest			Sold	12/13/13	K	A	
32. -Illinois St Sync Cr/R/5.500 080115 DTD 080102	B	Interest			Sold	01/15/13	K	A	
33. -Western Minn Mun Pwr Agy Ser B Rev NPFG B/E /R/5.000 010115	B	Interest	K	T					
34. -Humble Tx Indpt Sch Bond 2/15/19	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
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	U = Book Value				

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Port St. Lucie Fl U/Rev Rev NPFG B/E /R/	B	Interest	K	T					
36. -Monroe Cnty NY AGC BE/R/	A	Interest			Sold	01/15/13	K	A	
37. -Hamilton Cnty Oh SWR Sys Imp Rev 06A NPFG B/E /R/	A	Interest	K	T					
38. -Maryland St. Sr A BE/R/	B	Interest	K	T					
39. -NARAX (Virtus Multi-sector Short term bond)	A	Dividend			Sold	03/04/13	K	B	
40. -EVLX (Eaton Vance Floating Rate Fund Class A)	A	Dividend			Sold	03/06/13	K	B	
41. -PUBAX (Pimco Unconstrained Bond Fund Class A)	A	Dividend			Sold	11/21/13	K	A	
42. -PTTAX (Pimco Total Return Fund Class A)	A	Dividend			Sold	11/21/13	J	A	
43. -HFLAX (Hartford Floating Rate Fund Class A)	A	Dividend			Sold	11/21/13	J	A	
44. -DLTNX (Double Line Total Return Fund)	A	Dividend			Sold (part)	03/04/13	K	A	
45.					Sold	03/11/13	J	A	
46. Brokerage acct 3 (Fid/Breck)									
47. -Hawaii Cnty 0 BDS Ser 20048 5.0% 7/15/14 TRD	A	Interest			Sold	08/16/13	K	A	
48. -Arizona St Transn Brd Hwy Rev Bds Ser	B	Interest	K	T	Buy	01/08/13	K		
49. -Collin Cnty Tex Ultd Tax Rd and Ref Bds	A	Interest	K	T	Buy	12/12/13	K		
50. -Honolulu Hawaii City & Cnty Wastewtr Sys	A	Interest	K	T	Buy	01/09/13	K		
51. -Elk Grove Village GO Bds Ser	A	Interest	K	T	Buy	01/17/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -King Cnty Wash Swr Rev	B	Interest	K	T	Buy	01/11/13	K		
53. -Mississippi St GO Ref Bds	B	Interest			Buy	01/04/13	K		
54.	A	Interest			Sold	06/24/13	K	A	
55. -Naperville ILL GO Ref BDS Ser			K	T	Buy	01/04/13	L		
56. -Ohio State Infrastr Impt GO Rev	A	Interest	L	T	Buy	01/09/13	L		
57. -Ohio St Univ Gen Rcpts	A	Interest	L	T	Buy	01/16/13	L		
58. -Orange Cnty Fla Sales Tax Rev	A	Interest	L	T	Buy	02/04/13	L		
59. -Redmond Wash Ref Bds Ser	A	Interest	K	T	Buy	08/15/13	K		
60. -Tempe Ariz GO Bds Ser	A	Interest	K	T	Buy	06/19/13	K		
61. -Texas St Univ Sys Fing Rev	A	Interest	K	T	Buy	01/10/13	K		
62. -Triborough Brdg & Tunl Auth	A	Interest	K	T	Buy	01/11/13	K		
63. -Washington St Motor Vhcle Fuel Tax GO	A	Interest	K	T	Buy	01/09/13	K		
64. -Winnebago Cnty Ill Go Alt Ref BDS	A	Interest	K	T	Buy	01/25/13	K		
65. Rollover IRA SF (UBS-83)	E	Int./Div.	N	T					
66. -UBS Liquid Assets Fund									
67. -GE Bond 2/1/13					Matured	02/01/13	K	A	
68. -CVS Corp Bond 9/15/14									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. -Goldman Sachs Group Inc Bond 1/15/15									
70. -Anheuser Busch Co. Bond 2/1/15									
71. -Cisco Systems Inc Bond 2/22/16									
72. -Metlife Inc NTS 05.000% 061515 Drd062305 FC 121505 call@M/W									
73. -TimeWarner Cable Inc 05.850% 050117 Drd040907 FC110107 call									
74. -EVLX (Eaton Vance Floating Rate Fund)					Sold	11/21/13	L	A	
75. -NARAX (Virtus Multi-Sector Short Term Bond Fund)					Sold	11/21/13	K	A	
76. -PUBAX (Pimco Unconstrained Bond Fund Class A)					Sold	11/21/13	K	A	
77. -HFLAX (Hartford Floating Rate Fund Class A)					Sold	11/21/13	K	A	
