

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Board Member	Oklahoma City University School of Law, Oklahoma City, OK
2. Board Member	Crested Butte Music Festival, Crested Butte, CO
3. Board Member	Tenth Circuit Historical Society, Denver, CO
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	self-employed - lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Univ. of Calif. - Irvine Law School	3/22/2012 - 3/24/2012	Irvine, CA	Judge Moot Court Competition	Air fare, lodging, food, miscellaneous travel expenses
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Oklahoma, Tulsa, OK	Mortgage on Rental Property, Tulsa OK (Part VII, Line 3)	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts	A	Int./Div.	K	T					
2. F&M Bank Accounts	A	Interest	K	T					
3. Rental Property, Tulsa, OK (\$630,000, 5/1/2001) (X)	E	Rent	O	R					
4. Rental Property, Mt. Crested Butte, CO (\$245,000, 9/1/92)(X)	C	Rent	O	R					
5. Lot, Mt. Crested Butte, CO (\$81,000, 8/10/94) (X)		None	L	R					
6. Brokerage #5 (H)									
7. -Cathay Bk CA (CD)	A	Interest	L	T	Buy	2/3/12	L		
8. -Cavalan Hill TX Fr MM -Slet (cash equivalent)	A	Int./Div.	J	T					
9. -Community West Bk CA (CD)	D	Interest	M	T					
10. -Four Oaks B&t NC (CD)	D	Interest	M	T					
11. -GE Capital Fin'l UT (CD)	D	Interest	M	T					
12. -North Star Bk FL (CD)	A	Interest	L	T	Buy	11/13/12	L		
13. -Tenn Commerce Bk TN (CD)	A	Interest			Sold	2/3/12	L		
14. -1st Rep Bk BofA CA (CD)	C	Interest	L	T					
15. -1st Rep Bk BofA CA (CD)	C	Interest	L	T					
16. IRA #5 (H)									
17. -UBS Bank USA Account	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

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18. Invesco Global Real Estate Fd (ASRAX) (mutual fund)	A	Dividend	K	T	Buy	08/28/12	K		
19. Eagle Rock Energy Partners (EROX) (common)		None			Buy	11/07/12	J		
20.					Sold	12/28/12	J		
21. Energy Transfer Partners (ETP) (common)		None			Buy	11/07/12	J		
22.					Sold	12/28/12	J	A	
23. PAA Nat. Gas Storage LP MLP (PNG) (common)		None			Buy	11/07/12	J		
24.					Sold	12/28/12	J		
25. Regency Energy Ptnrs LP Units REPSTG (RGP) (common)		None			Buy	11/07/12	J		
26.					Sold	12/28/12	J		
27. iShares Trust S&P US PFD Stock Index Fund (PFF) (ETF)	A	Dividend	K	T	Buy	10/04/12	K		
28. SPDR Barclays Cap High Yd (JNK) (ETF)	A	Dividend	K	T	Buy	08/28/12	K		
29. Vanguard Short Term Corp BD (BND) (ETF)	A	Dividend	K	T	Buy	8/28/12	K		
30. Double Line Total Return Fund N (DLTNX) (mutual fund)	A	Dividend	K	T	Buy	7/25/12	K		
31. Loomis Sayles Investment Grade Bond (LIGRX) (mutual fund)	B	Dividend	K	T	Buy	7/25/12	K		
32. TCW Emerging Markets Income Fd CI N (TGINX) (mutual fund)	B	Dividend	K	T	Buy	7/25/12	K		
33.					Buy (add'l)	8/28/12	J		
34. Virtus Multi-Sector Short Term Bond (NARAX) (mutual fund)	A	Dividend	K	T	Buy	7/25/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 19

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35. Kimco Realty Corp 6.900% (KIM.PRH) (preferred)		None	J	T	Buy	10/4/12	J		
36. PS Business Parks Inc Cuml Ser S 6.450% (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
37. Public Storage 6.500% Pref CLBL Par Val(PSA.PR) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
38. Vornado Rlty TR Cuml Ser J Pref 6.875 (VNO.PRJ) (preferred)		None	J	T	Buy	10/4/12	J		
39. Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
40. IRA #6 (H)									
41. -UBS Bank USA Accounts	A	Interest	N	T					
42. -UBS Select Treasury Institutional Fund (SETXX) (MM)	A	Dividend			Sold (part)	5/1/12	N		
43.					Sold (part)	5/30/12	J		
44.					Sold (part)	7/26/12	N		
45.					Sold	8/28/12	O		
46. Invesco Global Real Estate Income Fd (ASRAX) (mutual fund)	B	Dividend	L	T	Buy	8/28/12	L		
47. Eagle Rock Energy Partners (EROC) (common)		None			Buy	11/7/12	J		
48.					Sold	12/28/12	J		
49. Energy Transfer Partners LP MLP (ETP) (common)		None			Buy	11/7/12	J		
50.					Sold	12/28/12	J	A	
51. PAA Nat Gas Storage LP MLP (PNG) (common)		None			Buy	11/7/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

