

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Haines, Randolph J.                                                                                                   | <b>2. Court or Organization</b><br><br>UNITED STATES BANKRUPTCY COURT                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>05/13/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>230 North First Avenue<br>Suite 101<br>Phoenix, AZ 85003                                                                                       |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                                               |
|----|-----------------|----------------------------------------------------------------------------------|
| 1. | Secretary       | Large Munsterlander Club of North America (resigned in February, 2012)           |
| 2. | Adjunct Faculty | Sandra Day O'Connor College of Law (fka Arizona State University College of Law) |
| 3. | President       | <div></div> (dissolved in June, 2013)                                            |
| 4. | Director        | <div></div> (terminated in March, 2013)                                          |
| 5. |                 |                                                                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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Name of Person Reporting

Haines, Randolph J.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| DATE    | SOURCE AND TYPE                               | INCOME<br>(yours, not spouse's) |
|---------|-----------------------------------------------|---------------------------------|
| 1. 2012 | Sandra Day O'Connor College of Law (teaching) | \$1,500.00                      |
| 2.      |                                               |                                 |
| 3.      |                                               |                                 |
| 4.      |                                               |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| SOURCE                                      | DATES              | LOCATION         | PURPOSE                          | ITEMS PAID OR PROVIDED             |
|---------------------------------------------|--------------------|------------------|----------------------------------|------------------------------------|
| 1. Norton Bankruptcy Institute              | Feb. 24 - 26, 2012 | Park City, Utah  | Speaking at conference           | Transportation, meals, and lodging |
| 2. Norton Bankruptcy Institute              | June 22 - 26, 2012 | Jackson Hole, WY | Speaking at conference           | Transportation, meals, and lodging |
| 3. National Conference of Bankruptcy Judges | Oct. 23 - 27, 2012 | San Diego, CA    | Attendance at Committee meetings | Transportation, meals, and lodging |
| 4.                                          |                    |                  |                                  |                                    |
| 5.                                          |                    |                  |                                  |                                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. --IRA #1                                                                                                                 | E                                       | Distribution                                  | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. --IQ Canada Small Cap ETF - CNDA (Y)                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. Clavert FD High Yield - CYBAX (Y)                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. --Powershares DB Precious Metals - DBP (Y)                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. --Doubleline Total Return Bond - DLTNX (Y)                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. --Proshares Short MSCI EAFE - EFZ (Y)                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. --iShares MSCI All Peru CapEnd Index FD - EPU (Y)                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. --iShares MSCI Mexico - EWW (Y)                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. --iShares MSCI South Korea - EWY (Y)                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. --First Trust DJ Internet - FDN (Y)                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. --Market Vectors Junior Gold Miners ETF - GDXJ (Y)                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. --SPDR Gold TR Gold Shares ETF - GLD                                                                                    |                                         |                                               |                                                 |                                           | Sold                                            | 4/5/12                  | J                               |                                |                                                                   |
| 13. --                                                                                                                      |                                         |                                               | K                                               | T                                         | Buy                                             | 10/1/12                 | K                               |                                |                                                                   |
| 14. --SPDR S&P Rmerging Europe ETF - GUR (Y)                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. --Global X Interbolsa FTSE Colombia - GXG (Y)                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. --ICON Funds Consumer Discretionary - ICCCX (Y)                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. --TDAM U.S. Govt. Money Mkt - (Y)                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000      | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000                                     | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                  |  |  |  |  |      |          |   |   |  |
|------------------------------------------------------------------|--|--|--|--|------|----------|---|---|--|
| 18. --Market Vectors Indonesia Index ETF - IDX (Y)               |  |  |  |  |      |          |   |   |  |
| 19. --iShares DJ US Oil & Equip - IEZ (Y)                        |  |  |  |  |      |          |   |   |  |
| 20. --iShares DJ US Pharma Index - IHE (Y)                       |  |  |  |  |      |          |   |   |  |
| 21. --iShares DJ US Industrial Sector - IYJ (Y)                  |  |  |  |  |      |          |   |   |  |
| 22. --Barclays Banks PLC IPath DJ UBS AGRI Total Return -JJA (Y) |  |  |  |  |      |          |   |   |  |
| 23. --Barclays Banks PLC IPath DJ UBS SOFTS Total Ret- JJS (Y)   |  |  |  |  |      |          |   |   |  |
| 24. --Market Vectors Coal ETF - KOL (Y)                          |  |  |  |  |      |          |   |   |  |
| 25. --Metro West FDS High Yield FD - MWHYX (Y)                   |  |  |  |  |      |          |   |   |  |
| 26. --ProShares Short MidCap 400 - MYY (Y)                       |  |  |  |  |      |          |   |   |  |
| 27. --Columbia MGMT CONV SEC - PACIX (Y)                         |  |  |  |  |      |          |   |   |  |
| 28. --PIMCO GNMA A - PAGNX (Y)                                   |  |  |  |  |      |          |   |   |  |
| 29. --PIMCO Real Return BD FD Admin - PARRX (Y)                  |  |  |  |  |      |          |   |   |  |
| 30. --PIMCO Funds Commodity/Real Return - Strat A - PCRAX (Y)    |  |  |  |  |      |          |   |   |  |
| 31. --PIMCO FDS Real Est Real Return Strat FD - PETAX            |  |  |  |  | Sold | 01/25/12 | K |   |  |
| 32. --Powershares Pharmaceuticals Port - PJP                     |  |  |  |  | Sold | 01/24/12 | J | A |  |
| 33. --                                                           |  |  |  |  | Buy  | 06/29/12 | K |   |  |
