

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Andrews, Richard G.	2. Court or Organization District of Delaware	3. Date of Report 3/24/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 844 King Street, Box 19 Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	529 Plan #1
2.	Trustee	529 Plan #2
3.	Trustee	Trust #1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Andrews, Richard G.

Date of Report

3/24/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Villanova University School of Law, salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NY Intellectual Property Law
Ass'n

4/1-2/2016

NY, NY

Dinner Honoring Federal
Judiciary

Hotel, transportation, meals

2. Eastern District of Texas Bar
Ass'n

10/18-20/2016

Plano, TX

20th Annual Eastern
District of Texas Bench Bar
Conference

Hotel, transportation, meals

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Andrews, Richard G.

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3/24/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

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3/24/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CBS common	A	Dividend	J	T					
2. Manulife Financial common	A	Dividend	J	T					
3. Sun Life Financial common	C	Dividend	M	T					
4. Viacom B common	A	Dividend	J	T					
5. CREF Growth Stock		None	M	T					
6. CREF Stock		None	M	T					
7. Fidelity Blue Chip Value Fund	B	Dividend	M	T					
8. Fidelity Delaware College Portfolio (Fid Index) (529 Plan # 1)		None	J	T					
9. Fidelity Freedom 2020	C	Dividend	L	T					
10. John Hancock Cash Management IRA	A	Dividend	J	T					
11. TIAA Traditional	D	Interest	N	T					
12. T. Rowe Price Growth & Income IRA	C	Dividend	K	T					
13.	B	Distribution			Sold (part)	12/01/16	J	A	
14. T. Rowe Price Health Sciences	D	Dividend	M	T					
15. T. Rowe Price New Income Fund IRA	B	Dividend	K	T					
16.	B	Distribution			Sold (part)	12/01/16	J	A	
17. T. Rowe Price GNMA	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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18. Invesco Van Kampen American Franchise Fund	B	Dividend	K	T					
19. Vanguard Capital Value Fund	A	Dividend	L	T					
20. Vanguard Emerging Markets Admiral	B	Dividend	K	T					
21. Vanguard High Yield Corporate Admiral	B	Dividend	K	T					
22. Vanguard High Yield Tax Exempt Admiral #1	B	Dividend	L	T					
23. Vanguard Intermediate Term Bond Index Admiral	B	Dividend	L	T					
24. Vanguard Intermediate Term Tax Exempt Inv	A	Dividend	K	T					
25. Vanguard Limited Term Tax Exempt Adm	B	Dividend	M	T					
26. Vanguard Long-Term Investment Grade Adm	C	Dividend	L	T					
27. Vanguard Long-Term Tax Exempt Fund Admiral	C	Dividend	L	T					
28. Vanguard Explorer Fund Admiral	D	Dividend	M	T					
29. Vanguard Total Intl Stock Index Inst	B	Dividend	L	T					
30. Vanguard Prime Money Market #1	A	Dividend	J	T					
31. Vanguard Prime Money Market #2	A	Dividend	J	T					
32. Vanguard REIT Index Adm	D	Dividend	M	T					
33. Vanguard Extended Market Index Inst	B	Dividend	M	T					
34. Vanguard Total Stock Index Inst	B	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. Vanguard Target 2020 Fund IRA	B	Dividend	K	T					
36.	B	Distribution			Sold (part)	12/01/16	J	A	
37. Vanguard Target 2025 Fund IRA	A	Dividend	K	T					
38.	A	Distribution			Sold (part)	12/01/16	J	A	
39. Vanguard Tax-Managed Capital Appreciation Adm	C	Dividend	M	T					
40. Vanguard Developing Markets Index Admiral	C	Dividend	L	T					
41. Vanguard Tax-Managed Small Cap Adm	B	Dividend	M	T					