78. -PTTAX (Pimco Total Return Fund Class A)					Sold	11/21/13	K	A	
79. -DLTNX (Double Line Total Return Fund)					Sold	11/21/13	K	A	
80. -VTI (Vanguard Index Total Stk Mkt ETF)					Buy	01/31/13	K		
81. Rollover IRA SF 2 (UBS-84)	E	Int./Div.	O	T					
82. -UBS Liquid Assets Fund									
83. -IWM (Ishares Trust Russell 2000 Index Fd)									
84. -IWD (Ishares Trust Russell 1000 Value Index Fd)									
85. -IWF (Ishares Trust Russell 1000 Growth Index Fd)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -IWR (Ishares Trust Russell Midcap Index Fd)									
87. -VVO (Vanguard Intl Equity Index Fd Emerging Markets ETF)									
88. -VEU (Vanguard Intl Equity Index Fd Inc FSTE All-World EX-US)									
89. -PGMAX (Pimco Global Multi Asset Fund CI A)									
90. -EWG (Ishares Inc MSCI Germany Index Fund)									
91. -EWJ (Ishares Inc MSCI Japan Index Fd)									
92. -ARBFX (Arbitrage Fund No Load Class)					Sold	11/21/13	K	A	
93. -PJMDX (Putnam Absolute Return 500 Class A)					Sold	11/21/13	K	A	
94. -JSOAX (JP Morgan Strategic Income Opportunities Fund)					Sold	11/21/13	K	A	
95. -EAGMX (Eaton Vance Global Macro Absolute Return Fund A)					Sold	03/04/13	K	B	
96. -CLSVX (CBRE Clarion Long/Short Fd Inv)					Sold	11/21/13	K	A	
97. IRA Rollover Acct KS (Fidelity)	D	Int./Div.	M	T					
98. -U.S. Treas Nts TIPS 4/15/2014									
99. -BEARX (Fed. Prudent Bear Fund Class A)					Sold	01/10/13	K	A	
100. -OPGSX (Oppenheimer Gold & Spec Minerals CI A)									
101. -PRPFX (Permanent Portfolio)									
102. -TIP (Ishares Barclays TIP Secs Fd)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. -WASAX (Ivy Asset Strategy Cl A)									
104. Roth IRA Accts KS (Fidelity)	A	Int./Div.	L	T					
105. -FDRXX (Fidelity Cash Reserves)									
106. -SPHIX (Fidelity High Income)									
107. -POT (Potash Corp)					Sold	08/06/13	J	A	
108. -CUT (Claymore Exch Traded Fd Tr Global Bimber)									
109. -DBV (Powershares DB G10 Currency Harvest Com Ut Ben Int)									
110. -DBC (Powershares DB Commod. Index Tracking FD Unit Ben Int)									
111. -MEDAX (MFS Emerging Mkts Debt Cl A)					Sold	01/23/13	K	A	
112. -TPINX (Templeton Global Bond Class A)					Sold	02/05/13	K	A	
113. -VTI (Vanguard Index Total Stk Mkt ETF)					Buy	02/05/13	J		
114. Roth IRA SF 1 (Fidelity)	A	Int./Div.	K	T					
115. -FDRXX (Fidelity Cash Reserves)									
116. -SPHIX (Fidelity High Income)									
117. -POT (Potash Corp)					Sold	08/06/13	J	A	
118. Roth IRA UBS 10 (to Fid 77)	A	Int./Div.	K	T					
119. Roth IRA SF 2 (Fid 77)	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -ARDNX (Arden Alternative Strategies Class I)					Sold	11/22/13	J	A	
121. -FAUDX (Strategic Advisers Short Duration Fund)					Sold	11/22/13	J	A	
122. -FCSAX (Strategic Advisers Core Fund)					Sold	11/22/13	J	A	
123. -FILEX (Strategic Advisers Intl Fund)					Sold	11/22/13	J	A	
124. -FNSXX (FIMM Mmkt Port Inst Cl)					Sold	11/22/13	J	A	
125. -FPCIX (Strategic Advisers Core Income Fund)					Sold	11/22/13	J	A	
126. -FSAGX (Fidelity Select Gold)					Sold	11/22/13	J	A	
127. -FSAMX (Strategic Advisers Emerging Markets)					Sold	11/22/13	J	A	
128. -FSCFX (Strategic Advisers Small-Mid Cap Fd)					Sold	11/22/13	J	A	
129. -FSGFX (Strategic Advisers Growth Fund)					Sold	11/22/13	J	A	
