

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeown, Mary M.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 11/16/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. Court of Appeals 401 West A Street Suite 2000 San Diego CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	International Association of Women Judges
2.	Board of Visitors	Georgetown University Law Center
3.	Board of Directors	Association of Business Trial Lawyers - San Diego
4.	Board of Directors	Federal Judges Association
5.	Board of Directors	La Jolla Music Society

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spg/Sum 11	Univ of San Diego Law School - Teaching	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	University of California San Diego - Salary
2. 2011	Self-employed Consultant
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of American Law Schools	1/6/11-1/7/11	San Francisco, CA	Speech	Transportation, Meals
2. The American Law Institute	1/14/11-1/15/11	Malibu, CA	International Commercial Arbitration Advisers Meeting	Transportation, Lodging
3. The American Law Institute	1/19/11-1/21/11	Philadelphia, PA	Council Meeting	Transportation, Lodging, Meals
4. Georgetown University Law Center	3/24/11-3/27/11	Honolulu, HI	Board of Visitors Meeting	Transportation, Lodging, Meals
5. American Bar Association	4/13/11-4/16/11	Mexico City, Mexico	Rule of Law Meeting	Transportation, Lodging, Meals

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6.	University of Texas Law School Foundation	4/28/11	Austin, TX	Speech	Transportation, Lodging, Meals
7.	University of San Diego Law School	7/18/11-8/4/11	Paris, France	Teaching	Transportation, Lodging, Meals
8.	The American Law Institute	9/22/11-9/23/11	Philadelphia, PA	International Commercial Arbitration Advisers Meeting	Transportation, Lodging, Meals
9.	American Bar Association	10/4/11-10/5/11; 10/7/11-10/11/11	Washington, DC and Quito Ecuador	Rule of Law and Ethics Meeting and Presentations	Transportation, Lodging, Meals
10.	Senate Presidents Forum	10/12/11-10/14/11	Buenos Aires, Argentina	Rule of Law Presentation	Transportation, Lodging, Meals
11.	The American Law Institute	10/19/11-10/21/11	New York, NY	Council Meeting	Transportation, Lodging, Meals
12.	Council of Chief Judges	10/23/11-10/24/11	Miami, FL	Ethics Speech	Transportation, Lodging, Meals
13.	American Bar Association	12/12/11-12/13/11	Washington, DC	Rule of Law Meeting	Transportation, Lodging, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SUNTRUST MORTGAGE, INC./ CHASE HOME	RESIDENTIAL/RENTAL PROPERTY - SAN FRANCISCO CA	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA #2	A	Interest	M	T					
2. BOEING	A	Dividend	J	T					
3. LINCOLN MUTUAL INSURANCE	A	Interest			Redeemed	10/18/11	J	D	
4. SCHWAB ACCT #4									
5. ---AIM VI TECH FUND		None	J	T					
6. ---ALGER LARGE CAP GROWTH		None	J	T					
7. ---AMER CENTURY VP INTL		None	J	T					
8. ---BARON GROWTH OPPORT FND		None	J	T					
9. ---SCHWAB S&P 500 INDEX FUND		None	J	T					
10. TULLY'S COFFEE		None	J	T					
11. TRUST #1									
12. ---FIDELITY BLUE CHIP	A	Dividend	L	T					
13. ---FIDELITY DISCOVER FUND	A	Dividend	J	T					
14. ---FIDELITY GROWTH & INC	A	Dividend	L	T					
15. 403b #1- FIDELITY INVESTMENTS									
16. ---UC SAVINGS FUNDS	A	Dividend	P4	T					
17. ---FIDELITY CONTRA	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ---FIDELITY EURO CAP APPREC	B	Dividend	K	T	Sold (part)	12/16/11	K	A	
19.					Sold (part)	12/28/11	K	A	
20. ---FIDELITY SELECT TECH/PURITAN	A	Dividend	K	T					
21. --FIDELITY SELECT INDUS EQUIP		None	J	T	Buy	04/29/11	J		
22.					Buy (add'l)	06/01/11	J		
23.					Buy (add'l)	07/01/11	J		
24.					Buy (add'l)	08/01/11	J		
25.					Buy (add'l)	09/01/11	J		
26.					Buy (add'l)	09/30/11	J		
27.					Buy (add'l)	11/01/11	J		
28.					Buy (add'l)	12/01/11	J		
29. --FIDELITY SELECT INDUSTRIALS		None	K	T	Buy	12/16/11	K		
30. --FIDELITY SMALL CAP DISCOVERY		None	K	T	Buy	12/29/11	K		
31. FIDELITY ANNUITY #2									
32. ---FIDELITY VIP CONTRA		None	K	T					
33. ---FIDELITY VIP EQUITY INCOME		None	J	T					
34. NORTHERN TRUST #1									