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52.					Sold	12/28/12	J		
53. Regency Energy Partners LP Units REPSTG LTD (RGP (common))		None			Buy	11/7/12	J		
54.					Sold	12/28/12	J		
55. iShares Trust S&P US Pfd Stock Index Fund (PFF) (ETF)	A	Dividend	L	T	Buy	10/4/12	L		
56. SPDR Barclays Capital High Yield (JNK) (ETF)	B	Dividend	L	T	Buy	8/28/12	L		
57. Vanguard Short Term Corporate Bond (BND) (ETF)	A	Dividend	L	T	Buy	8/28/12	L		
58. Double Line Total Return Fund (DLTNX) (mutual fund)	B	Dividend	M	T	Buy	7/25/12	M		
59. Loomis Sayles Investment Grade Bond (LIGRX) (mutual fund)	C	Dividend	M	T	Buy	7/25/12	M		
60. TCW Emerging Markets Income Fd Class N (TGINX) (mutual fund)	C	Dividend	L	T	Buy	7/25/12	L		
61.					Buy (add'l)	8/28/12	K		
62. Virtus Multi-Sector Short Term Bond (NARAX) (mutual fund)	B	Dividend	M	T	Buy	7/25/12	M		
63. Kimco Realty Corp 6.900% (KIM.PRH) (preferred)		None	J	T	Buy	10/4/12	J		
64. PS Business Parks Inc Cuml Ser S 6.450% (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
65. Public Storage 6.5% Pref CLBL Par Value(PSA.PR) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
66. Vornado Rlty TR Cuml Ser J 6.875% (VNO.PRJ) (preferred)		None	J	T	Buy	10/4/12	J		
67. Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
68. Brokerage #8 (H)									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

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69. -BOK Financial Corp. New (BOKF) (common)					Merged (with line 73)	5/14/12	L		
70. -Lincoln Nat'l Choice Plus Assur L Share		None			Merged (with line 76)	5/14/12	O		
71. Brokerage #9 (H)									
72. -UBS Bank USA Account	A	Interest	K	T					
73. -BOK Financial Corp. New (BOKF) (common)	C	Dividend	O	T					
74. -Jackson Nat'l Life Ins Co Perspective L Series Class L		None	N	T	Buy	5/30/12	M		
75. -Lincoln Nat'l Choice Plus Assurance L Share		None	O	T					
76. -Lincoln Nat'l Choice Plus Assur L Share		None	O	T					
77. -Ohio Nat'l Oncore Lite II Class L		None	N	T	Buy	5/30/12	M		
78. (H) Brokerage #10									
79. -UBS Bank USA Account	A	Interest	M	T	Open	4/10/12	J		
80. -RMA Money Mkt. Portfolio Account	A	Interest			Closed	6/21/12	L		
81. -Blackrock Strategic Income A (BASIX) (mutual fund)	B	Dividend	L	T	Sold (part)	7/25/12	J		
82. -Consumers Staples Sector SPDR Trust (XLP) (ETF)	A	Dividend	K	T	Sold (part)	7/5/12	J	A	
83. -Health Care Select Sector SPDR Fund (XLV) (ETF)	A	Dividend	K	T	Buy	1/6/12	K		
84.					Sold (part)	7/25/12	J	A	
85. -iShares Trust S&P Growth Index Fund (IVW) (ETF)	A	Dividend	L	T	Buy	1/6/2012	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