130. -FTBFX (Fidelity Total Bond)					Sold	11/22/13	J	A	
131. -FUSOX (Strategic Advisers US Oppty Fund)					Sold	11/22/13	J	A	
132. -FVSAX (Strategic Advisers Value Fund)					Sold	11/22/13	J	A	
133. -MERFX (Merger Fund)					Sold	11/22/13	J	A	
134. -MWLDX (Metropolitan West Low Duration M)					Sold	11/22/13	J	A	
135. -PSFAX (Pimco Short Term Administrative SHS)					Sold	11/22/13	J	A	
136. -PTRAX (Pimco Total Return Administrative SHS)					Sold	11/22/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -TPINX (Templeton Global Bond Class A)					Sold	11/22/13	J	A	
138. -FPIOX (Strategic Advisers Income Opportunities Fund)					Sold	11/22/13	J	A	
139. Health Savings Account IRA	A	Int./Div.	K	T					
140. -VEU (Vanguard FTSE AllWorld Ex US ETF)									
141. -VFINX (Vanguard 500 Index Fund Investor Shares)									
142. -Federated Prime Cash Trust									
143. Illinois Bright Start 529 for JS (Index Age Based 18 yrs)	A	Int./Div.			Closed	07/06/13	K		
144. Illinois Bright Start 529 for DS (Index Age Based 15-17 yrs)	C	Int./Div.			Closed	07/22/13	K		
145. College Invest Stable Value Plus (MetLife) 529 Plan for DS	A	Int./Div.	L	T	Open	07/22/13	K		
146. Utah 529 Plan for DS (Option 9)	B	Int./Div.	K	T					
147. Illinois Bright Start 529 for MS (Index Age Based 12-14 Yrs)	C	Int./Div.	L	T					
148. Illinois Bright Start 529 for MS (Index Equity)	C	Int./Div.	J	T					
149. Utah 529 Plan MS (Option 9)	B	Int./Div.	L	T					
150. Fidelity 529 Plan MS (MA Portfolio 2015)	A	Int./Div.	J	T					
151. Illinois College bonds	A	Interest	K	T					
152. Brokerage Acct JS	A	Int./Div.	K	T					
153. -FTEXX (Fidelity Municipal Money Market)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
155. -SPY (SPDR S&P500 ETF)	A	Dividend			Buy	06/20/13	J		
156.					Sold	12/27/13	J	A	
157. Brokerage Acct DS									
158. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
159. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T	Buy	02/05/13	J		
160. Brokerage Acct MS									
161. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
162. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
163. Roth IRA JS (Custodian Acct)	A	Int./Div.	K	T					
164. -VEU (Vanguard Intl Eqty Index FTSE All World Ex US ETF)									
165. -VTI (Vanguard Total Stock Mkt)									
166. -Charles Schwab Bank									
167. Roth IRA DS (Custodian Acct)	A	Int./Div.	J	T					
168. -Charles Schwab Bank									
169. Everbank checking - JS	A	Interest	J	T					
170. Chase Bank checking - DS	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Chase Bank saving - MS	A	Interest	J	T					
172.									
173.									
174.									
175.									
176.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 143 to 145: On July 5, 2013, Illinois Brightstart 529 account for JS was closed and funds in the account were transferred to existing Illinois Brightstart 529 account for DS. On July 22, 2013, the Brightstart 529 account for DS was closed when the funds in it were transferred to a newly opened account with College Invest, Stable Value Plus 529 account (operated by MetLife for the State of Colorado).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sheila M. Finnegan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544