| 34. --                                                           |  |  |  |  | Sold | 8/1/12   | K | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. --Powershares ETF - PKOL (Y)                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 36. --Price T Rowe Health Sciences Com - PRHSX (Y)                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 37. --Powershares S&P Small Cap Energy Port - PSCE (Y)                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. --Powershares Semiconductors Port - PSI (Y)                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 39. --SPDR S&P Russia ETF - RBL (Y)                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 40. --RYDEX ETF - RSP (Y)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 41. --Proshares Short Russell 2000 - RWM (Y)                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 42. --SPDR DOW Jones REIT EFT - RWR                                                                                       |                                         |                                               | K                                               | T                                         | Buy                                             | 04/26/12                | K                               |                                |                                                                   |
| 43. --                                                                                                                    |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 05/03/12                | K                               |                                |                                                                   |
| 44. --                                                                                                                    |                                         |                                               |                                                 |                                           | Sold (part)                                     | 05/15/12                | K                               |                                |                                                                   |
| 45. --                                                                                                                    |                                         |                                               |                                                 |                                           | Sold                                            | 05/21/12                | K                               |                                |                                                                   |
| 46. --LEGG Mason Western Asset Strat Income - SDSAX (Y)                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 47. --Proshares Short Financials ETF - SEF (Y)                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 48. --RYDEX/SGI FDS High Yield - SIHAX                                                                                    | A                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 1/30/12                 | L                               |                                |                                                                   |
| 49. --                                                                                                                    |                                         |                                               |                                                 |                                           | Sold                                            | 2/29/12                 | K                               | A                              |                                                                   |
| 50. --Pioneer Funds High Yield FD CL A - TAHYX (Y)                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 51. --iShares MSCI Thailand Index Fund - THD (Y)                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 52. --Touchstone FDS High Yield CL A -<br>THYAX                                                                             | A                                       | Dividend                                      |                                                 |                                           | Distributed                                     | 01/31/12                | L                               |                                |                                                                   |
| 53. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 2/29/12                 | K                               | A                              |                                                                   |
| 54. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 5/3/12                  | K                               | B                              |                                                                   |
| 55. --DWS INSTL FDS Global Inflation Plus F<br>- TIPAX NAME CHANGE                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 56. --DWS Global Inflation C - TIPAX                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 01/18/12                | K                               | A                              |                                                                   |
| 57. --iShares MSCI Turkey Index Fund - TUR<br>(Y)                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 58. --USAA MUT FD INC High Yield OPPS -<br>USHYX                                                                            |                                         | None                                          | J                                               | T                                         | Buy                                             | 03/06/12                | J                               |                                |                                                                   |
| 59. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 6/4/12                  | J                               |                                |                                                                   |
| 60. --Vanguard Info Tech Index - VGT                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 01/30/12                | K                               | B                              |                                                                   |
| 61. --AMEX SPDR Energy Select Index - XLE<br>(Y)                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 62. --AMEX SPDR Utilities Select Index - XLU                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/25/12                | J                               | A                              |                                                                   |
| 63. --                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 5/31/12                 | K                               |                                |                                                                   |
| 64. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 8/16/12                 | K                               | B                              |                                                                   |
| 65. --SPDR S&P Retail ETF - XRT                                                                                             |                                         |                                               |                                                 |                                           | Sold                                            | 01/24/12                | K                               | A                              |                                                                   |
| 66. --BNP Paribas SA VR - (Y)                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. --Morgan Stanley Dean Witter Range<br>ACRUL -                                                                           | C                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/10/12                | K                               |                                |                                                                   |
| 68. --Calvert FD High Yield BD - CYBAX (Y)                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haines, Randolph J.