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86.					Sold (part)	7/25/12	J	A	
87. -Vanguard Total Stock Market (VTI) (ETF)	B	Dividend	M	T	Buy	2/6/12	K		
88.					Buy (add'l)	7/25/12	L		
89. -Vanguard REIT (VNQ) (ETF)	B	Dividend	K	T	Buy	1/6/12	K		
90.					Sold (part)	7/25/12	J	A	
91. -SPDR Barclays Capital High Yield (JNK) (ETF)	C	Dividend	L	T	Buy	2/6/12	K		
92. -Double Line Total Return Fund N (DLTNX) (mutual-fund)	C	Dividend	L	T	Sold (part)	1/9/12	J	A	
93.					Sold (part)	7/25/12	J	A	
94. -Franklin Templeton Global Bd Fd Cl A (TPINX)	C	Dividend	L	T	Sold (part)	7/25/12	J		
95. -TCW Emerging Markets Income Fund (TGINX) (mutual fund)	B	Dividend	K	T	Buy	7/25/12	K		
96. -Invesco Balanced-Risk Allocation Fund (ABRZX) (mutal-fund)	D	Dividend	M	T	Sold (part)	1/6/12	J		
97.					Sold (part)	7/25/12	J	A	
98. -Powershares QQQ Trust (QQQ) (ETF)	A	Dividend			Buy	7/25/12	K		
99.					Sold	11/7/12	L	B	
100. -Invesco Floating Rate Fund (AFRAX) (mutual-fund)	B	Dividend	L	T	Sold (part)	1/6/12	J		
101.					Sold (part)	7/25/12	J		
102. -iShares Barclays Tips Bond Fund (TIP) (ETF)	A	Dividend	K	T	Sold (part)	1/6/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -iShares IBoxx Invst Grade Corp Bond Fund (LQD) (ETF)	A	Dividend	K	T	Sold (part)	7/25/12	J	A	
104. -SPDR Gold Trust (GLD) (ETF)		None			Sold	1/5/12	K	B	
105. -SPDR S&P Dividend ETF (SDY) (ETF)	B	Dividend	M	T	Buy (add'l)	7/25/12	J		
106. -Utilities Sector SPDR Trust (XLU) (ETF)	A	Dividend			Sold (part)	7/25/12	J	A	
107.					Sold	12/5/12	K	A	
108. -Vanguard FTSE Emerging Markets (VWO) (ETF)					Buy	2/6/12	K		
109.					Sold	6/8/12	K		
110. -Vanguard Bd Index Fd Inc Total Bond Mkt (BND) (ETF)	B	Dividend	K	T					
111. -Virtus Premium Alpha Sector Fund A (VAPAX) (mutual-fund)	A	Dividend			Sold (part)	1/6/12	J	A	
112.					Sold (part)	2/6/12	K	B	
113.					Sold	7/5/12	L	C	
114. Brokerage #11 (H)									
115. -UBS RMA Money Mkt Portfolio Account (X)	A	Interest	K	T					
116. IRA #7 (H)									
117. -UBS Bank USA Account	A	Interest	M	T	Open	5/4/12	N		
118. -UBS Liquid Assets Fund Account	A	Interest			Open	5/7/12	M		
119.					Closed	7/24/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -AFLAC Inc (AFL) (common)	A	Dividend	K	T	Buy	6/7/12	J		
121.					Buy (add'l)	7/19/12	J		
122.					Sold (part)	8/13/12	J	A	
123.					Sold	11/19/12	J	A	
124.					Buy	12/18/12	K		
125. -Air Prod & Chemical Inc (APD) (common)	A	Dividend	K	T	Buy	6/7/12	J		
126.					Buy (add'l)	7/19/12	J		
127. -Astrazeneca PLC Spon ADR (AZN) (common)	A	Dividend	K	T	Buy	6/7/12	J		
128.					Buy (add'l)	7/19/12	J		
129.					Buy (add'l)	12/18/12	J		
130. -Bank of Nova Scotia Canada CAD (BNS) (common)	A	Dividend	J	T	Buy	6/7/12	J		
131.					Buy (add'l)	7/19/12	J		
132.					Sold (part)	12/24/12	J	A	
133. -Boeing Company De (BA) (common)	A	Dividend	K	T	Buy	6/7/12	J		
134.					Buy (add'l)	7/19/12	J		
135. -British Amer Tobacco Plc GB Spon ADR (BTI) (common)		None			Buy	6/7/12	J		
136.					Sold	7/6/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Clorox Co (CLX) (common)		None	J	T	Buy	11/13/12	J		
138. -Coca Cola Co Com (KO) (common)	A	Dividend	J	T	Buy	6/7/12	J		
139.					Buy (add'l)	7/19/12	J		