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35. ---NORTHERN TRUST CHK	A	Interest	L	T					
36. NORTHERN TRUST #2									
37. ---NORTHERN TRUST MMF	A	Int./Div.	K	T					
38. NORTHERN TRUST #3									
39. ---NORTHERN TRUST CHK	A	Interest	J	T					
40. NORTHERN TRUST #4									
41. ---NORTHERN TRUST CHK	A	Interest	K	T					
42. NORTHERN TRUST #5									
43. ---ABBOTT LAB (ABT)	A	Dividend	J	T					
44. ---ALTERA CORP (ALTR)	A	Dividend	J	T					
45. ---AMAZON (AMZN)		None	J	T					
46. ---AMER EX (AXP)	A	Dividend	J	T	Buy	07/08/11	J		
47. ---APPLE (AAPL)		None	J	T					
48. ---BANK OF AMERICA (BAC)		None			Sold	01/13/11	J	A	
49. ---BERKSHIRE HATH (BRK-B)		None			Sold	07/08/11	J	A	
50. ---BHP BILLITON (BHP)	A	Dividend	J	T					
51. BRISTOL MEYERS SQUIBB CO COM	A	Dividend	J	T	Buy	07/08/11	J		

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52. ---CISCO SYSTEMS (CSCO)	A	Dividend	J	T					
53. ---CITRIX SYS (CTXS)		None	J	T	Buy	05/04/11	J		
54. ---COLGATE (CL)	A	Dividend			Sold	03/01/11	J		
55. ---CONOCOPHIL (COP)	A	Dividend	J	T					
56. ---COSTCO (COST)	A	Dividend	J	T	Buy	03/01/11	J		
57. ---CUMMINS INC (CMI)	A	Dividend	J	T	Sold (part)	01/13/11	J		
58. ---DANAHER CORP (DHR)	A	Dividend	J	T					
59. ---DOMINION (D)	A	Dividend	J	T					
60. ---DUPONT (DD)	A	Dividend	J	T					
61. EATON CORP COM	A	Dividend	J	T	Buy	05/04/11	J		
62. ---EMC CORP (EMC)		None	J	T					
63. ---EMERSON ELECT (EMR)	A	Dividend	J	T					
64. ---FEDEX CORP (FDX)	A	Dividend			Sold	05/04/11	J	A	
65. ---FRKLN RES (BEN)	A	Dividend	J	T	Buy (add'l)	07/08/11	J		
66. ---GOOGLE (GOOG)		None	J	T					
67. ---GRAINGER (GWW)	A	Dividend	J	T					
68. ---HJ HEINZ (HNZ)	A	Dividend	J	T					

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69. ---JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
70. ---JOHNSON CTL INC (JCI)	A	Dividend	J	T					
71. ---JUNIPER NETWORKS (JNPR)		None	J	T					
72. ---LOWES COS (LOW)	A	Dividend			Sold	07/08/11	J	A	
73. ---MCKESSON CORP (MCK)	A	Dividend	J	T					
74. ---MEDCO HLTH SOLUT (MHS)		None			Sold	07/08/11	J	B	
75. ---MFB NORTH FDS BD INDEX (NOBOX)	A	Dividend			Buy (add'l)	01/13/11	K		
76.					Sold	02/03/11	L	A	
77. ---MFB NORTH FDS CALIF INTER TAX EXPT (NCITX)	C	Int./Div.	M	T	Buy (add'l)	04/07/11	J		
78. ---MFB NORTH FDS MUN MM FD (NOMXX)		None	L	T					
79. ---MFB NORTH MULTI-MGR MID CAP (NMMCX)	A	Dividend	K	T	Buy (add'l)	01/13/11	J		
80.					Buy (add'l)	12/19/11	J		
81. ---MFB NORTH MULTI-MGR SMALL CAP (NMMSX)	D	Dividend	K	T	Buy (add'l)	01/13/11	J		
82.					Buy (add'l)	12/19/11	J		
83. ---MFB NORTH FDS CA TAX EXPT (NCATX)	C	Int./Div.	M	T	Buy	02/03/11	M		
84. ---MFB NORTH EMERG MKTS EQ FND (NOEMX)	A	Dividend	K	T	Buy (add'l)	01/13/11	J		
85.					Sold (part)	04/07/11	K	D	

