

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	- Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NY Intellectual Property Lawyers Association	3/31/17-4/1/17	New York City	Annual Dinner	Meals, Hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	L	T					
2. Citibank Bank Accounts (Y)									
3. Aberdeen Asia Pac Income (FAX)	B	Int./Div.			Sold	08/25/17	K		
4. Angel Oak Multistrat Income (ANGIX)	A	Int./Div.			Sold	07/19/17	K		
5. Black Rock A Intl Index (MDIIX)		None			Sold	01/03/17	L	B	
6. BlackRock Intl Index Instl (MAIIX)	A	Int./Div.	L	T	Buy	01/03/17	L		
7. Columbia A Small Cap Index (NMSAX)		None			Sold	01/03/17	L	D	
8. Columbia Small Cap Index R5 (CXXRX)	C	Int./Div.	L	T	Buy	01/03/17	K		
9.					Buy (add'l)	12/28/17	J		
10. Consolidated Edison (ED)	A	Dividend	K	T					
11. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
12. DFA Five Year Global Fixed Income (DFGBX)	A	Int./Div.	L	T	Buy	08/25/17	L		
13. DFA Targeted Credit Portfolio (DTCPX)	A	Int./Div.	K	T	Buy	07/19/17	K		
14. Disney (DIS)	A	Dividend	L	T	Donated (part)				
15. DoubleLine I Total Return Bond (DBLTX)	B	Int./Div.			Sold	08/25/17	L		
16. Dreyfus S&P 500 Stock Index R (DSPIX)	D	Int./Div.	N	T	Buy	01/03/17	N		
17.					Buy (add'l)	12/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Dreyfus S&P 500 Index (PEOPX)		None			Sold	01/03/17	N		
19. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
20. Fidelity Equity Income (FEQIX) (Y)									
21. Fidelity Floating Rate High Income (FFRHX)	B	Int./Div.			Sold (part)	09/20/17	K	A	
22.					Sold	10/31/17	K	A	
23. Fidelity Government Money Market (SPAXX)	A	Int./Div.	K	T	Buy	09/22/17	K		
24. Fidelity Inflation Protected Bond Index Premium (FSIYX)	A	Int./Div.	K	T	Buy	12/13/17	K		
25. Fidelity Intermediate Treasury Bond Index Premium (FIBAX)	A	Int./Div.			Buy	11/01/17	K		
26.					Sold	12/13/17	K		
27. Fidelity Retirement Money Market (FRTXX)	A	Int./Div.			Sold (part)	02/16/17	K		
28.					Sold	09/22/17	K		
29. Fidelity Spartan Emerging Markets Index Advantage (FPMAX)	A	Int./Div.	K	T					
30. Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					
31. Franklin High Income A (FHAIX)		None			Sold	01/03/17	K		
32. Franklin High Income Adv (FVHIX)	C	Int./Div.			Buy	01/03/17	K		
33.					Sold	12/08/17	K	C	
34. iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares iBoxx Investment Grade Corp Bond (LQD)	A	Int./Div.			Sold	07/19/17	K	B	
36. iShares Trust Core MSCI Total International Stock ETF (IXUS)		None			Sold	02/16/17	K	B	
37. Johnson & Johnson (JNJ)	B	Int./Div.	L	T	Donated (part)				
38. Lord Abbett Short Duration Income R5 (LDLTX)	A	Int./Div.	K	T	Buy	12/08/17	K		
39. Nuveen Build Amer Bd Fd (NBB)	B	Int./Div.	K	T	Buy (add'l)	07/19/17	K		
40. Nuveen Build America (NBD)	D	Int./Div.	L	T					
41. Nuveen NY Select (NXN)	A	Int./Div.	J	T					
42. Nuveen Select Tax-Free Income (NXQ)	A	Int./Div.	K	T	Buy	03/29/17	K		
43. PIMCO Income Institutional (PIMIX)	C	Int./Div.	L	T	Buy (add'l)	07/19/17	K		
44. PIMCP Invest Grade Corp Bond Instl (PIGIX)	B	Int./Div.			Buy	02/16/17	K		
45.					Sold	12/29/17	K	B	
46. Powershares Build America Bond (BAB)	B	Int./Div.	K	T	Sold (part)	07/19/17	K	A	
47. SPDR Barclays Municipal (TFI)	A	Int./Div.	K	T					
48. SPDR S&P Emerging Markets Small Cap (EWX)	A	Int./Div.	J	T					
49. Stone Ridge Reinsurance Reinsurance Risk Premium (SRRIX)	A	Int./Div.	K	T	Buy	09/20/17	K		
50.					Buy (add'l)	12/14/17	J		
51. Vanguard Global Ex US Real Estate Index (VNQI)	A	Int./Div.	K	T	Buy	08/25/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard GNMA Admiral (VFIJX)	B	Int./Div.	K	T					
53. Vanguard High Yield Corporate Admiral (VWEAX)	B	Int./Div.	K	T					
54. Zimmer Biomet Holdings Inc (ZBH)	A	Dividend	K	T					
55. TRUST #1 (H)									
56. -Aberdeen Asia Pac Income (FAX)	C	Int./Div.	K	T					
57. DFA Emerging Market Value (DFEVX)	B	Int./Div.	L	T					
58. DFA NY Municipal Income (DNYMX)	A	Int./Div.	K	T	Buy	08/25/17	K		
59. Fidelity Conservative Income Muni Bd I (FMNDX) (Formerly FCRDX)	A	Int./Div.	L	T	Buy	05/03/17	K		
60.					Buy (add'l)	07/26/17	K		
61. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
62. -Fidelity Spartan 500 Index Advantage (FUSVX)	C	Int./Div.	M	T					
63. -Fidelity Spartan Extended Market Index Adv (FSEVX)	B	Int./Div.	K	T					
64. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
65. -Fidelity Spartan Total Market Index - Adv (FSTVX)	D	Int./Div.	N	T					
66. Fidelity Treasury Fund (FZFXX)	A	Int./Div.	M	T					
67. iShares Barclays 7-10 Yr Treasury Bond (IEF) (IEF)	A	Int./Div.	L	T	Buy (add'l)	12/08/17	K		
68. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	M	T	Sold (part)	10/11/17	K	D	