140. -Colgate Palmolive Co. (CL) (common)	A	Dividend	K	T	Buy	6/7/12	J		
141.					Buy (add'l)	7/19/12	J		
142.					Sold (part)	10/19/12	J	A	
143.					Buy (add'l)	12/18/12	J		
144. -CSX Corporation (CSX) (common)	A	Dividend	K	T	Buy	6/15/12	J		
145.					Buy (add'l)	7/19/12	J		
146.					Buy (add'l)	12/18/12	J		
147. -Diageo Plc New GB Spon ADR (DEO) (common)	A	Dividend	K	T	Buy	6/7/12	J		
148.					Buy (add'l)	7/19/12	J		
149.					Sold (part)	10/22/12	J	B	
150.					Buy (add'l)	12/18/12	J		
151. -Emerson Electric Co. (EMR) (common)	A	Dividend			Buy	6/7/12	J		
152.					Buy (add'l)	7/19/12	J		
153.					Sold	12/24/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Exxon Mobil Corp (XOM) (common)	A	Dividend			Buy	6/7/12	J		
155.					Buy (add'l)	7/19/12	J		
156.					Sold (part)	10/18/12	J	A	
157.					Sold	11/8/12	J	A	
158. -Genl Mills Inc (GIS) (common)	A	Dividend	K	T	Buy	6/7/12	J		
159.					Buy (add'l)	7/19/12	J		
160.					Buy (add'l)	12/18/12	J		
161. -Glaxo SmithKline PIC ADR (GSK) (common)		None			Buy	8/20/12	J		
162.					Sold	10/1/12	J	A	
163. -Home Depot Inc.	A	Dividend			Buy	6/7/12	J		
164.					Buy (add'l)	7/19/12	J		
165.					Sold (part)	11/19/12	J	B	
166.					Sold	11/19/12	J	A	
167. -Illinois Tool Works Inc (ITW) (common)	A	Dividend	K	T	Buy	6/7/12	J		
168.					Buy (add'l)	7/19/12	J		
169.					Buy (add'l)	8/20/12	J		
170.					Buy (add'l)	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/14/12	J	A	
172. -Intel Corp (INTC) (common)	A	Dividend	J	T	Buy	6/7/12	J		
173.					Buy (add'l)	7/19/12	J		
174.					Buy (add'l)	8/20/12	J		
175. -Johnson & Johnson Com (JNJ) (common)	A	Dividend	K	T	Buy	6/7/12	J		
176.					Buy (add'l)	7/19/12	J		
177.					Buy (add'l)	12/18/12	J		
178. -Medtronic Inc (MDT) (common)	A	Dividend	K	T	Buy	6/7/12	J		
179.					Buy (add'l)	7/19/12	J		
180.					Sold (part)	11/19/12	J	A	
181.					Buy (add'l)	12/18/12	J		
182. -Nextera Energy Inc Com (NEE) (common)	A	Dividend	J	T	Buy	6/7/12	J		
183.					Buy (add'l)	7/19/12	J		
184. -Novartis AG Spon ADR (NVS) (common)		None	J	T	Buy	6/7/12	J		
185.					Buy (add'l)	7/19/12	J		
186.					Sold (part)	10/22/12	J	A	
187.					Buy (add'l)	12/18/12	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Occidental Petroleum Crp (OXY) (common)	A	Dividend	K	T	Buy	6/7/12	J		
189.					Buy (add'l)	7/19/12	J		
190. -Raytheon Co. (RTN) (common)	A	Dividend	K	T	Buy	6/7/12	J		
191.					Buy (add'l)	7/19/12	J		
192. -Sanofi Spon ADR (SNY) (common)		None			Buy	6/7/12	J		
193.					Buy (add'l)	7/19/12	J		
194.					Sold	12/24/12	K	B	
195. -Toronto Dominion Bk New Canada CAD (TD) (common)	A	Dividend	K	T	Buy	6/7/12	J		
196.					Buy (add'l)	7/19/12	J		
197. -Total SA France Spon ADR (TOT) (common)	A	Dividend	K	T	Buy	7/9/12	J		
198.					Buy (add'l)	7/19/12	J		
199. -United Parcel Service Inc CL B (UPS) (common)	A	Dividend	J	T	Buy	6/7/12	J		
200.					Buy (add'l)	7/19/12	J		
201. -Untd Technologies Corp (UTX) (common)	A	Dividend	K	T	Buy	6/7/12	J		
202.					Buy (add'l)	7/19/12	J		
203. -VF Corp	A	Dividend	K	T	Buy	11/13/12	K		
204. -Vodafone Group PLC New Spon ADR (VOD) (common)		None	K	T	Buy	6/7/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