Date of Report

05/13/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. --Doubleline Total Return Bond - DLTNX (Y)                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 70. --Metro West FDS High Yield FD CL M - MWHYX (Y)                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71. --Columbia Mgmt Conv Sec - PACIX (Y)                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72. --PIMCO GNMA - PAGNX (Y)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73. --PIMCO Real Return BD FD Admin - PARRX (Y)                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. --LEGG Mason Western Asset Strategic Income - SDSAX (Y)                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. Consumer Staples Select Sec - XLP                                                                                       |                                         | None                                          | K                                               | T                                         | Buy                                             | 08/17/12                | K                               |                                |                                                                   |
| 76. --                                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 9/4/12                  | K                               |                                |                                                                   |
| 77. iShares Barclays 20+ Yr Tre - TLT                                                                                       |                                         | None                                          | K                                               | T                                         | Buy                                             | 8/2/12                  | K                               |                                |                                                                   |
| 78. --                                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 8/15/12                 | K                               |                                |                                                                   |
| 79. iShares Barclays TIPS Bond - TIP                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 5/31/12                 | K                               |                                |                                                                   |
| 80. --                                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 6/28/12                 | K                               |                                |                                                                   |
| 81. iShares JPMorgan USD Emergi - EMB                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 4/26/12                 | K                               |                                |                                                                   |
| 82. --                                                                                                                      |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 5/3/12                  | L                               |                                |                                                                   |
| 83. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold (part)                                     | 5/18/12                 | K                               |                                |                                                                   |
| 84. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 5/21/12                 | K                               |                                |                                                                   |
| 85. Mainstay Fds High Yield Cor - MHCAX                                                                                     | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 7/11/12                 | L                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haines, Randolph J.

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 11/20/12                | L                               | A                              |                                                                   |
| 87. Mainstay Marketfield Fund - MFLDX.                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 1/9/12                  | K                               |                                |                                                                   |
| 88. --                                                                                                                      |                                         |                                               | L                                               | T                                         | Buy<br>(add'l)                                  | 1/30/12                 | L                               |                                |                                                                   |
| 89. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 5/3/12                  | L                               | A                              |                                                                   |
| 90. Mainstay Marketfield Fund - MFLDX                                                                                       |                                         | None                                          |                                                 |                                           | Merged<br>(with line 87)                        | 10/8/12                 | L                               |                                |                                                                   |
| 91. Nicholas High Income FD - NNHIX                                                                                         | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 1/19/12                 | L                               |                                |                                                                   |
| 92. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/26/12                | K                               | A                              |                                                                   |
| 93. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 5/3/12                  | K                               | A                              |                                                                   |
| 94. Permanent Perm Portfolio - PRPFX                                                                                        |                                         | None                                          | L                                               | T                                         | Buy                                             | 1/9/12                  | L                               |                                |                                                                   |
| 95. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 5/3/12                  | L                               | A                              |                                                                   |
| 96. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 11/8/12                 | L                               | C                              |                                                                   |
| 97. PIMCO Fds Pac Invnt Mgmt Ser - PASAX                                                                                    | C                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 2/8/12                  | K                               |                                |                                                                   |
| 98. --                                                                                                                      |                                         |                                               | L                                               | T                                         | Buy<br>(add'l)                                  | 2/29/12                 | K                               |                                |                                                                   |
| 99. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 5/3/12                  | L                               |                                |                                                                   |
| 100. Pioneer High Income Municip - PIMAX                                                                                    | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 11/21/12                | L                               |                                |                                                                   |
| 101. --                                                                                                                     |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 12/21/12                | K                               |                                |                                                                   |
| 102. Powershares DB Commodity In - DBC                                                                                      |                                         | None                                          | K                                               | T                                         | Buy                                             | 9/5/12                  | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

Haines, Randolph J.

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 9/28/12                 | K                               |                                |                                                                   |
| 104. Powershares QQQ Nasdaq 100 - QQQ                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 2/29/12                 | K                               |                                |                                                                   |
| 105. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 4/26/12                 | K                               | A                              |                                                                   |
| 106. --                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 8/16/12                 | K                               |                                |                                                                   |
| 107. --                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy<br>(add'l)                                  | 8/17/12                 | K                               |                                |                                                                   |
| 108. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 9/28/12                 | K                               | A                              |                                                                   |
| 109. Sierra Core Retirement Fund - SIRRX                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 2/24/12                 | J                               |                                |                                                                   |
| 110. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 3/5/12                  | J                               |                                |                                                                   |
| 111. --                                                                                                                     |                                         |                                               |                                                 |                                           | Buy                                             | 6/4/12                  | L                               |                                |                                                                   |
| 112. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 7/9/12                  | L                               |                                |                                                                   |
| 113. Spdr S&P 500 ETF TR - SPY                                                                                              |                                         | None                                          | K                                               | T                                         | Buy                                             | 10/04/12                | K                               |                                |                                                                   |
| 114. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 11/15/12                | K                               |                                |                                                                   |
| 115. Babson Capital Global Short Duration High<br>Yield Fund - BGH                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/25/12                | K                               |                                |                                                                   |
| 116. JPMorgan Intrepid Capital - ICMBX                                                                                      | C                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/30/12                | L                               |                                |                                                                   |
| 117. Pimco Income Fund - PIMIX                                                                                              | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 11/09/12                | L                               |                                |                                                                   |
| 118. Pimco Stocksplus AR Short S - PSSAX                                                                                    |                                         | None                                          | K                                               | T                                         | Buy                                             | 11/16/12                | K                               |                                |                                                                   |
| 119. Pim Stocksplus AR Short Str - PSSDX                                                                                    |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/24/12                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Haines, Randolph J.