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69.					Sold (part)	11/08/17	K	D	
70.					Sold (part)	12/08/17	J	C	
71. -iShares MSCI Emerging Markets Index (EEM)	C	Int./Div.	M	T					
72. -iShares Russell 1000 Index (IWB)	A	Int./Div.	L	T					
73. -iShares S&P 500 Index (IVV)	B	Int./Div.	M	T					
74. -iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	K	T	Sold (part)	11/08/17	K	E	
75. -Nuveen Credit Strategies Income Fund (JQC)	A	Int./Div.			Sold	03/07/17	K	D	
76. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	K	T					
77. -Nuveen NY Municipal Dividend Advantage (NAN)	C	Int./Div.	L	T					
78. -Payden High Income (PYHRX)	B	Int./Div.			Sold	07/26/17	L	C	
79. -S&P Dep Receipts (SPY)	A	Int./Div.	L	T					
80. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
81. -SPDR Dow Jones Industrial Average (DIA)	B	Int./Div.	L	T					
82. -TCW Total Return Bond I (TGLMX)	A	Int./Div.			Sold	08/25/17	L	A	
83. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
84. -Vanguard Short Term Corp Bond (VCSH)	C	Int./Div.	M	T					
85. Weyerhaeuser (WY) (Formerly Plum Creek Timber Co (PCL))	A	Int./Div.			Sold	12/08/17	J		

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86.									
87.									
88.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On line 59, FMNDX was initially purchased as FCRDX on 5/3/17. This was then converted to a different share class of the same fund on 7/10/17. The new ticker for this share class is FMNDX.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544