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Date of Report

05/13/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	7/19/12	J		
206.					Buy (add'l)	8/20/12	J		
207.					Buy (add'l)	12/18/12	J		
208. -WPP PLC Spon ADR De (WPPGY) (common)	A	Dividend			Buy	6/7/12	J		
209.					Buy (add'l)	7/19/12	J		
210.					Sold (part)	8/20/12	J	A	
211.					Sold	11/13/12	J		
212. Brokerage #12 (H)									
213. -UBS Bank Deposit Account	A	Interest	M	T	Open	5/2/12	N		
214. -RMA Govt Portfolio Account	A	Interest			Open	5/2/12	P1		
215.					Closed	10/23/12	J		
216. -Invesco Global Real Estate Incomr Fd (ASRAX) (mutual fund)	B	Dividend	L	T	Buy	8/28/12	L		
217. -Eagle Rock Energy Partners Ltd (EROC) (common)		None	J	T	Buy	11/7/12	J		
218. -Energy Transfer Partners LP (ETP) (comon)		None	K	T	Buy	11/7/12	J		
219. -PAA Nat Gas Storage LP MLP (PNG) (common)		None	J	T	Buy	11/7/12	J		
220. -Regency Energy Partners LP Units REPSTG Ltd (RGO) (common)		None	J	T	Buy	11/7/12	J		
221. -JP Morgan Chase & Co 5.250% (corp bond)	A	Interest	K	T	Buy	8/28/12	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Goldman Sachs Grp Inc 3.625% (corp bond)		None	K	T	Buy	8/28/12	K		
223. -Genl Elec Co. 5.250% (corp bond)	A	Interest	K	T	Buy	8/28/12	K		
224. -Petrobras Intl Fin 5.875% (corp bond)	A	Interest	K	T	Buy	8/28/12	K		
225. -iShares Trust S&P U S PFD Stock Index (PFF) (ETF)	A	Dividend	L	T	Buy	10/4/12	L		
226. -SPDR Barclays Capital High Yield (JNK) (ETF)	B	Dividend	L	T	Buy	8/28/12	L		
227. -Vanguard Short Term Corp BD (BND) (ETF)	A	Dividend	L	T	Buy	8/28/12	L		
228. -Double Line Total Return Fund N (DLTNX) (mutual fund)	C	Dividend	M	T	Buy	7/27/12	M		
229. -Loomis Sayles Investment Grade Bd (LIGRX) (mutual fund)	D	Dividend	M	T	Buy	7/26/12	M		
230. -TCW Emerging Markets Income Fund CL N (TGIX) (mutual fund)	C	Dividend	M	T	Buy	7/26/12	L		
231.					Buy (add'l)	8/28/12	K		
232. -Virtus Multi-Sector Short Term Bd (NARAX) (mutual fund)	B	Dividend	M	T	Buy	7/26/12	M		
233. -Kimco Realty Corp 6.9% (KIM.PRH) (preferred)		None	J	T	Buy	10/4/12	J		
234. -PS Business Parks Inc Cuml Ser S 6.450% (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
235. -Public Storage 6.5% Pref CLBL Par Val (PSA.PR) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		
236. -Vornado Rlty Tr Cuml Ser J 6.875% (VNO.PRJ) (preferred)		None	J	T	Buy	10/4/12	J		
237. -Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Dividend	J	T	Buy	10/4/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 4 -- Rental Property, Mt. Crested Butte, CO, [REDACTED] and therefore not previously reported

Part VII, Line 5 -- Lot, Mt. Crested Butte, CO, is adjacent to rental property reported on Line 4 and [REDACTED] therefore not previously reportable. However, since the lot does not increase the rental value of that property, it is now considered a separate investment property and is therefore reportable.

Part VII, Lines 6, 16, 40, 68, 71, 78, and 114 -- The IRA and Brokerage Accounts have retained the same heading numbers as in the 2011 report. IRA and Brokerage Account headers that were listed in 2011 but not in this report were closed in 2011.

Part VII, Lines 116 and 212 -- These IRA and Brokerage Accounts were opened in 2012 and assigned the next sequential number.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHANIE K. SEYMOUR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544