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. --IRA 2                                                                                                                |                                         |                                               | K                                               | T                                         | Open                                            | 01/01/12                | K                               |                                |                                                                   |
| 121. Calvert FD High Yield BD - CYBAX - (Y)                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 122. Doublin Total Return Bond - DLTNX - (Y)                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 123. TDAM US Govt Money Mkt - IDA12 - (Y)                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 124. Metro West FDS High Yield FD CL M - MWHYX - (Y)                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 125. Columbia Mgmt Conv. Sec - PACIX - (Y)                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 126. Pimco GNMA - PAGNX - (Y)                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 127. Pimco Real Return BD FD Admin - PARRX - (Y)                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 128. LEGG Mason Western Asset Strategic Income - SDSAX - (Y)                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 129. Rydex/Securty Income FD High Yield - SIHAX                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 2/21/12                 | J                               |                                |                                                                   |
| 130. Pioneer Funds High Yield FD CL A - TAHYX (Y)                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 131. Touchstone Invst TR - THYAX                                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 5/3/12                  | J                               |                                |                                                                   |
| 132. DWS Global Inflation Fund C - TIPAX                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 1/18/12                 | J                               |                                |                                                                   |
| 133. USAA Mut Fd Inc High Yield Opps- USHYX - (Y)                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 134. Mainstay Fds High Yield Cor - MHCAX                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 7/11/12                 | J                               |                                |                                                                   |
| 135. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 11/20/12                | J                               |                                |                                                                   |
| 136. Nicholas High Income FD Inc - NNHIX                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 1/19/12                 | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 2/21/12                 | J                               |                                |                                                                   |
| 138. Permanent Perm Portfolio - PRPFX                                                                                       |                                         | None                                          | J                                               | T                                         | Buy                                             | 1/9/12                  | J                               |                                |                                                                   |
| 139. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 11/8/12                 | J                               |                                |                                                                   |
| 140. Pioneer High Income Municip - PIMAX                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/21/12                | J                               |                                |                                                                   |
| 141. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 12/21/12                | J                               |                                |                                                                   |
| 142. Sierra Core Retirement Fund - SIRRX                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 6/4/12                  | J                               |                                |                                                                   |
| 143. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 7/9/12                  | J                               |                                |                                                                   |
| 144. Touchstone Invt TR - THYAX                                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 5/3/12                  | J                               |                                |                                                                   |
| 145. JPMorgan Intrepid Capital - ICMBX                                                                                      |                                         | None                                          | J                                               | T                                         | Buy                                             | 01/17/12                | J                               |                                |                                                                   |
| 146. Marketfield Fund - MFLDX                                                                                               |                                         | None                                          | J                                               | T                                         | Buy                                             | 1/9/12                  | J                               |                                |                                                                   |
| 147. --                                                                                                                     |                                         |                                               |                                                 |                                           | Merged<br>(with line 146)                       | 10/8/12                 | J                               |                                |                                                                   |
| 148. Pimco All Asset A - PASAX                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 2/24/12                 | J                               |                                |                                                                   |
| 149. Pimco Income Fund - PIMIX                                                                                              |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/9/12                 | J                               |                                |                                                                   |
| 150. Pinco Stockplus Tr Short Strategy D - PSSDX                                                                            |                                         | None                                          | J                                               | T                                         | Buy                                             | 12/24/12                | J                               |                                |                                                                   |
| 151. Pioneer High Income Municipal Fund A - PIMAX                                                                           |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/21/12                | J                               |                                |                                                                   |
| 152. --                                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/21/12                | J                               |                                |                                                                   |
| 153. Wells Fargo Bank, N.A.                                                                                                 | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haines, Randolph J.

Date of Report

05/13/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haines, Randolph J.

Date of Report

05/13/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Randolph J. Haines**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544