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86.					Sold (part)	12/30/11	J	A	
87. ---MFB NORTH HI YIELD FXD INC (NHFIX)	D	Dividend			Buy	02/03/11	L		
88. ---MFB NORTH STK INDEX (NOSIX)		None	J	T	Buy	12/30/11	J		
89. ---MFB NORTH MM GLOBAL RE (NMMGX)	E	Dividend	K	T					
90. ---MFB NORTH INTL EQTY INDEX (NOINX)	C	Dividend	L	T					
91. --MFB NORTH FUNDS LARGE CAP VALUE FD(NOLVX)	A	Dividend	J	T	Buy	04/07/11	J		
92.					Sold (part)	12/30/11	J	A	
93. ---MFC ISHARE TR BARCLAYS TIPS (TIP)	A	Dividend	K	T	Sold (part)	01/13/11	J	A	
94. ---MFC SPDR GOLD TR (GLD)		None	K	T	Buy (add'l)	04/07/11	J		
95. ---MFC SECTOR SPDR BEN INT-HLTH (XLV)	A	Dividend	J	T					
96. ---MFC SLT SECTOR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					
97. ---MFC SLT SECTOR SPDR ENERGY (XLE)	A	Dividend	J	T					
98. ---MFC SLT SECTOR SPDR FINANCIAL (XLF)	A	Dividend	J	T					
99. ---MFC SLECT SECTOR SPDR TECH (XLK)	A	Dividend	J	T					
100. ---MFC FLEXSHARES MORNINGSTAR GLOBAL NAT RES FD (GUNR)			J	T	Buy	12/30/11	J		
101. --MFC VANGUARD MSCI EMERGING MKTS (VWO)			J	T	Buy	12/30/11	K		
102. --MFC SELECT SECTOR SPDR TR CNSMR DIS COM (XLY)	C	Dividend	J	T	Sold (part)	07/18/11	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. ---MFO PIMCO FNDS PAC INVST (PCRIX)	B	Dividend			Sold	12/30/11	J	A	
104. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T					
105. ---NIKE INC CL B (NKE)	A	Dividend	J	T	Sold (part)	05/04/11	J	A	
106. ---NOBLE ENERGY INC ((NBL)	A	Dividend	J	T					
107. ---NOVARTIS AG (NVS)	A	Dividend	J	T					
108. ---OMNICOM GRP (OMC)	A	Dividend	J	T	Buy	01/13/11	J		
109. ---ORACLE (ORCL)	A	Dividend	J	T					
110. --PAYCHEX INC COM (PAYX)	A	Dividend	J	T	Buy	05/04/11	J		
111. ---PEPSICO INC (PEP)	A	Dividend	J	T					
112. ---PRINCIPAL FINL GRP (PFG)	A	Dividend	J	T	Buy	01/13/11	J		
113. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
114. --QUALCOMM (QCOM)	A	Dividend	J	T	Buy	07/18/11	J		
115. ---SALESFORCE COM (CRM)		None	J	T					
116. ---SCHLUMBERGER LTD (SLB)	A	Dividend			Buy (add'l)	03/01/11	J		
117. ---SLT SECTOR SPDR CONSMR DISCRET (XLY)	A	Dividend	J	T					
118. ---SLT SECTOR SPDR RE INDL (XLI)	A	Dividend	J	T					
119. ---SIMON PROP GRP (SPG)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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McKeown, Mary M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---SPECTRA ENERGY (SE)	A	Dividend	J	T					
121. --STARWOOD HOTELS & RESORTS WORLDWIDE (HOT)	A	Dividend	J	T	Buy	01/13/11	J		
122. ---TRAVELERS COS	A	Dividend	J	T					
123. ---UNITED TECH (UTX)	A	Dividend	J	T					
124. --VF CORP COM (VFC)	A	Dividend	J	T	Buy	05/04/11	J		
125.					Buy (add'l)	07/08/11	J		
126. ---WHOLE FOODS MKT (WFMI)	A	Dividend	J	T	Buy	03/01/11	J		
127. NORTHERN TRUST #6									
128. ---ABBOTT LAB (ABT)	A	Dividend	J	T					
129. ---ALTERA CORP (ALTR)	A	Dividend	J	T					
130. ---AMAZON (AMZN)		None	J	T					
131.									
132. ---AMER EX (AXP)	A	Dividend	J	T	Buy (add'l)	07/08/11	J		