42. Vanguard U.S. Growth IRA	A	Dividend	K	T					
43. Vanguard Wellington Admiral	D	Dividend	M	T					
44. Vanguard Windsor II Fund Admiral	E	Dividend	N	T					
45. M&T Bank Checking Accounts		None	L	T					
46. John Hancock whole life ins. #1	D	Dividend	M	W					
47. John Hancock whole life ins. #2	D	Dividend	M	W					
48. Sun Life whole life ins. #1	A	Int./Div.	J	W					
49. Trust #1									
50. - Exxon Mobil common #1	C	Dividend	L	T					
51. - American Century Equity Income	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. - American Century Income & Growth	B	Dividend	L	T					
53. - DWS Strategic High Yield Tax Free Fund	B	Dividend	K	T					
54. - DWS Intermediate Tax/AMT Free Fund	A	Dividend	K	T					
55. - DWS Managed Municipal Bond Fund	B	Dividend	K	T					
56. - Franklin Templeton Class Z	E	Dividend	N	T					
57. - Hennessy Select Large Value FD Orig	B	Dividend	K	T					
58. - RBC Enterprise Fund Class I	B	Dividend	M	T					
59. - Fidelity Gov't MM #1	A	Dividend	K	T					
60. Fidelity Gov't MM #2	A	Dividend	K	T					
61. Anglogold Ashanti LTD stock (Trust #1)		None	J	T					
62. BAE Systems stock (Trust #1)	A	Dividend	J	T					
63. BP Ord stock (Trust #1)	A	Dividend	J	T					
64. BP PLC ADR stock (Trust #1)	A	Dividend	J	T					
65. BT Group Ord stock (Trust #1)	A	Dividend	J	T					
66. Columbia Acorn Fund-Z (Trust #1)	F	Dividend	M	T					
67. Columbia Acorn Intl Fund-Z (Trust #1)	B	Dividend	M	T					
68. Diageo Ord stock (Trust #1)	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69. Exxon Mobil common #2	C	Dividend	M	T					
70. Fidelity Intl Discovery	B	Dividend	L	T					
71. Fidelity New Markets Income	B	Dividend	K	T					
72. Fidelity Real Estate Inv (Trust #1)	C	Dividend	L	T					
73. Fidelity Select Biotech	C	Dividend	M	T					
74. Fidelity Select Health Care	A	Dividend	L	T					
75. Fidelity Strategic Inc (Trust #1)	B	Dividend	K	T					
76. Fidelity Tax-Free Bond (Trust #1)	C	Dividend	M	T					
77. Fidelity Value	A	Dividend	K	T					
78. Freeport McMoran Inc stock (Trust #1)		None	K	T	Buy	01/06/16	J		
79. Gabelli Equity	D	Dividend	K	T					
80. HSBC Holdings PLC ADR stock (Trust #1)	A	Dividend	J	T					
81. Janus Overseas Fund Class T	A	Dividend	K	T					
82. Mercantile Investment Trust PLC (Trust #1)	B	Dividend	L	T					
83. News Corp.stock (Trust #1)	A	Dividend	J	T					
84. Oakmark Equity & Income Fund	D	Dividend	M	T					
85. Public Service Enterprise Gp Inc stock (Trust #1)	C	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86. Schlumberger Ltd Com stock (Trust #1)	B	Dividend	L	T					
87. Templeton Dragon Fund Inc (Trust #1)	A	Dividend	J	T					
88. Transocean Ltd Com stock (Trust #1)		None	J	T					
89. T. Rowe Price Corporate Income	C	Dividend	L	T					
90. T. Rowe Price High Yield Fund	B	Dividend	K	T					
91. Twenty-First Century Fox Inc stock (Trust #1)	A	Dividend	J	T					
92. Unilever PLC stock (Trust #1)	C	Dividend	L	T					
93. United Parcel Service common (Trust #1)	A	Dividend	K	T					
94. Vanguard European Stock Ind F Adm	C	Dividend	L	T					
95. Vanguard High Yield Tax-exempt # 2	B	Dividend	K	T					
96. Vanguard Intermediate-Term Tax Exempt Fund Admiral	C	Dividend	M	T					
97. Vanguard Pacific Stock Index F Adm	B	Dividend	L	T					
98. Fidelity Conservative (529 Plan #2)		None	J	T	Sold (part)	08/04/16	J	A	
99.					Sold (part)	12/12/16	J	A	
100. Fidelity Moderate Growth Port (529 Plan #2)		None	J	T	Sold (part)	08/04/16	J	A	
101.					Sold (part)	12/12/16	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard G. Andrews**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544