133. ---APPLE INC (AAPL)		None	K	T					
134. ---BANK OF AMER (BAC)		None			Sold	01/13/11	J	A	
135. ---BERKSHIRE HATH B (BRK/B)		None			Sold	07/08/11	J	A	
136. ---BHP BILLITON (BHP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --BRISTOL MEYES SQUIBB CO COM (BM&)	A	Dividend	J	T	Buy	07/08/11	J		
138. ---CISCO SYS (CSCO)	A	Dividend	J	T					
139. ---CITRIX SYS (CTXS)		None	J	T	Buy	05/04/11	J		
140. ---COLGATE-PALM (CL)	A	Dividend			Sold	03/01/11	J	A	
141. ---CONOCOPHILLIPS (COP)	A	Dividend	J	T					
142. ---COSTCO WHOLESALE (COST)	A	Dividend	J	T	Buy (add'l)	03/01/11	J		
143. ---CUMMINS (CMI)	A	Dividend	J	T	Sold (part)	01/13/11	J	A	
144. ---DANAHER CORP (DHR)	A	Dividend	J	T					
145. ---DOMINION RES (D)	A	Dividend	J	T					
146. ---DUPONT (DD)	A	Dividend	J	T					
147. --EATON CORP COM (ETN)		Dividend	J	T	Buy	05/04/11	J		
148. ---EMC CORP (EMC)		None	J	T					
149. ---EMERSON ELECT CO. (EMR)	A	Dividend	J	T					
150. ---FEDEX CORP (FDX)	A	Dividend			Sold	05/04/11	J	A	
151. ---FRNKLN RES (BEN)	A	Dividend	J	T	Buy (add'l)	07/08/11	J		
152. ---GOOGLE (GOOG)		None	J	T					
153. ---GRAINGER W W (GWW)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ---H J HEINZ	A	Dividend	J	T					
155. ---JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
156. ---JOHNSON CTL INC (JCI)	A	Dividend	J	T					
157. ---JUNIPER NETWORKS (JNPR)		None	J	T					
158. ---LOWES COS (LOW)	A	Dividend			Sold	07/08/11	J	A	
159. ---MCKESSON CORP (MCK)	A	Dividend							
160. ---MEDCO HLTH SOLUTIONS (MHS)		None			Sold	07/08/11	J	C	
161. ---MFB NORTH EMERG MKTS (NOEMX)	B	Dividend	M	T	Buy (add'l)	01/13/11	J		
162.					Sold (part)	04/07/11	K	D	
163. ---MFB NORTH FDS FX INC (NOFIX)	D	Dividend	M	T	Buy (add'l)	04/07/11	J		
164. ---MFB NORTH FDS GLOBAL RE INDEX (NGREX)	A	Dividend	K	T					
165. ---MFB NORTH HI YLD FXD INC (NHFIX)	D	Dividend	M	T					
166. ---MFB NORTH ITL EQTY INDEX (NOINX)	B	Dividend	L	T					
167. ---MFB NORTH MM ITL EQUITY (NMIEX)	C	Dividend	M	T					
168. ---MFB NORTH MM MID CAP (NMMCX)	B	Dividend	K	T	Buy (add'l)	01/13/11	K		
169.					Sold (part)	04/07/11	J	A	
170. ---MFB NORTH MM SMALL CAP (NMSX)	C	Dividend	K	T	Buy (add'l)	01/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	04/07/11	J	A	
172. ---MFB NORTH MONEY MKT FND (NORXX)	B	Dividend	L	T					
173. ---MFB NORTHERN FUNDS LARGE CAP VALUE (NOLVX)	A	Dividend	J	T	Buy	04/07/11	K		
174. ---MFC ISHARES BARCLAYS TIPS (TIP)	A	Dividend	K	T	Buy (add'l)	04/07/11	J		
175. ---MFC SCTR SPDR BEN INT-HLTH CARE (XLV)	A	Dividend	K	T					
176. ---MFC SLCT SCTR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					
177. ---MFC SELECT SECT SPDR ENERGY (XLE)	A	Dividend	K	T					
178. ---MFC SELECT SECT SPDR FINL (XLF)	A	Dividend	K	T					
179. ---MFC SELECT SECT SPDR SHS BEN INT-MAT (XLB)		None							
180. ---MFC SPDR GOLD TR (GLD)		None	L	T	Buy (add'l)	04/07/11	J		
181. ---MFC SELECT SECTOR SPDR TECH (XLK)	A	Dividend	J	T					
182. ---MFC SELECT SECTOR CNSMR DISC COM (XLY)	A	Dividend	J	T					
183. ---MFO CREDIT SUISSE COMMODITY RTN (CRSOX)	B	Dividend							
184. ---MFO PIMCO FDS PAC (PCRIX)	D	Dividend	K	T	Sold (part)	04/07/11	J	C	
185. ---MICROSOFT CORP (MSFT)	A	Dividend	J	T					
186. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T					
187. ---NIKE INC CL B (NKE)	A	Dividend	J	T	Sold (part)	05/04/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ---NOBLE ENERGY (NBL)	A	Dividend	J	T					
189. ---NOVARTIS AG (NVS)	A	Dividend	J	T					
190. ---OMNICOM GRP (OMC)	A	Dividend	J	T	Buy (add'l)	01/13/11	J		
191. ---ORACLE CORP	A	Dividend	J	T					
192. ---PAYCHEX INC COM (PAYX)	A	Distribution	J	T	Buy	05/04/11	J		
193. ---PEPSI CO INC (PEP)	A	Dividend	J	T					
194. ---PRINCIPAL FIN GRP (PFG)	A	Dividend	J	T	Buy (add'l)	01/13/11	J		
195. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
196. ---QUALCOMM INC COM (QCOM)	A	Dividend	J	T	Buy	07/18/11	J		
197. ---SALESFORCE COM (CRM)		None	J	T					
198. ---SCHLUMBERGER LTD (SLB)	A	Dividend	J	T	Buy	03/01/11	J		
199. ---SELECT SECT SPDR INDL (XLI)	A	Dividend	J	T					
200. ---SIMON PROP GRP (SPG)	A	Dividend	J	T					
201. ---SPECTRA ENERGY (SE)	A	Dividend	J	T					
202. ---STARWOOD HOTELS & RESORTS WORLDWIDE INC COM (HOT)	A	Dividend	J	T	Buy	01/13/11	J		
203. ---TRAVELERS COS	A	Dividend	J	T					
204. ---UNITED TECH CORP (UTX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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205. ---VF CORP (VFC)	A	Dividend	J	T	Buy	05/04/11	J		
206.					Sold (part)	07/08/11	J	A	
207. ---WHOLE FOODS MKT (WFMI)	A	Dividend	J	T	Buy	03/01/11	J		
208. NORTHERN TRUST #7									
209. ---TEMPLETON FOREIGN CL A (TEMF)	A	Dividend	L	T	Buy (add'l)	12/21/11	J		
210.					Sold (part)	01/26/11	J	A	
211. NORTHERN TRUST #8									
212. ---AMERICAN BALANCED CL A (ABALX)	A	Dividend	K	T	Buy (add'l)	03/07/11	J		
213.					Buy (add'l)	06/08/11	J		
214.					Buy (add'l)	09/14/11	J		
215.					Buy (add'l)	12/27/11	J		
216.					Sold (part)	01/31/11	J	A	
217. NORTHERN TRUST #9	A	Dividend	J	T					
218. NORTHERN TRUST #10	A	Dividend	L	T					
219. CONDO(50%) SAN FRANCISCO CA	D	Rent	O	W					
220.									
221.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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222.									
223.									
224.									
225.									
226.									
227.									
228.									
229.									
230.									
231.									
232.									
233.									
234.									
235.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeown, Mary M.

Date of Report

11/16/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOCXX is a cash fund. The money in NOCXX (listed in 2010 in Part VII, page 9, line 72) was spent or moved to another money market fund; this asset did not exist at year end 2011 and so was not reported.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeown, Mary M.

Date of Report

11/16/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. McKeown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544